



Financial Advisory and Intermediary
Services act 37 of 2002 (FAIS)
Disclosure Document

Approved and adopted October 2023

1. Introduction

In terms of the General Code of Conduct the Financial Advisory and Intermediary Services Act No. 37 of 2002 (FAIS), REdimension Capital (Pty) Ltd (Registration number 2021/012010/07) is required to disclose the information in this document to you.

You should therefore read through the document carefully to ensure you understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us.

You are entitled to a copy of this document for your own records.

2. Authorised Financial Services Provider

REdimension Capital (Pty) Ltd is an authorised financial services provider (FSP number 52205) in terms of Section 8 of FAIS.

A copy of our license certificate is available on request.

FSP name	REdimension Capital (Pty) Ltd
Registration number	2021/012010/07
FSP number	52205
Address	7 Herpo Road, Randburg, Johannesburg, 2106
Email	info@redimensioncapital.com
Telephone	+27 82 741 8543 / +27 83 254 8455
Website	www.redimensioncapital.com

3. Financial services and products

REdimension Capital (Pty) Ltd is authorised to render advice and intermediary services in respect of the following categories and financial products:

No	Financial product	Advice	Intermediary
CATEGORY 1			
1.8	Shares	X	X
1.9	Money Market Instruments	X	X
1.10	Debentures and securitised debt	X	X
1.11	Warrants, certificates and other instruments	X	X
1.12	Bonds	X	X
1.13	Derivatives	X	X
1.17	Short term deposits	X	X
1.18	Long term deposits	X	X
1.24	Structured deposits	X	X
1.25	Securities and instruments	X	X

4. Authorised Representatives and Key Individuals

The FSCA has approved the following key individuals to manage and oversee all the classes of business of the FSP.

Key individuals: Peter Clark and Matthew Marshall

The FSP has duly appointed the following representatives to render financial services on behalf of the FSP and accepts responsibility for the actions of the representatives in the rendering of financial services within the scope of their appointment:

Authorised representatives: Peter Clark and Matthew Marshall

5. Details of product supplier

REdimension Capital (Pty) Ltd does not market the products of product suppliers.

6. Exemptions

The following exemptions were granted to the FSP by the registrar:

- Exemption of eligible FSPs and eligible FSPs limited by product from requirements pertaining to audited financial statements (FAIS Notice 82 of 2015)

7. Indemnity cover

REdimension Capital (Pty) Ltd holds Financial Institutions Bond, Computer Crime and Professional Indemnity cover.

8. Conflicts of interest management policy

REdimension Capital (Pty) Ltd has adopted and implemented a conflict-of-interest management policy that complies with the provisions of FAIS. The conflict-of-interest management policy can be obtained on request from compliance@redimensioncapital.com

9. Compliance officer

Name	Advocate Debra Gill (Legal professional number: 103865, Compliance officer number: 2830)
Address	St Johns Road, Sea Point, Cape Town, 8001
Telephone	+27 83 441 6239
Email	Debragill@mweb.co.za
LinkedIn	www.inkedIn.com/in/adv-debra-gill-92935038/

10. Complaints

Should you wish to pursue a complaint against a key individual and/or representative of REdimension Capital (Pty) Ltd, you should address the complaint in writing by email to compliance@redimensioncapital.com or post for attention of the Compliance Officer at the registered address.

If you are not happy with the way in which your complaint has been handled or have not received a response within 6 weeks, you are entitled to refer your complaint to the Office of the Ombud for Financial Services Providers (referred to as the "FAIS Ombud"). The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider. Contact details for the FAIS Ombud are info@faisombud.co.za or telephone number 0860 324 766.

11. Financial Intelligence Center Act 3 of 2001 (FICA)

In terms of FICA, REdimension Capital (Pty) Ltd is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying documents.

We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

12. Disclaimer

You should note that there are risks involved in buying or selling any financial product, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions.