



**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2019  
STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THE GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH THE CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

|   |   |   |
|---|---|---|
| CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.   |   | DATE REGISTERED:<br>19 November 1965                        |
| BUSINESS/TRADE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.  |   | FISCAL YEAR END:<br>31 December                             |
| SEC REGISTRATION NUMBER:<br>0000028175  | DATE OF ANNUAL MEETING PER BY-LAWS:<br>2 <sup>nd</sup> Monday of April  | CORPORATE TAX IDENTIFICATION NUMBER (TIN) : 050-000-122-399 |
| DATE OF ACTUAL MEETING:<br>26 July 2019   | COMPLETE PRINCIPAL OFFICE ADDRESS:<br>15 <sup>th</sup> Floor, Chatham House, 116 Valero corner V.A. Rufino Streets, Makati City | WEBSITE/URL ADDRESS:<br>www.gra-insurancebrokers.com        |
| COMPLETE BUSINESS ADDRESS:<br>15 <sup>th</sup> Floor, Chatham House, 116 Valero corner V.A. Rufino Streets, Makati City | NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER<br>SGV & Co.   | E-MAIL ADDRESS:<br>gra@gra-insurancebrokers.com             |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER<br>SGV & Co.   | SEC ACCREDITATION NUMBER (if applicable):<br>0664-AR-2 Group 2  | FAX NUMBER:<br>888-0009                                     |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:<br>Insurance Brokerage and Consultancy                          | INDUSTRY CLASSIFICATION:  | TELEPHONE NUMBERS:<br>888-0001                              |
|   |   | GEOGRAPHICAL CODE:  |

===== INTERCOMPANY AFFILIATIONS =====

| PARENT COMPANY       | SEC REGISTRATION NO. | ADDRESS |
|----------------------|----------------------|---------|
| N.A.                 |                      |         |
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| N.A.                 | N.A.                 | N.A.    |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

A. Is the Corporation a covered person under the Anti-Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10385)

Yes  No

Please check the appropriate box:

|  |   |
|--|---|
| <p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates</p>  | <p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>   |
| <p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input checked="" type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-Need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>   | <p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>  |
| <p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p> | <p>6. Company service providers which, as a business, Provide any of the following services to third parties.</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p> |
| <p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of Reports under the AMLA, as amended, since the last filing of its GIS?</p>  | <p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. Creation, operation or management of judicial persons or arrangements, and buying and selling business entities</p> <p>8. None of the above</p> <p align="center">Describe the nature of business:</p> <p align="center">Insurance Broker</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>  |

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

|   |                      |                                  |                   |   |                  |   |                |
|---|----------------------|----------------------------------|-------------------|---|------------------|---|----------------|
| CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC. |                      |                                  |                   |   |                  |   |                |
| CAPITAL STRUCTURE   |                      |                                  |                   |   |                  |   |                |
| AUTHORIZED CAPITAL STOCK                                    |                      |                                  |                   |   |                  |   |                |
|   |                      | TYPE OF SHARES                   | NUMBER OF SHARES  |   | PAR/STATED VALUE | AMOUNT (PhP)<br>(No. of shares x Par/State Value) |                |
|   |                      | COMMON CLASS A                   | 245,000           |   | Php100.00        | Php24,500,000.00                                  |                |
|   |                      | COMMON CLASS B                   | 105,000           |   | Php100.00        | Php10,500,000.00                                  |                |
| TOTAL   |                      |                                  | 350,000           |   | TOTAL            | Php35,000,000.00                                  |                |
| SUBSCRIBED CAPITAL  |                      |                                  |                   |   |                  |   |                |
| FILIPINO  | NO. OF STOCK-HOLDERS | TYPE OF SHARES*                  | NUMBER OF SHARES  | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC** | PAR/STATED VALUE | AMOUNT (PhP)                                      | % OF OWNERSHIP |
|   | 11<br>7              | COMMON CLASS A<br>COMMON CLASS B | 157,502<br>57,861 | ---   | Php100.00        | Php15,750,200.00<br>Php5,786,100.00               | 64.29%         |
| TOTAL   |                      |                                  | 215,363           |   | TOTAL P          | Php21,536,300.00                                  | 64.29%         |
| FOREIGN<br>(INDICATE BY NATIONALITY)                        | NO. OF STOCK-HOLDERS | TYPE OF SHARES*                  | NUMBER OF SHARES  | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC** | PAR/STATED VALUE | AMOUNT (PhP)                                      | % OF OWNERSHIP |
|   | 1                    | COMMON CLASS A<br>COMMON CLASS B | 87,498<br>32,139  | ---   | Php100.00        | Php8,749,800.00<br>Php3,213,900.00                | 35.71%         |
| TOTAL   |                      |                                  | 119,637           |   | TOTAL P          | Php11,963,700.00                                  | 35.71%         |
| TOTAL SUBSCRIBED  |                      |                                  |                   |   |                  | Php33,500,000.00                                  | 100.00%        |
| PAID-UP CAPITAL   |                      |                                  |                   |   |                  |   |                |
| FILIPINO  | NO. OF STOCK-HOLDERS | TYPE OF SHARES*                  | NUMBER OF SHARES  |   | PAR/STATED VALUE | AMOUNT (PhP)                                      | % OF OWNERSHIP |
|   | 11<br>7              | COMMON CLASS A<br>COMMON CLASS B | 157,502<br>57,861 |   | Php100.00        | Php15,750,200<br>Php5,786,100.00                  | 64.29%         |
| TOTAL   |                      |                                  | 215,363           |   | TOTAL P          | Php21,536,300.00                                  | 64.29%         |
| FOREIGN<br>(INDICATE BY NATIONALITY)                        | NO. OF STOCK-HOLDERS | TYPE OF SHARES*                  | NUMBER OF SHARES  |   | PAR/STATED VALUE | AMOUNT (PhP)                                      | % OF OWNERSHIP |
|   | 1                    | COMMON CLASS A<br>COMMON CLASS B | 87,498<br>32,139  |   | Php100.00        | Php8,749,800.00<br>Php3,213,900.00                | 35.71%         |
| TOTAL   |                      |                                  | 119,637           |   | TOTAL P          | Php11,963,700.00                                  | 35.71%         |
| TOTAL PAID-UP P   |                      |                                  |                   |   |                  | Php33,500,000.00                                  | 100.00%        |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

Stock Corporation

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.  |       |       |     |              |  |             |
|--|-------|-------|-----|--------------|--|-------------|
| DIRECTORS/OFFICERS   |       |       |     |              |  |             |
| NAME AND NATIONALITY   | INC'R | BOARD | SEX | STOCK HOLDER | OFFICER                                      | EXEC. COMM. |
| 1. <b>LAWRENCE J. GOTUACO</b><br>American  | Y     | C     | M   | Y            | Chairman                                     | C/M         |
| 2. <b>ALBERTO F. DEL ROSARIO</b><br>Filipino   | Y     | M     | M   | Y            | N.A.   | N.A.        |
| 3. <b>ANDREW GOTUACO</b><br>Filipino   | N     | M     | M   | Y            | N.A.   | C/M         |
| 4. <b>MARGARETE R. ISLETA</b><br>Filipino  | N     | M     | F   | Y            | N.A.   | N/M<br>C/M  |
| 5. <b>ANTONIO G. CUMAGUN</b><br>Filipino   | N     | I     | M   | Y            | N.A.   | A/C<br>N/C  |
| 6. <b>ENRIQUE D. PEREZ</b><br>Filipino   | N     | I     | M   | Y            | N.A.   | A/C<br>C/C  |
| 7. <b>ARTHUR L. PANGANIBAN, JR.</b><br>Filipino  | N     | M     | M   | Y            | President/<br>Chief Executive<br>Officer     | C/V/C       |
| 8. <b>HANS V. DEL ROSARIO</b><br>Filipino  | N     | M     | M   | Y            | N.A.   | C/M         |
| 9. <b>DAVID THOMAS GOTUACO</b><br>Filipino   | N     | M     | M   | Y            | N.A.   | N/M         |
| 10. <b>JUAN ANTONIO H. INOCENTES</b><br>Filipino   | N     | M     | M   | Y            | Vice President –<br>Property and<br>Casualty | N/M         |
| 11. <b>MARICHU C. HAO</b><br>Filipino  | N     | M     | F   | Y            | Vice President –<br>Finance and<br>Treasury  | C/M         |
| 12. <b>ROWENA R. NICOLAS</b><br>Filipino   | N     | M     | F   | Y            | Vice President –<br>Employee Benefits        | C/M         |
| 13. <b>ROSELYN M. RAGINIO</b><br>Filipino  | N     | N.A.  | F   | N            | Vice President – HR<br>and Admin.            | N.A.        |
| 14. <b>MA. ADELINA S. GATDULA</b><br>Filipino  | N     | N.A.  | F   | N            | Corporate Secretary                          | N.A.        |
| <b>INSTRUCTIONS:</b><br>FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.<br>FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.<br>FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT<br>FOR STOCKHOLDER COLUMN, PUT "Y" IF STOCKHOLDER, "N" IF NOT<br>FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE<br>TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON<br>FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE, "A" FOR AUDIT COMMITTEE, "N" FOR NOMINATION<br>AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER. |       |       |     |              |  |             |

**GENERAL INFORMATION SHEET**

Stock Corporation

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| CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.             |                   |         |   |                 |                 |
|---|-------------------|---------|---|-----------------|-----------------|
| TOTAL NUMBER OF STOCKHOLDERS: 12  |                   |         | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 4 |                 |                 |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P291,227,977 |                   |         |   |                 |                 |
| STOCKHOLDER'S INFORMATION   |                   |         |   |                 |                 |
| NAME AND NATIONALITY  | SHARES SUBSCRIBED |         |   | % OF OWNER-SHIP | AMOUNT PAID (P) |
|   | TYPE              | NUMBER  | AMOUNT (P)  |                 |                 |
| 1. LAWRENCE J. GOTUACO<br>AMERICAN                                      | Common            |         |   | 34.18%          | 11,963,700.00   |
|   | Class A           | 87,498  | 8,749,800.00  |                 |                 |
|   | Class B           | 32,139  | 3,213,900.00  |                 |                 |
|   | TOTAL             | 119,637 | 11,963,700.00                                       |                 |                 |
| 2. ALBERT F. DEL ROSARIO<br>FILIPINO                                    | Common            |         |   | 41.02%          | 14,356,400.00   |
|   | Class A           | 104,994 | 10,499,400.00                                       |                 |                 |
|   | Class B           | 38,570  | 3,857,000.00  |                 |                 |
|   | TOTAL             | 143,564 | 14,356,400.00                                       |                 |                 |
| 3. ANDREW GOTUACO<br>FILIPINO   | Common            |         |   | 13.67%          | 4,786,000.00    |
|   | Class A           | 35,001  | 3,500,100.00  |                 |                 |
|   | Class B           | 12,859  | 1,285,900.00  |                 |                 |
|   | TOTAL             | 47,860  | 4,786,000.00  |                 |                 |
| 4. MARGARETE R. ISLETA<br>FILIPINO                                      | Common            |         |   | 6.84%           | 2,393,000.00    |
|   | Class A           | 17,502  | 1,750,200.00  |                 |                 |
|   | Class B           | 6,428   | 642,800.00  |                 |                 |
|   | TOTAL             | 23,930  | 2,393,000.00  |                 |                 |
| 5. ARTHUR L. PANGANIBAN, JR.<br>FILIPINO                                | Common            |         |   | Nil             | 100.00          |
|   | Class A           |         |   |                 |                 |
|   | Class B           | 1       | 100.00  |                 |                 |
|   | TOTAL             | 1       | 100.00  |                 |                 |
| 6. ENRIQUE D. PEREZ<br>FILIPINO   | Common            |         |   | Nil             | 200.00          |
|   | Class A           |         |   |                 |                 |
|   | Class B           | 2       | 200.00  |                 |                 |
|   | TOTAL             | 2       | 200.00  |                 |                 |
| 7. ANTONIO G. CUMAGUN<br>FILIPINO                                       | Common            |         |   | Nil             | 100.00          |
|   | Class A           |         |   |                 |                 |
|   | Class B           | 1       | 100.00  |                 |                 |
|   | TOTAL             | 1       | 100.00  |                 |                 |
| 8. HANS V. DEL ROSARIO<br>FILIPINO                                      | Common            |         |   | Nil             | 100.00          |
|   | Class A           | 1       | 100.00  |                 |                 |
|   | Class B           |         |   |                 |                 |
|   | TOTAL             | 1       | 100.00  |                 |                 |
| 9. DAVID THOMAS GOTUACO<br>FILIPINO                                     | Common            |         |   | Nil             | 100.00          |
|   | Class A           | 1       | 100.00  |                 |                 |
|   | Class B           |         |   |                 |                 |
|   | TOTAL             | 1       | 100.00  |                 |                 |
| 10. JUAN ANTONIO H. INOCENTES<br>FILIPINO                               | Common            |         |   | Nil             | 100.00          |
|   | Class A           | 1       | 100.00  |                 |                 |
|   | Class B           |         |   |                 |                 |
|   | TOTAL             | 1       | 100.00  |                 |                 |

**GENERAL INFORMATION SHEET**

Stock Corporation

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.  |                   |         |   |                                 |                  |
|--|-------------------|---------|---|---------------------------------|------------------|
| TOTAL NUMBER OF STOCKHOLDERS: 12   |                   |         | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 4 |                                 |                  |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P291,227,977  |                   |         |   |                                 |                  |
| STOCKHOLDER'S INFORMATION  |                   |         |   |                                 |                  |
| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS  | SHARES SUBSCRIBED |         |   | % OF OWNER-SHIP                 | AMOUNT PAID (P)  |
|  | TYPE              | NUMBER  | AMOUNT (P)  |                                 |                  |
| 11. ROWENA R. NICOLAS<br>FILIPINO  | Common            |         |   | Nil                             | 100.00           |
|  | Class A           | 1       | 100.00  |                                 |                  |
|  | Class B           |         |   |                                 |                  |
|  | TOTAL             | 1       | 100.00  |                                 |                  |
| 12. MARICHU C. HAO<br>FILIPINO   | Common            |         |   | Nil                             | 100.00           |
|  | Class A           | 1       | 100.00  |                                 |                  |
|  | Class B           |         |   |                                 |                  |
|  | TOTAL             | 1       | 100.00  |                                 |                  |
| 13. REACQUIRED BY CORPORATION<br>As of 17 February 1998  | Common            |         |   | 4.28%                           | 1,500,000.00     |
|  | Class A           |         |   |                                 |                  |
|  | Class B           | 15,000  | 1,500,000.00  |                                 |                  |
|  | TOTAL             | 15,000  | 1,500,000.00  |                                 |                  |
|  | TOTAL             | 350,000 | 35,000,000  |                                 |                  |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL   |                   |         |   |                                 |                  |
|  |                   |         |   | TOTAL AMOUNT OF PAID-UP CAPITAL | Php35,000,000.00 |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS   |                   |         |   |                                 |                  |
| Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach the separate sheet, if necessary. |                   |         |   |                                 |                  |

## GENERAL INFORMATION SHEET

Stock Corporation

===== PLEASE PRINT LEGIBLY =====

|   |                       |                                    |  |
|---|-----------------------|------------------------------------|--|
| <b>CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.</b>                            |                       |                                    |  |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION                                       | AMOUNT (PhP)          | DATE OF BOARD RESOLUTION           |  |
| 1.1 STOCKS  |                       |                                    |  |
| 1.2 BONDS/COMMERCIAL PAPER<br>(issued by Private Corporations)                                |                       |                                    |  |
| 1.3 LOANS/ CREDITS/ADVANCES   |                       |                                    |  |
| 1.4 GOVERNMENT TREASURY BILLS   |                       |                                    |  |
| 1.5 OTHERS  |                       |                                    |  |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) |                       | DATE OF BOARD RESOLUTION           | DATE OF STOCKHOLDERS RATIFICATION      |
| N.A.  |                       |                                    |  |
| 3. TREASURY SHARES  |                       | NO. OF SHARES                      | % AS TO THE TOTAL NO. OF SHARES ISSUED |
|   |                       | Class B – 15,000                   | 4.28%                                  |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: (P67,554,833) |                       |                                    |  |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:                                  |                       |                                    |  |
|   | TYPE OF DIVIDEND      | AMOUNT (PhP)                       | DATE DECLARED                          |
| 5.1   | CASH                  | 10,000,000                         | 26 July 2019                           |
| 5.2   | STOCK                 |                                    |  |
| 5.3   | PROPERTY              |                                    |  |
|   | TOTAL                 | P                                  |  |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:  |                       |                                    |  |
|   | DATE                  | NO. OF SHARES                      | AMOUNT                                 |
|   |                       |                                    |  |
|   |                       |                                    |  |
|   |                       |                                    |  |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:                               |                       |                                    |  |
| NAME OF AGENCY  | SEC                   | BSP                                | IC                                     |
| TYPE OF LICENSE/REGN.   |                       |                                    | IB-58-2019-R                           |
| DATE ISSUED:  |                       |                                    | Jan. 1, 2019 valid until Dec. 31, 2021 |
| DATE STARTED OPERATIONS:  | N.A.                  | N.A.                               | N.A.                                   |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (In PhP)              | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT              |
| NONE  | 13                    | 37                                 | 50                                     |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, MA. ADELINA S. GATDULA, CORPORATE SECRETARY OF THE ABOVE-MENTIONED CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF ( 9 ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THE GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS JUL 30 2019 2019 IN MAKATI CITY.

  
MA. ADELINA S. GATDULA  
(SIGNATURE)

JUL 30 2019

SUBSCRIBED AND SWORN TO BEFORE ME THIS \_\_\_\_\_, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HER PASSPORT NO. P3415029A ISSUED ON 16 JUNE 2017 BY DEPARTMENT OF FOREIGN AFFAIRS, MANILA AS COMPETENT EVIDENCE OF HER IDENTITY.

DOC. NO. 395 ;  
PAGE NO. 80 ;  
BOOK NO. I ;  
SERIES OF 2019.

  
**FRANCES ALYSSA U. SANTOS**  
Appointment No. M-514  
Notary Public for Makati City  
Until December 31, 2019  
Liberty Center-Picazo Law  
104 H.V. Dela Costa Street, Makati City  
Roll No. 72070  
PTR No. 7339300/Makati City/01-06-2019  
IBP No. 060470/Makati City/01-03-2019  
MCLE Compliance No. VI-0020979/3-26-2019



**GENERAL INFORMATION SHEET**

Stock Corporation

===== PLEASE PRINT LEGIBLY =====

| <b>CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.</b>  |                 |   |   |
|---|-----------------|---|---|
| <b>LIST OF STOCKHOLDERS, DIRECTORS AND OFFICERS</b>   |                 |   |   |
| <b>TAX IDENTIFICATION NO. (TIN) INFORMATION</b>   |                 |   |   |
| NAME AND CURRENT RESIDENTIAL ADDRESS  | NATIONALITY     | POSITION  | TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS |
| 1. <b>LAWRENCE J. GOTUACO</b><br>21 Galaxy Street, Bel-Air Village, Makati City   | <b>American</b> | <b>C / S</b>  | 152-708-234   |
| 2. <b>ALBERT F. DEL ROSARIO</b><br>1843 Sagu Street, Dasmarinas Village, Makati City  | <b>Filipino</b> | <b>M / S</b>  | 119-816-369   |
| 3. <b>ANDREW GOTUACO</b><br>129 Pili Drive, Ayaala Alabang, Muntinlupa City   | <b>Filipino</b> | <b>M / S</b>  | 138-199-601   |
| 4. <b>MARGARETE R. ISLETA</b><br>Block 24, Lot 19, McKinley Hill Drive, Taguig City   | <b>Filipino</b> | <b>M / S</b>  | 116-280-794   |
| 5. <b>ANTONIO G. CUMAGUN</b><br>1239 Acacia St., Dasmarinas Village, Makati City  | <b>Filipino</b> | <b>I / S</b>  | 116-280-728   |
| 6. <b>ENRIQUE D. PEREZ</b><br>#12 Camia St., Valle Verde 2, Pasig City  | <b>Filipino</b> | <b>I / S</b>  | 125-320-844   |
| 7. <b>ARTHUR L. PANGANIBAN, JR.</b><br>Block 6, Lot 12, Veraville Montreal, BF Homes, Parañaque City  | <b>Filipino</b> | <b>M / S / President/<br/>CEO</b>                         | 119-816-465   |
| 8. <b>HANS V. DEL ROSARIO</b><br>1843 Sagu Street, Dasmarinas Village, Makati City  | <b>Filipino</b> | <b>M / S</b>  | 119-816-369   |
| 9. <b>DAVID THOMAS GOTUACO</b><br>21 Galaxy Street, Bel-Air Village, Makati City  | <b>Filipino</b> | <b>M / S</b>  | 907-650-348   |
| 10. <b>JUAN ANTONIO H. INOCENTES</b><br>56 McKinley Hill Drive, McKinley Village, Taguig City   | <b>Filipino</b> | <b>M / S / Vice President -<br/>Property and Casualty</b> | 100-522-940   |
| 11. <b>MARICHU C. HAO</b><br>11 Emerald Street, Cresdville Subdivision, Taytay, Rizal   | <b>Filipino</b> | <b>M / S / Vice President -<br/>Finance and Treasury</b>  | 119-816-408   |
| 12. <b>ROWENA R. NICOLAS</b><br>13 Dodge Street, Filinvest Homes 2, Batasan Hills, Quezon City  | <b>Filipino</b> | <b>M / S / Vice President -<br/>Employee Benefits</b>     | 125-646-271   |
| 13. <b>ROSELYN M. RAGINIO</b><br>1743 Oroquieta St, Sta. Cruz, Manila   | <b>Filipino</b> | <b>Vice President – HR and<br/>Admin.</b>                 | 101-773-889   |
| 14. <b>MA. ADELINA S. GATDULA</b><br>62 Lansang Street, North Susana Executive Village, Commonwealth Avenue, Quezon City  | <b>Filipino</b> | <b>Corporate Secretary</b>                                | 107-043-114   |
| <b>INSTRUCTIONS:</b><br>FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:<br>"C" for Chairman, "M" for Member, "I" for Independent Director, and/or<br>Indicate the Particular Position. If Officer (e.g. President, treasurer, Corporate Secretary, etc.); and/or "S" for Stockholder. |                 |   |   |
| <b>NOTE: USE ADDITIONAL SHEET IF NECESSARY</b>  |                 |   |   |