



# SECURITIES AND EXCHANGE COMMISSION

THE SEC HEADQUARTERS 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City  
1209 Tropic Line No:02-5322-7696 Email Us: [www.sec.gov.ph/messagebox/sec.gov.ph](http://www.sec.gov.ph/messagebox/sec.gov.ph)



The following document has been received:

Receiving: JOHN CHRISTIAN MAGNO

Receipt Date and Time: May 22, 2024 03:49:15 PM

## Company Information

---

SEC Registration No.: 0000028175

Company Name: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

Industry Classification: J68202

Company Type: Stock Corporation

## Document Information

---

Document ID: OST10522202482624243

Document Type: General Information Sheet

Document Code: GIS

Period Covered: April 24, 2024

Submission Type: Annual Meeting

Remarks: None

---

---

Acceptance of this document is subject to review of forms and contents

**GENERAL INFORMATION SHEET (GIS)**  
**FOR THE YEAR 2024**  
**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED /FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.		<b>DATE REGISTERED:</b>	11/19/1965
<b>BUSINESS /TRADE NAME:</b>		GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.		<b>FISCAL YEAR END:</b>	
<b>SEC REGISTRATION NUMBER:</b>		28175		<b>December 31</b>	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>		2ND MONDAY OF APRIL		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b>	050-000-122-399
<b>ACTUAL DATE OF ANNUAL MEETING:</b>		24 April 2024		<b>WEBSITE/URL ADDRESS:</b>	www.gis-
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>		15TH FLOOR, CHATHAM HOUSE, 116 VALERO CORNER V.A. RUFINO STREET, MAKATI CITY		<b>E-MAIL ADDRESS:</b>	insurancebrokers.com
<b>COMPLETE BUSINESS ADDRESS:</b>		15TH FLOOR, CHATHAM HOUSE, 116 VALERO CORNER V.A. RUFINO STREET, MAKATI CITY		<b>FAX NUMBER:</b>	8888-0009
<b>OFFICIAL E-MAIL ADDRESS</b>		<b>ALTERNATE E-MAIL ADDRESS</b>	<b>OFFICIAL MOBILE NUMBER</b>	<b>ALTERNATE MOBILE NUMBER</b>	
asgaidula@dicazolaw.com		gfa@gfa-insurancebrokers.com	09188446026	09989970346	
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b>		SGV & CO.		<b>SEC ACCREDITATION NUMBER (if applicable):</b>	<b>TELEPHONE NUMBER(S):</b>
				0664-AR-2 GROUP 2	8888-0001
<b>PRIMARY PURPOSE /ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>		<b>INDUSTRY CLASSIFICATION:</b>		<b>GEOGRAPHICAL CODE:</b>	
INSURANCE BROKERAGE AND CONSULTANCY		N/A		N/A	
===== INTERCOMPANY AFFILIATIONS =====					
<b>PARENT COMPANY</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>			
N/A	N/A	N/A			
<b>SUBSIDIARY /AFFILIATE</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>			
N/A	N/A	N/A			
NOTE: USE ADDITIONAL SHEET IF NECESSARY					

GENERAL INFORMATION SHEET  
STOCK CORPORATION  
===== PLEASE PRINT LEGIBLY =====  
GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

Corporate Name: **A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**      ☒ Yes      ☐ No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p> <p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input checked="" type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p> <p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>4.</b> <input type="checkbox"/> jewelry dealers in precious metals, who, as a business, trade in precious metals</p> <p><b>5.</b> <input type="checkbox"/> jewelry dealers in precious stones, who, as a business, trade in precious stone</p> <p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p> <p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> <p><b>8.</b> <input type="checkbox"/> None of the above</p> <p>Describe nature of business: <b>INSURANCE BROKER</b></p>
<p><b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b></p>	
<p><input checked="" type="radio"/> Yes      <input type="radio"/> No</p>	

===== PLEASE PRINT LEGIBLY =====

## CAPITAL STRUCTURE

SUBSCRIBED CAPITAL	25,000,000.00
--------------------	---------------

Percentage of Foreign Equity: 35.71%	TOTAL	119,637	TOTAL	TOTAL	P	11,963,700.00	35.71
--------------------------------------	-------	---------	-------	-------	---	---------------	-------

35.71 %	TOTAL	119,637	TOTAL P	11963700.00	35.71
---------	-------	---------	---------	-------------	-------

Common, Preferred or other classification

\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

## DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INCR	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>ARTHUR L. PANGANIBAN, JR.</b> Block 6, Lot 12, Veraville Montreal, BF Homes, Paranaque City	Filipino	N	C	M	Y	CHAIRMAN PRESIDENT & CEO	N/A	119-816-465
2. <b>MARGARETE R. ISLETA</b> 63 McKinley Hill Drive, McKinley Hill Village, Taguig City	Filipino	N	M	F	Y	N/A	N/A	116-280-794
3. <b>ALBERTO V. DEL ROSARIO, JR.</b> 324 West 89th Street, Apt. 3a, New York, NY 10024, USA	Filipino	N	M	M	Y	N/A	N/A	201-907-118
4. <b>INGEBORG V. DEL ROSARIO</b> Unit 714, Tower 2, Avida Serin East Condominium, Slang Junction North, Tagaytay City	Filipino	N	M	M	Y	N/A	N/A	209-925-001
5. <b>HANS-LOUIS V. DEL ROSARIO</b> Unit 2C, Pacific Plaza Condominium, Apartment Ridge Road, Brgy. Urdaneta, Makati City	Filipino	N	M	M	Y	N/A	A/M	166-643-971
6. <b>STEPHANIE R. INOCENTES</b> 59 McKinley Hill Drive, McKinley Hill Village, Taguig City	Filipino	N	M	F	Y	N/A	N/A	154-205-813
7. <b>JUAN ANTONIO H. INOCENTES</b> 59 McKinley Hill Drive, McKinley Hill Village, Taguig City	Filipino	N	M	M	Y	SVP - PROPERTY AND CASUALTY INSURANCE TREASURER	N/A	100-522-940
8. <b>MARICRU C. HAO</b> 11 Emerald Street, Cresdenville Subdivision, Tayray Rizal	Filipino	N	M	F	Y	SVP - FINANCE AND TREASURY	N/A	119-816-408
9. <b>ROWENA R. NICOLAS</b> 13 Dodge Street, Filinvest Homes 2, Batasan Hills, Quezon City	Filipino	N	M	F	Y	SVP - EMPLOYEE BENEFITS	C/M	125-646-271
10. <b>JOCELYN BATON-GARCIA</b> 35 Eagle Street, Capitol Hills Golf Subdivision, Matandang Balara, Quezon City	Filipino	N	I	F	Y	N/A	A/C C/M	135-863-718
11. <b>ANTONIO G. CUMAGUN</b> 1239 Acacia St., Dasmariñas Village, Makati City	Filipino	N	I	M	Y	N/A	A/M C/C	116-280-728
12. <b>VACANT</b>								
13. <b>ROSELYN M. RAGINIO</b> 1743 Oroquieta St., Sta. Cruz, Manila	Filipino	N	N	F	N	VP - HR AND ADMIN	N/A	101-773-889
14. <b>MA. ADELINA S. GATDULA</b> 62 Lansang Street, North Susana Executive Village, Bgy. Old Balara, Quezon City	Filipino	N	N	F	N	CORPORATE SECRETARY	N/A	107-043-114
15. <b>*NOTHING FOLLOWS*</b>								

### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INCR COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE, "A" FOR AUDIT COMMITTEE, "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:**

GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

**TOTAL NUMBER OF STOCKHOLDERS:**

11


**NO. OF STOCKHOLDERS WITH 100 OR MORE  
SHARES EACH:**

4

**TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:**

256,080,307.00

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)		
1. LAWRENCE J. GOTUACO* AMERICAN 21 Galaxy Street, Bel-Air Village, Makati City	COMMON				
	Class A	87,498	8,749,800.00		
	Class B	32,139	3,213,900.00		
	<b>TOTAL</b>	<b>119,637</b>	<b>11,963,700.00</b>		152-708-234
2. ALBERTO F. DEL ROSARIO** FILIPINO 1873 Sagu Street, Dasmariñas Village, Makati City	COMMON				
	Class A	104,998	10,499,800.00		
	Class B	38,574	3,857,400.00		
	<b>TOTAL</b>	<b>143,572</b>	<b>14,357,200.00</b>		119-816-369
3. ANDREW GOTUACO*** FILIPINO 129 Pili Drive, Ayala Alabang, Muntinlupa City	COMMON				
	Class A	35,001	3,500,100.00		
	Class B	12,859	1,285,900.00	14.29%	
	<b>TOTAL</b>	<b>47,860</b>	<b>4,786,000.00</b>		138-199-601
4. MARGARETE R. ISLETA FILIPINO 63 McKinley Hill Drive, McKinley Hill Village Taguig City	COMMON				
	Class A	17,498	1,749,800.00		
	Class B	6,426	642,600.00	7.14%	
	<b>TOTAL</b>	<b>23,924</b>	<b>2,392,400.00</b>		116-280-794
5. ANTONIO G. CUMAGUN FILIPINO 1239 Acacia St., Dasmariñas Village, Makati City	COMMON				
	Class B	1	100.00		
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>		116-280-728
6. ARTHUR L. PANGANIBAN, JR. FILIPINO Block 6, Lot 12, Verraville Montreal, BF Homes, Paranaque City	COMMON				
	Class B	1	100.00		
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>		119-816-465
7. HANS-LOUIS V. DEL ROSARIO FILIPINO Unit 2C, Pacific Plaza Condominium, Apartment Ridge Road, Brgy. Urdaneta, Makati City	COMMON				
	Class A	1	100.00		
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>		166-643-971
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>33,500,000.00</b>	<b>100.00%</b>	<b>35,000,000.00</b> 

\*The 119,637 shares of Lawrence J. Gotuaco have been sold to the following individuals pursuant to a Deed of Absolute Sale dated 18 October 2023 and pending issuance of the Certificate Authorizing Registration from the Bureau of Internal Revenue: (1) Alberto V. Del Rosario, Jr. - 33,500 Common Class A; (2) Ingeborg V. Del Rosario - 33,500 Common Class A; (3) Stephanie R. Innocentes - 20,498 Common Class A and 13,002 Common Class B; (4) Margarete R. Isleta - 19,137 Common Class B.

\*\*The 143,572 shares of the late Alberto F. Del Rosario includes the conjugal shares of his spouse Margaret Cretchen V. Del Rosario and the shares of the heirs to his estate, pending estate settlement proceedings.

\*\*\*The 47,860 shares of Andrew Gotuaco have been sold to the following individuals pursuant to a Deed of Absolute Sale dated 18 October 2023 and pending issuance of the Certificate Authorizing Registration from the Bureau of Internal Revenue: (1) Margarete R. Isleta - 14,362 Common Class A; (2) Hans-Louis V. Del Rosario - 20,639 Common Class A and 12,859 Common Class B.

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

**Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.**

# GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

TOTAL NUMBER OF STOCKHOLDERS:

11

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 4

TOTAL ASSETS BASED ON LATEST AUDITED FS:

256,080,307.00

## STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER-SHIP		
8. DAVID THOMAS GOTUACO**** FILIPINO 21 Galaxy Street, Bel-Air Village, Makati City	COMMON	1	100.00	NIL	100.00	907-650-348
	Class A					
	TOTAL	1	100.00			
9. JUAN ANTONIO H. INOCENTES FILIPINO 59 McKinley Hill Village, Taguig City	COMMON	1	100.00	NIL	100.00	100-522-940
	Class A					
	TOTAL	1	100.00			
10. MARGIE C. HAO FILIPINO 11 Emerald Street, Cresentville Subdivision, Taytay Rizal	COMMON	1	100.00	NIL	100.00	119-816-408
	Class A					
	TOTAL	1	100.00			
11. ROWENA R. NICOLAS FILIPINO 13 Dodge Street, Filinvest Homes 2, Batasan Hills, Quezon City	COMMON	1	100.00	NIL	100.00	125-646-271
	Class A					
	TOTAL	1	100.00			
12. REACQUIRED BY CORPORATION As of 17 February 1998	COMMON	15,000	1,500,000.00	4.28%	100.00	
	Class B					
	TOTAL	15,000	1,500,000.00			
13. *NOTHING FOLLOWS*						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			33,500,000.00	100.00%		
TOTAL AMOUNT OF PAID-UP CAPITAL					35,000,000.00	

\*\*\*\*The 1 Common Class A share of David Thomas Gotuaco has been sold to Hans-Louis V. Del Rosario pursuant to a Deed of Absolute Sale dated 18 October 2023 and is pending issuance of the Certificate of Authorization from the Bureau of Internal Revenue.

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PPTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

# GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

TOTAL NUMBER OF STOCKHOLDERS:

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES  
EACH:

TOTAL ASSETS BASED ON LATEST AUDITED FS:

## STOCKHOLDER'S INFORMATION

	NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
		TYPE	NUMBER	AMOUNT (Php)		
15.						
		TOTAL				
16.						
		TOTAL				
17.						
		TOTAL				
18.						
		TOTAL				
19.						
		TOTAL				
20.						
		TOTAL				
21. OTHERS (Indicate the number of the remaining stockholders)						
		TOTAL				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%		
TOTAL AMOUNT OF PAID-UP CAPITAL					0.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====  
CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>		<b>AMOUNT (Php)</b>		<b>DATE OF BOARD RESOLUTION</b>	
<b>1.1 STOCKS</b>		N/A		N/A	
<b>1.2 BONDS/COMMERCIAL PAPER (issued by Private Corporations)</b>		N/A		N/A	
<b>1.3 LOANS/ CREDITS/ ADVANCES</b>		N/A		N/A	
<b>1.4 GOVERNMENT TREASURY BILLS</b>		N/A		N/A	
<b>1.5 OTHERS</b>		N/A		N/A	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>			<b>DATE OF BOARD RESOLUTION</b>		<b>DATE OF STOCKHOLDERS RATIFICATION</b>
N/A			N/A		N/A
<b>3. TREASURY SHARES</b>			N/A		N/A
			<b>NO. OF SHARES</b>		<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
			COMMON CLASS B - 15,000		4.28%
<b>4. UNRESTRICTED/ UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: 15,469,914.00</b>					
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING NONE</b>					
<b>TYPE OF DIVIDEND</b>		<b>AMOUNT (Php)</b>		<b>DATE DECLARED</b>	
5.1 CASH		N/A		N/A	
5.2 STOCK		N/A		N/A	
5.3 PROPERTY		N/A		N/A	
<b>TOTAL</b>		N/A		N/A	
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: NONE</b>					
<b>DATE</b>		<b>NO. OF SHARES</b>		<b>AMOUNT</b>	
N/A		N/A		N/A	
N/A		N/A		N/A	
N/A		N/A		N/A	
N/A		N/A		N/A	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>					
<b>NAME OF AGENCY:</b>		<b>SEC</b>		<b>B S P</b>	
<b>TYPE OF LICENSE/ REGN.</b>		N/A		IB-48-2022-R	
<b>DATE ISSUED:</b>		N/A		January 1, 2022 valid until December 31, 2024	
<b>DATE STARTED OPERATIONS:</b>		N/A		N/A	
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)</b>		<b>TOTAL NO. OF OFFICERS</b>		<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	
NONE		16		49	
				<b>TOTAL MANPOWER COMPLEMENT</b>	
				65	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, MA. ADELINA S. GATDULA, Corporate Secretary of GOTUACO DEL ROSARIO INSURANCE BROKERS, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).


**MAY 21 2024**

Done this \_\_\_\_ day of \_\_\_\_, 2024 in Makati City.

  
\_\_\_\_\_  
MA. ADELINA S. GATDULA

**SUBSCRIBED AND SWORN TO** before me in Makati City, Metro Manila on **MAY 21 2024** by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Driver's License No. N04-85-005714 issued by the LTO and which will expire on 10 May 2032.

Doc. No. 34;  
Page No. 34;  
Book No. III;  
Series of 2024.

  
\_\_\_\_\_  
NOTARY PUBLIC  
**DIANNE FAYE A. LOSA**  
Appointment No. M-037  
Notary Public for Makati City  
Until December 31, 2024  
Liberty Center-Picazo Law  
104 H. V. Dela Costa Street, Makati City  
PTR No. 10081152/Makati City/01-09-2024  
IBP No. 301888/Camarines Sur/01-05-2024  
Admitted to the bar in 2022

**BENEFICIAL OWNERSHIP DECLARATION**  
**FOR THE YEAR: 2024**

SEC REGISTRATION NUMBER: 0000028175  
CORPORATE NAME: GOTUACO DEL ROSARIO INSURANCE BROKERS, INC.

- Instructions:**
1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in Items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
  2. Fill in the required information on the beneficial owner in the fields provided for.
  3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
  4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
  5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

**Category** **Description**

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.  
**B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.  
**C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.  
**D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.  
**E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.  
**F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).  
**G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.  
**H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.  
**I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> (Direct (D) or Indirect (I))	CATEGORY OF BENEFICIAL OWNERSHIP
Isleta, Margarete R.	63 McKinley Hill Drive, McKinley Hill Village, Taguig City	Filipino	06.15.1968	116-280-794	17.14%*	D	I (Director)
Cunegun, Antonio G.	1239 Acacia St., Desmarinas Village, Makati City	Filipino	04.01.1987	116-280-728	Nil	D	I (Independent Director)
Panganiban, Arthur L., Jr.	Block 6, Lot 12, Veraville Munroal, BR Horms, Parranque City	Filipino	08.25.1963	119-816-465	Nil	D	I (Director)
Del Rosario, Hans- Louis V.	Unit 2C, Pacific Plaza Condominium, Apartment Ridge Road, Boy, Urdaneta, Makati City	Filipino	02.20.1985	166-643-971	10%*	D	I (Director)
Innocentes, Juan Antonio H.	59 McKinley Hill Drive, McKinley Village, Taguig City	Filipino	05.05.1963	100-522-940	Nil	D	I (Director)
Del Rosario, Ingeberg V.	Unit 714, Tower 2, Ayuda Sein East Condominium, Siang Junction North, Taguig City	Filipino	06.05.1986	209-925-001	10%*	D	I (Director)
Del Rosario, Alberto V., Jr.	324 West 89th Street, Apt. 3a, New York, NY 10024, USA	Filipino	04.16.1963	201-907-118	10%*	D	I (Director)
Innocentes, Stephanie R.	59 McKinley Hill Drive, McKinley Hill Village, Taguig City	Filipino	09.02.1970	154-205-813	10%*	D	I (Director)
Baloon-Garcia, Jocelyn	35 Eagle Street, Capitol Hills Golf Subdivision, Marikina Baara, Quezon City	Filipino	01.27.1966	135-963-718	Nil	D	I (Independent Director)
Hao, Marchu C.	11 Emerald Street, Crescentville Subdivision, Taguig Rizal	Filipino	05.26.1960	119-816-408	Nil	D	I (Director)
Nicolas, Rowena R.	13 Dodge Street, Filinvest Homes 2, Balasan Hills, Quezon City	Filipino	10.01.1963	125-646-271	Nil	D	I (Director)

<sup>1</sup>Equity shareholdings pursuant to the *Deeds of Absolute Sale* dated 18 October 2023 and pending issuance of the BIR CAR.  
Note: This page is not for uploading on the SEC IView.

<sup>1</sup> For Stock Corporations.  
<sup>2</sup> For Non-Stock Corporations.  
<sup>3</sup> For Stock Corporations.