



Stringfellow Consulting Services Unlimited

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BSA/AML Title 31: Compliance doesn't just "stop" **2 Options: Virtual Zoom Seminar or Onsite**

Components & Compliance of the BSA/AML/CTF covered in This 2-day seminar:

- Covid-19 Compliance & Guidance
- C19 Closure & Opening Documentation
- Correcting neglected guidance
- Re-opening and setting active benchmarks
- BSA/AML Best practices during the pandemic
- FinCEN Covid-19 Advisories & How to apply them
- CDD Best Practices, P&Ps and Controls for Covid Conditions
- 31 U.S.C. 5311-5314e
- 5316-5330; 5331; 5332e
- 12 U.S.C. 1829b (Record Retention as it applies to Institutions)
- 12 U.S.C. 1951-1959e (Financial Record Keeping)
- Federal Crime of Money Laundering - Title 18, U.S. Code, Crimes and Criminal Procedure
- Federal Crime of Operating an Unlicensed or Unregistered Money Transmitting Business - Title 18 U.S. Code, Crimes and Criminal Procedure
- Public Law 107-56 & How it applies
- KYC/EDD/CDD Requirements and Compliance
- Why Audit firms do not care if you fail
- 5 U.S.C. §552 FOIA (Specifically §552a. Records maintained)
- 31cfr501 – Office of Foreign Asset Control (OFAC) (PEP, SDN etc.)
- DOEP ABAARR Compliance
- Part 4 → Chapter 26 → Section 6 of the IRS Examiners Manual
- Part 4 → Chapter 26 → Section 9 of the IRS Examiners Manual
- FFIEC BSA/AML Examination Manual for Financial Institutions
- 31 CFR Chapter X (Effective after March 1, 2011) (Title 31x)
- 31 CFR Part 103 (Effective through February 28, 2011)
- FATF 40 & 9 and Sanctions
- The IRS/FinCEN Audit & Follow-Up
- The BSA/AML Risk Assessment & Independent Review
- How to achieve full compliance (Manually!)
- Achieving Audit Success in an IRS, State or Bank Audit
- HIFCA/HIDTA & Available Grants
- Marijuana Businesses & CDD/EDD
- Tracking ATM/Kiosk Cash Out to Patrons
- **4/2020 New Audit Examiner's Manual**

- **2 FULL FACILITY DAYS Zoominar (December 14 & 15, 2020) JUST \$1000.00***
➤ **SINGLE ADMISSION: \$500.00 per attendee for the 2-Days**
*NO Limit on Number of Attendees (Yes, as many as you need!)

OR Choose Option 2:

- **2 FULL DAYS AT YOUR LOCATION JUST \$4500.00+Lodging**
- NO Limit on Number of Attendees (Yes, as many as you need!) Distancing Practiced & Our SCSU "Safe-Way" Protocols

Both options include full source documentation, Video Presentation for continued use, Master Manual, Employee test masters and excel training record tool, Certification of attendance. Both offerings include Covid-19 Compliance & Updates. Both offerings include Q&A. Both cover the same subject material. You may Register Directly at:

<https://barronstringfellow.com/2-day-seminar>

Why include so much? **Because we back up what we teach! We value your investment. And we are the ONLY FIRM who stands behind our work 100% - We don't leave you holding the bag!**

Why Stringfellow? We are the only firm performing IRS Comparable BSA/AML/CTF ("Title 31") Training, Reviews and Compliance. Mr. Stringfellow has 20+ years hands on experience instructing, auditing and performing BSA/AML Compliance work, including Senior Consultant at an Audit/CPA Firm & performing the position of BSA Compliance Officer in Tribal Casinos! No other Speaker or Firm has the experience that SCSU does under Real Life Conditions - We've been there, done that AND have the T-Shirts! We have performed 11 side by side IRS Audits, 2 State Audits and 10 Bank Audits on behalf of clients. We represented the USA at both the Asian & Australian Gaming Expos!

"Truth In Training... Excellence In Service"