

Fee and Compensation as of June 30, 2020

The specific manner in which advisory fees are charged by the Firm is established with each client in a written Financial Planning or Investment Advisory Agreement. Fees are negotiable at the discretion of the Advisor, based on the scope and complexity of advisory services provided by the Firm, the duration of the relationship with the client and/or the client's family, the prevailing market rate where services are provided, and/or the existence of a pre-existing fee arrangement that is no longer offered by the Firm on new client accounts. The Advisor also may elect, in its sole discretion, to waive fees entirely in certain situations.

Hourly consulting fees are generally invoiced upon completion of services and due upon receipt. Investment advisory fees are generally payable quarterly in arrears, based upon the average value of the account during the period. Fees are calculated using the Firm's portfolio accounting and reporting system, Morningstar Office, and submitted to the Advisor's third party custodian and debited from client accounts. A copy of the quarterly advisory fee invoice is provided to each client via the Firm's Web Portal, or may be requested by email or U.S. mail. Accounts initiated or terminated during a calendar quarter will be charged a prorated fee. Upon termination of an account, any prepaid or unearned fees will be promptly refunded, and any earned or unpaid fees will become due and payable by the client. The Firm's standard advisory fee schedule is available publicly on its websites, <http://www.northcapital.com/advisory> or <http://www.compassvest.com>.

As of June 30, 2020, the following fee schedule is in effect:

Asset-based Advisory Fee

- .95% per annum of the first \$250 thousand
- .75% per annum for \$250k - \$1 million
- .50% per annum for \$1 - \$5 million
- .25% per annum for assets over \$5 million

Asset-based Advisory Fee – Evisor Platform

- .25% per annum

Asset-based Non-Discretionary Supervision and Reporting Fee

- .25% per annum

Platinum Services (Asset-based, No Hourly Consulting Fees)

1.25% per annum of the first \$1 million
1.00% per annum for \$1 - \$5 million
.50% per annum for assets over \$5 million

Hourly Consulting Fees

\$450 / hour – Senior Advisor
\$150 / hour – Junior Advisor

Hourly Consulting Fees – State of Utah

\$250 / hour maximum

Initial Financial Review

\$1,200 - \$2,500 fixed fee

Lower fees for comparable services may be available from other sources.

Advisory fees are exclusive of brokerage commissions, transaction fees, custodial fees, and other related costs and expenses. Clients will incur charges imposed by custodians, brokers, and other third parties such as fees charged by asset managers, custodial fees, deferred sales charges, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual funds and ETFs may also charge internal management fees, which are disclosed in a fund's prospectus. Such charges, fees and commissions are exclusive of and in addition to advisory fees payable to the Firm.

Neither the Advisor, other supervised personnel of the Advisor (including contract employees), nor any affiliates of the advisor or their employees, receive any portion of these commissions, fees, and costs under any circumstances. This firewall is designed to reinforce the separation between the Advisor and its affiliated broker-dealer.

The principal of the Firm and certain supervised persons are also registered representatives and/or associated persons of North Capital Private Securities Corporation, a registered broker dealer that is an affiliate of the Advisor under common control (See Item 10). Registered representatives may receive compensation for the sale of securities and other investment products to individuals or entities *who are not clients of the Advisor*. The Firm and North Capital Private Securities Corporation have adopted a policy whereby North Capital Private Securities is prohibited from soliciting, representing, transacting with, or providing services to any individual or entity who is a discretionary advisory client of the Advisor, or whose assets are under regular supervision of the Advisor, except for fee-only advisory or consulting services. Furthermore, neither the Advisor, its supervised personnel, its affiliates, nor personnel of the affiliates are permitted to receive any direct or indirect commission, trailers, or compensation of any type (other than advisory fees paid on a fully-disclosed basis) from or on business related to discretionary or supervisory clients of the Advisor. This policy is described more fully in the North Capital Code of Ethics.