

ITEM I: Cover Page

FORM ADV PART 2B- INDIVIDUAL DISCLOSURE BROCHURE

The following contains information filed with the State of Washington in Form ADV part II.

for

Adam D. Droker Investment Advisor Representative

This brochure supplement provides information about Adam D. Droker that supplements the WaterRock Global Asset Management, LLC. brochure. You should have received a copy of that brochure. Please contact Adam D Droker, owner & CIO, if you did not receive WaterRock Global Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Adam D. Droker (4830451) is available on the SEC's website at www.adviserinfo.sec.gov



WaterRock Global Asset Management, LLC. 29 148th Ave SE Bellevue WA 98007 (P) 425-698-1463 (F) 425-698-1464 (W) www.WaterRockGlobal.com (E) Adroker@WaterRockGlobal.com Item 2: Educational Background and Business Experience

Adam D Droker-Born in 1980.

Education:

Bachelors of Arts Risk Management Business Administration, Washington State University. Masters of Business Administration Finance, Washington State University.

Business Background: Financial Advisor, Merrill Lynch 2/2005-2/2007. Financial Advisor, Morgan Stanley Smith Barney 2/2007-4/2011. Chief Investment Officer, WaterRock Global Asset Management LLC 4/2011-Current

Examinations: Series 7, Series 63, Series 65, & Series 31.

Item 3: Disciplinary Information

Adam D Droker has no disciplinary events to disclose.

Item 4: Other Business Activities

Adam D Droker is a member:

- Droker Financial LLC: is a legal entity that contracts currently holds funds related to Adam's interest in DROVY LLC. Adam spends less than 10 hours per year.
- STIGMARE is a promotional product. Adam is a limited partner and devotes less than 10 hours a year.
- The Vertigo Group, LLC: Seattle's exclusive private cigar club located in the historic SODO district of Seattle about 5 hours a month.
- SPAMPROS, LLC is an online marketing and sales organization 10-20 hours per month.
- DROVY, LLC a real estate acquisition & holding company, less than 5 hours per month.
- Adam D Droker jointly owns a rental property in Pullman, Washington.
- President Sunset/Puesta Del Sol Elementary PTA Treasurer, 5-40 hours per month.
- Umpire for softball and little league seasonal up to 5 hours per month
- BellEast Little League Director of Fundraising up to 5 hours per month

Item 5: Additional Compensation

Adam D Droker is a licensed insurance agent and receives compensation based on insurance commission sales in the State of Washington.

Item 6: Supervision

Adam D. Droker is the owner, is the owner of WaterRockGlobal Asset Management, LLC, and supervises all duties and activities. Adam D. Droker's contact information is 425-698-1463 or <u>adroker@waterrockglobal.com</u>.

Item 7: Requirements for State Registered Advisers

Adam D Droker has no additional requirements.