

This form is provided by Karpén Partners Pte. Ltd. for the purpose of conducting risk-based counterparty due diligence in connection with potential business introductions. It is issued following execution of a Non-Disclosure Agreement and a satisfactory outcome of Karpén Partners' pre-engagement screening. Karpén Partners operates as a non-regulated business introducer. Completion of this form does not create any obligation to proceed with an introduction or engagement. Fields marked * are mandatory. Karpén Partners may request additional documentation where Enhanced Due Diligence (EDD) is warranted.

1. ENTITY INFORMATION

LEGAL NAME *

TRADING NAME (IF DIFFERENT FROM LEGAL NAME)

REGISTRATION NUMBER / UEN *

COUNTRY OF INCORPORATION *

REGISTERED ADDRESS *

PRINCIPAL PLACE OF BUSINESS

If different from registered address

DATE OF INCORPORATION *

WEBSITE

https://

COMPANY CONTACT EMAIL *

COMPANY CONTACT TELEPHONE *

Include country code e.g. +65 6123 4567

NATURE OF BUSINESS *

PRIMARY INDUSTRY / SECTOR *

ANNUAL TURNOVER (APPROX. USD)

BRIEF DESCRIPTION OF PRINCIPAL ACTIVITIES *

2. OWNERSHIP AND CONTROL

2.1 SHAREHOLDERS (≥ 10% OWNERSHIP)

List all shareholders holding 10% or more. Include Passport / ID number for individuals.

Name	Country	Own. %	Date of Birth	Passport / ID No.	Type (Ind./Entity)

2.2 ULTIMATE BENEFICIAL OWNERS (UBOs ≥ 25%)

Complete for each UBO holding 25% or more of the ultimate economic interest.

Full Name	Date of Birth	Passport / ID No.	Nationality	Country of Residence	Ownership %

2.3 INTERMEDIATE HOLDING ENTITIES (IF APPLICABLE)

If ownership involves more than one corporate layer, describe the structure.

2.4 DIRECTORS AND KEY MANAGEMENT

Full Name	Role / Title	Country of Residence	Telephone	Email

2.5 CONTROL AND INFLUENCE

Please confirm whether any of the following apply. If yes, provide details below.

- Government ownership or control
- State-owned or state-linked entity
- Politically Exposed Person (PEP) involvement
- Family member or close associate of a PEP

If yes — please provide full details

3. PURPOSE OF INTRODUCTION

3.1 INTENDED PURPOSE — Tick all that apply

- Commercial partnership
- Strategic collaboration
- Supply / distribution relationship
- Financial instrument transaction (SBLC / BG / MTN / Bond)
- Capital introduction / fundraising
- Other (specify below)

Other — please specify

3.2 DESCRIPTION OF INTENDED ENGAGEMENT *

3.3 EXPECTED JURISDICTIONS INVOLVED *

List all countries expected to be involved in the transaction or engagement

3.4 ESTIMATED TRANSACTION / CONTRACT VALUE

Approximate value in USD or EUR e.g. USD 5,000,000

3.5 EXPECTED COMPLETION TIMEFRAME

e.g. 30 days / Q3 2026 / 12 months

4. REGULATORY STATUS

4.1 IS THE ENTITY SUBJECT TO REGULATORY SUPERVISION? *

Yes No

4.2 IF YES — REGULATOR(S) AND JURISDICTION(S)

e.g. Monetary Authority of Singapore (MAS) — Singapore

4.3 LICENCES / REGISTRATIONS HELD (IF APPLICABLE)

4.4 HAS ANY LICENCE OR REGISTRATION EVER BEEN REVOKED,
SUSPENDED, OR REFUSED? *

Yes No

If yes — please provide details

5. SANCTIONS AND COMPLIANCE

5.1 SANCTIONS CONFIRMATIONS

Sanctions lists include UN, OFAC, EU, MAS and all applicable authorities. FATF Black List currently includes: North Korea, Iran, Myanmar.

(a) The entity is not subject to sanctions imposed by any applicable authority, including the UN, OFAC, EU, and MAS.

(b) No UBO, director, or key individual associated with the entity is subject to applicable sanctions.

(c) The entity does not operate in sanctioned or restricted jurisdictions in breach of applicable laws, including FATF Black List jurisdictions.

5.2 ADVERSE HISTORY

Has the entity, or any UBO / director, been subject to regulatory enforcement; involved in litigation relating to fraud, corruption, or financial misconduct; or subject to adverse media on compliance concerns?

No — none of the above apply

Yes — one or more apply (provide details below)

If yes — please provide details

6. BANKING AND FINANCIAL RELATIONSHIPS

6.1 PRINCIPAL BANKING INSTITUTION(S)

Name and country e.g. DBS Bank — Singapore; HSBC — United Kingdom

6.2 FINANCIAL INSTRUMENTS INVOLVED? Tick all that apply.

Standby Letter of Credit (SBLC)

Bank Guarantee (BG)

Sovereign / Government Bond

Medium Term Note (MTN)

Other financial instrument (specify below)

None of the above

Other financial instrument — please specify

6.3 WILL FUNDS PASS THROUGH THIRD-PARTY ACCOUNTS, SPVs,
OR INTERMEDIARIES?

Yes

No

If yes — describe the third party, nature of involvement, and account jurisdiction

7. SOURCE OF FUNDS AND WEALTH

7.1 PRIMARY SOURCE OF FUNDS*

7.2 COUNTRY OF ORIGIN OF FUNDS*

Country where funds are held or originate from

7.3 DESCRIPTION OF BUSINESS REVENUE MODEL*

7.4 SOURCE OF WEALTH OF UBO(S)

e.g. Business ownership, inheritance, employment income

8. SUPPORTING DOCUMENTS

Please tick all applicable documents to confirm their attachment to and submission with this form to compliance@karpenpartners.com

- Passport or National ID - UBO(s) *
- Proof of Address - UBO(s) *
- Passport or National ID - Authorised Signatory *
- Certificate of Incorporation *
- Certificate of Good Standing
- Share Certificate or Register of Members *
- Memorandum & Articles of Association *
- Business Registry Extract
- Proof of Source of Funds *
- Latest Audited Accounts / Financial Statements
- Additional Documents (if any)

9. DECLARATIONS

9.1 The undersigned confirms that: (a) the information provided in this form is true, accurate, and complete to the best of its knowledge; (b) it will promptly notify Karpen Partners of any material changes; and (c) it consents to reasonable verification, screening, and due diligence checks by Karpen Partners or its appointed service providers.

9.2 The undersigned acknowledges that: (a) Karpen Partners acts solely as an independent introducer; (b) Karpen Partners does not provide investment, financial, legal, tax, regulatory, or any other professional advisory services; (c) Karpen Partners does not act as broker, intermediary in a regulated capacity, agent, fiduciary, or representative of any party; and (d) any engagement following an introduction shall be conducted independently by the parties.

9.3 The undersigned further acknowledges that: (a) Karpen Partners may decline, suspend, or terminate any engagement at its sole discretion; (b) completion of this form does not guarantee any introduction or engagement; and (c) Karpen Partners does not assume responsibility for the legality, suitability, or outcome of any transaction or relationship introduced.

9.4 Data Protection & Retention. The undersigned consents to the collection, use, and processing of personal data for due diligence, risk assessment, record keeping, and legal purposes. Data retained for five (5) years from submission or termination of engagement (whichever is later), per the Singapore PDPA 2012 and Karpen Partners' Privacy Policy.

9.5 Enhanced Due Diligence. Karpen Partners reserves the right to request additional documentation where EDD is required, including cases involving PEPs, high-risk jurisdictions, complex ownership structures, or transactions above certain value thresholds.

I confirm the above declarations and consent to Karpen Partners processing the information and documents provided for due diligence purposes.

AUTHORISED SIGNATORY

FULL NAME *	TITLE / ROLE *
COMPANY / ENTITY NAME *	EMAIL ADDRESS *
TELEPHONE (INCL. COUNTRY CODE) *	DATE <i>DD / MM / YYYY</i>

SIGNATURE — electronically signed with PandaDoc software

FOR INTERNAL USE ONLY — KARPEN PARTNERS COMPLIANCE

Reviewed By	Risk Classification	EDD Required?	Outcome	Date of Decision
Compliance Officer				