

EXAMPLE PROVISIONAL PATENT APPLICATION

This oversimplified example is based on the rules and fees as of November 19, 2021. It assumes a single inventor, small entity status, and no contract with an agency of the US Government. Before preparing and filing a provisional patent application, a patent attorney or patent agent should be consulted. **Also, check the current fee schedule and rules at [uspto.gov](https://www.uspto.gov).**

Completed provisional patent application, check, and pre-stamped return postcard can be mailed to the following address:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

A \$150 check payable to “Commissioner for Patents” is included in the mailing envelope of this particular example provisional patent application. Again, double check the current fee schedule and the current provisional patent application rules at [uspto.gov](https://www.uspto.gov) and consult a patent attorney or patent agent.

In the mailing of this particular example provisional patent application, I would also include a pre-stamped self-addressed postcard. The front side of the postcard (same side as the stamp) shows my name and address. On the backside of the postcard I write the following:

The mailroom of the US Patent & Trademark Office acknowledges receiving a provisional patent application by Robert J. Harter for an invention entitled, “Bubble Producing Birthday Candle” and a \$150 check covering the filing fee.

The USPTO should mail this postcard back to verify they received the mailed-in provisional patent application.

Provisional Application for Patent Cover Sheet					
This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c)					
Inventor(s)					
Inventor 1 ROBERT J. HARTER					<input type="button" value="Remove"/>
Given Name	Middle Name	Family Name	City	State	Country
ROBERT	J.	HARTER	LACROSSE	WI	US
All Inventors Must Be Listed – Additional Inventor Information blocks may be generated within this form by selecting the Add button.					<input type="button" value="Add"/>
Title of Invention					
Attorney Docket Number (if applicable)					
Correspondence Address					
Direct all correspondence to (select one):					
<input type="radio"/> The address corresponding to Customer Number			<input checked="" type="radio"/> Firm or Individual Name		
Firm or Individual Name 1					
Firm or Individual Name 2					
Mailing Address of Applicant:					
Address 1		4233 CLIFFSIDE DRIVE			
Address 2					
City	LA. CROSSE	State/Province	WI		
Postal Code	54601	Country	US		
Phone	608-397-XXXX				

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.	
<input checked="" type="radio"/> No.	
<input type="radio"/> Yes, the invention was made by an agency of the United States Government. The U.S. Government agency name is:	
<input type="radio"/> Yes, the invention was under a contract with an agency of the United States Government. The name of the U.S. Government contract and Government contract number are:	

Entity Status**Applicant asserts small entity status under 37 CFR 1.27 or applicant certifies micro entity status under 37 CFR 1.29**


- ☒ Applicant asserts small entity status under 37 CFR 1.27
- ☐ Applicant certifies micro entity status under 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent.
- ☐ No

Warning

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

Signature

Please see 37 CFR 1.4(d) for the form of the signature.

Signature			Date (YYYY-MM-DD)	11/19/2021
First Name	ROBERT	Last Name	HARTER	Registration Number (If appropriate)
			(blank)	

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **This form can only be used when in conjunction with EFS-Web. If this form is mailed to the USPTO, it may cause delays in handling the provisional application.**

PROVISIONAL PATENT APPLICATION

Title

Bubble Producing Birthday Candle

Inventor

Robert J. Harter

4233 Cliffside Drive

La Crosse, WI 54601

Title

Bubble Producing Birthday Candle

Description

The birthday candle that is shown in FIGS. 1 – 3 includes a hollow stainless steel tube filled with pressurized helium gas. The tube is soldered shut at the bottom.

Near the top to the tube, there is a small orifice. And just above the orifice, there is a sponge saturated with liquid soap. The top of the tube is sealed shut with wax. A wick protrudes from the wax.

Lighting the wick with a match melts the wax to slowly release the helium through the small orifice. As the helium passes up through the liquid soap, helium-filled bubbles are formed that float upward.

FIG 1.



HELIUM
FILLED
BUBBLES



FIG 2

MELTED
WAX



TUBE



SPONGE SATURATED
WITH LIQUID SOAP

WICK

WAX

SMALL ORIFICE

STAINLESS STEEL TUBE

HELIUM

SOLDER

FIG 3



front side of postcard

Robert J. Harter 4233 Cliffside Drive La Crosse, WI 54601	Stamp
Robert J. Harter 4233 Cliffside Drive La Crosse, WI 54601	

backside of postcard

In the application of Robert J. Harter Title: Bubble-Producing Candle
The Mail Room of the US Patent & Trademark Office acknowledges receipt of the following:
\$150 check Provisional Application Cover Sheet (2 pgs.) Specification Description (1 pg.) Drawings (1 pg.)

**Don't forget to include the check
payable to
Commissioner for Patents**

Provisional Application for Patent Cover Sheet

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c)

Inventor(s)

Inventor 1

Remove

Given Name

Middle Name

Family Name

City

State

Country j

All Inventors Must Be Listed – Additional Inventor Information blocks may be generated within this form by selecting the **Add** button.

Add

Title of Invention

Attorney Docket Number (if applicable)

Correspondence Address

Direct all correspondence to (select one):

☐ The address corresponding to Customer Number☒ Firm or Individual Name

Firm or Individual Name 1

Firm or Individual Name 2

Mailing Address of Applicant:

Address 1

Address 2

City

State/Province

Postal Code

Country j

Phone

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No.☐ Yes, the invention was made by an agency of the United States Government. The U.S. Government agency name is:☐ Yes, the invention was under a contract with an agency of the United States Government. The name of the U.S. Government agency and Government contract number are:

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Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that : (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to a n other federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.