



Privacy Policy for Individual Clients

Quantitative Asset Management

Your privacy is important to us. Quantitative Asset Management has implemented privacy policies consistent with the federal guidelines for financial institutions. They are designed to ensure confidentiality and security of our customer's records and personal information.

The collection of personal information by Quantitative Asset Management

As part of our ongoing business to help us meet your needs and provide the financial services Quantitative Asset Management offers we may collect information from the following sources:

- Applications and other form you have filled out for us (including name, address, social security number, risk tolerance, and income);
- Your transactions with us, our affiliates, or others (including information such as account balances and transaction history);

Disclosure of personal information to nonaffiliated third parties for business purposes

We may disclose the information described above to companies that are not affiliated with Quantitative Asset Management for business purposed in the following circumstances:

- Financial institutions (such as mutual fund companies, securities brokers and investment advisors) with which we have joint marketing agreements; or
- Companies that perform administrative services on our behalf (such as vendors that provide data processing, transaction processing and printing services).

When we disclose information in this manner, Quantitative Asset Management contractually requires all third parties to maintain the confidentiality of any personal information.

Disclosure of personal information to nonaffiliated third parties in other instances

Quantitative Asset Management currently does not share information with third parties not affiliated with the Firm, such as mortgage bankers, securities broker-dealers and insurance agents. We may disclose the information described above to nonaffiliated third parties as permitted by law, for examples to comply with a subpoena, respond to a regulatory authority or to protect against fraud. We may also disclose such information in response to specific authorization from you.

Information Confidentiality and Security

At Quantitative Asset Management, we restrict access to client information to those employees who need to know such information in order to perform their job responsibilities. Employees who have access to client information must adhere to our strict security and confidentiality policies. To guard client information, we maintain physical, electronic and procedural safeguards that comply with federal standards.

Former Clients

Information of former clients will be treated as described in this policy.