

Form ADV Part 2A: Firm Brochure

Item 1 – Cover Page

Haas Trade Advisors, LLC

Mailing Address

5970 E. State St., Unit #118
Rockford, IL 61108
(615) 314-5672

<https://haastradeadvisors.com/>

Date of Disclosure Brochure: April 2026

This disclosure brochure provides information about the qualifications and business practices of Haas Trade Advisors LLC (also referred to as I, me, Advisor and Haas Trade Advisors throughout this disclosure brochure). If you have any questions about the contents of this disclosure brochure, please contact Nathan D. Haas at (615) 314-5672 or Nhaas@haastradeadvisors.com. The information in this disclosure brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Haas Trade Advisors is also available on the Internet at www.adviserinfo.sec.gov. You can view my firm's information on this website by searching for Haas Trade Advisors LLC or my firm's CRD number 338730.

*Registration as an investment adviser does not imply a certain level of skill or training.

**Although Haas Trade Advisors is referred to as I or me throughout this brochure for your convenience, please understand that any engagement described under this brochure will be made with the legal entity of Haas Trade Advisors LLC.

Item 2 – Material Changes

Haas Trade Advisors is a newly registered investment adviser, and this disclosure brochure dated April 2026 is the first disclosure brochure prepared by my firm. In the future, this item will discuss only specific material changes that are made to the disclosure brochure and provide readers with a summary of such changes. I will also reference the date of the last annual update of this disclosure brochure.

I will ensure that you receive a summary of any material changes to this and subsequent disclosure brochures within 120 days after my firm's fiscal year ends. My firm's fiscal year ends on December 31, so you will receive the summary of material changes no later than April 30 each year. At that time, I will also offer or provide a copy of the most current disclosure brochure. I may also provide other ongoing disclosure information about material changes, as necessary.

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Item 4 – Advisory Business

Haas Trade Advisors is an investment adviser registered with the State of Illinois and is a limited liability company (LLC) formed under the laws of the State of Illinois.

- Nathan D. Haas is the Managing Member and 100% owner of Haas Trade Advisors. Full details of my education and business background are provided at *Item 19* of this Disclosure Brochure.
- Haas Trade Advisors filed its initial application to become registered as an investment adviser in October 2025.

Introduction

The investment advisory services of Haas Trade Advisors are provided to you through an appropriately licensed individual who is an investment adviser representative of Haas Trade Advisors (referred to as your investment adviser representative throughout this brochure).

Description of Advisory Services

The following are descriptions of the primary advisory services of Haas Trade Advisors. Please understand that a written agreement, which details the exact terms of the service, must be signed by you and Haas Trade Advisors before I can provide you the services described below.

Financial Planning & Consulting Services - Haas Trade Advisors offers financial planning services, which involve preparing a written financial plan covering specific or multiple topics. I provide full written financial plans, which typically address the following topics: Asset Allocation, Budgeting, Cash Flow Analysis, College/Education Planning, Estate Planning, Investment Planning, Retirement Planning, Risk Management, and Tax Planning. When providing financial planning and consulting services, my role is to find ways to help you understand your overall financial situation and help you set financial objectives.

My Financial Planning and Consulting process includes:

- Multiple 60-Minute Initial Start Up Meetings (or less depending on if the client was a former Haas Trade Financial Coaching client) via video conferencing, which includes:
 - A written (digital) copy of your financial plan
 - Which can include; your current financial values, financial goals, net worth, cash flow analysis, financial ratio analysis, SMART goals, and a monthly budget.
 - Recommendations in the following areas: savings targets, debt repayment, investment goals, retirement planning, insurance, estate planning, and tax planning.
- A 60-Minute Annual meeting via video conferencing to check your progress and make adjustments to your financial plan as necessary.
- 3 Floating 60-Minute Meetings via video conferencing which can be used to check your progress, answer questions (such as the stock market shooting up or down, and the client is curious if they need to adjust anything), or as major life events come up.
 - These sessions can be used quarterly, or sooner at the request of the client.
- Unlimited email support

Higher Tier clients may request additional meetings can be available at client request for higher Tier Clients (AUA of \$150,000 or more).

In addition to these services, I offer ongoing advisement consultations to participants in retirement plans Individual Retirement Accounts, (401(k) plans, profit sharing plans, etc.). When providing these services, I review your financial situation, goals and objectives as well as the investment options available in the retirement plan. I will review your retirement plan account at annually intervals and will make such recommendations from the list of available investment options in your retirement plan account as are deemed appropriate and consistent with your stated investment objectives and risk tolerance. These services do not constitute asset management services for your retirement plan account; I do not have investment discretion or trading authority over your retirement plan account. You determine whether or not to implement my advice. The implementation of any trades in your retirement plan account is your responsibility.

My financial planning and consulting services do not involve implementing any transaction on your behalf or the active and ongoing monitoring or management of your investments or accounts. Clients will have the sole responsibility for determining whether to implement my financial planning and consulting recommendations.

Limits Advice to Certain Types of Investments

Haas Trade Advisors provides investment advice on the following types of investments:

- Mutual Funds
- Exchange Traded Funds (ETFs)
- Exchange-listed Securities
- Corporate Debt Securities
- Certificates of Deposit
- Municipal Securities
- US Government Securities

Although I generally provide advice only on the products previously listed, I reserve the right to offer advice on any investment product that may be suitable for each client's specific circumstances, needs, goals and objectives.

It is not my typical investment strategy to attempt to time the market, but I may recommend increasing cash holdings modestly as deemed appropriate based on your risk tolerance and our expectations of market behavior. I may modify my investment strategy to accommodate special situations such as low basis stock, stock options, legacy holdings, inheritances, closely held businesses, collectibles, or special tax situations.

(Please refer to Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss for more information.)

Client Assets Managed by Haas Trade Advisors

The firm specializes in providing Financial Planning and Consulting services and does not have any assets under management.

Item 5 – Fees and Compensation

In addition to the information provided in *Item 4 – Advisory Business*, this section provides additional details regarding my firm’s services along with descriptions of each service’s fees and compensation arrangements. It should be noted that lower fees for comparable service may be available from other sources. The exact fees and other terms will be outlined in the agreement between you and Haas Trade Advisors.

Financial Planning & Consulting Services

The following are the fee arrangements available for financial planning and consulting services offered by Haas Trade Advisors.

Fees for Financial Planning and Consulting Services

Haas Trade Advisors provides holistic financial planning and consulting services under a variable fee basis dependent upon the client’s level of assets being advised by Haas trade Advisors (Assets Under Advisement or AUA)

It will be a tiered payment structured based on how much AUA we agree on.

Assets Under Advisement Range: (Monthly Fee to the client - 12 month contract paid monthly)

AUA Lower Level	AUA Upper Level	Monthly AUA Fee
\$0	\$10,000	\$25.00
\$10,001	\$50,000	\$50.00
\$50,001	\$75,000	\$75.00
\$75,001	\$100,000	\$100.00
\$100,001	\$125,000	\$125.00
\$125,001	\$150,000	\$150.00
\$150,001	\$200,000	\$175.00
\$200,001	\$500,000	\$200.00
\$501,000	\$1,000,000	\$250.00
\$1,000,001 and above		\$300.00

The AUA fee will be an annual contract with the clients with the AUA calculated once a year during their annual plan update review meeting (12 months from the client’s original contract date).

There will be an initial relationship startup fixed fee of \$1,000 to cover for the initial data gathering meeting and the initiation of the financial planning process. This initiation fee may be waived or reduced at the discretion of the Advisor if the client participates in the Financial Coaching Services provided under a related company of the Advisor (Haas Trade, LLC). This fee reduction is based upon the time savings provided by the client information being provided prior to initiating the Financial Planning Consulting program.

The initial fee waiver will be based on the below:

- Completion of at least a 4 month 1-on-1 coaching program - Waive all \$1,000
- Completion of at least a 4 month group coaching program - Waive \$500

- Completion of the self-paced coaching online course - Waive \$250

Either you or Haas Trade Advisors may terminate the financial planning services upon providing the other party with written notice of termination effective 30 days after the other party receives such notice of termination.

You may terminate the financial planning services within five (5) business days of entering into an agreement with Haas Trade Advisors without penalty or fees due. If you terminate the financial planning services after five (5) business days of entering into an agreement, you will be responsible for immediate payment of any financial planning services performed by Haas Trade Advisors prior to the receipt by Haas Trade Advisors of your notice. For financial planning services performed by Haas Trade Advisors under a fixed fee arrangement, you will pay Haas Trade Advisors a pro-rated fixed fee equivalent to the percentage of work completed by Haas Trade Advisors as determined by Haas Trade Advisors. In the event that there is a remaining balance of any fees paid in advance after the deduction of fees from the final invoice, those remaining proceeds will be refunded by Haas Trade Advisors to you.

Other Fee Terms for Financial Planning & Consulting Services

You may pay the investment advisory fees for the financial planning and consulting services by utilizing the Stripe Payment Software.

The Stripe Payment Software allows clients to arrange for secure fee payments using any credit or debit card. The use of Stripe provides clients with the convenience of on-line payments and avoids providing Haas Trading Advisors access to your account data.

You should notify Haas Trade Advisors within ten (10) days of receipt of an invoice if you have questions about or dispute any billing entry.

It should be noted that lower fees for comparable services may be available from other sources.

Item 6 – Performance-Based Fees and Side-By-Side Management

Performance-based fees are defined as fees based on a share of capital gains on or capital appreciation of the assets held in a client's account. *Item 6* is not applicable to this Disclosure Brochure because I do not charge or accept performance-based fees.

Item 7 – Types of Clients

Haas Trade Advisors generally provides investment advice to the following types of clients:

- Individuals

You are required to execute a written agreement with Haas Trade Advisors in order to establish a client arrangement with Haas Trade Advisors.

Minimum Investment Amounts Required

There are no minimum investment amounts or conditions required for establishing a relationship with Haas Trade Advisors. However, all clients are required to execute an agreement for services in order to establish a client arrangement with Haas Trade Advisors.

There is a minimum initial fee of \$1000.00 generally charged for financial planning and consulting services. The initial fee may be waived or reduced as explained above.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

Haas Trade Advisors uses the following methods of analysis in formulating investment advice:

Fundamental – This is a method of evaluating a security by attempting to measure its intrinsic value by examining related economic, financial and other qualitative and quantitative factors. Fundamental analysts attempt to study everything that can affect the security's value, including macroeconomic factors (like the overall economy and industry conditions) and individually specific factors (like the financial condition and management of a company). The end goal of performing fundamental analysis is to produce a value that an investor can compare with the security's current price in hopes of figuring out what sort of position to take with that security (underpriced = buy, overpriced = sell or short). Fundamental analysis is considered to be the opposite of technical analysis. Fundamental analysis is about using real data to evaluate a security's value. Although most analysts use fundamental analysis to value stocks, this method of valuation can be used for just about any type of security.

The risk associated with fundamental analysis is that it is somewhat subjective. While a quantitative approach is possible, fundamental analysis usually entails a qualitative assessment of how market forces interact with one another in their impact on the investment in question. It is possible for those market forces to point in different directions, thus necessitating an interpretation of which forces will be dominant. This interpretation may be wrong and could therefore lead to an unfavorable investment decision.

There are risks involved in using any analysis method.

Investment Strategies

Haas Trade Advisors uses the following investment strategies when providing financial planning services and/or providing investment advice:

Long term purchases. Investments held at least a year.

Strategic asset allocation. Calls for setting target allocations and then periodically rebalancing the portfolio back to those targets as investment returns skew the original asset allocation percentages. The concept is akin to a "buy and hold" strategy, rather than an active trading approach. Of course, the strategic asset allocation targets may change over time as the client's

goals and needs change and as the time horizon for major events such as retirement and college funding grow shorter.

Primarily Recommend One Type of Security

I do not primarily recommend one type of security to clients. Instead, I recommend any product that may be suitable for each client relative to that client's specific circumstances and needs.

Risk of Loss

Past performance is not indicative of future results. Therefore, you should never assume that future performance of any specific investment or investment strategy will be profitable. Investing in securities (including stocks, mutual funds, and bonds, etc.) involves risk of loss. Further, depending on the different types of investments there may be varying degrees of risk. You should be prepared to bear investment loss including loss of original principal.

Because of the inherent risk of loss associated with investing, my firm is unable to represent, guarantee, or even imply that my services and methods of analysis can or will predict future results, successfully identify market tops or bottoms, or insulate you from losses due to market corrections or declines. There are certain additional risks associated with investing in securities through my financial planning/consulting services, as described below:

- **Market Risk** – Either the stock market as a whole, or the value of an individual company, goes down resulting in a decrease in the value of client investments. This is also referred to as systemic risk.
- **Equity (stock) market risk** – Common stocks are susceptible to general stock market fluctuations and to volatile increases and decreases in value as market confidence in and perceptions of their issuers change. If you held common stock, or common stock equivalents, of any given issuer, you would generally be exposed to greater risk than if you held preferred stocks and debt obligations of the issuer.
- **Company Risk**. When investing in stock positions, there is always a certain level of company or industry specific risk that is inherent in each investment. This is also referred to as unsystematic risk and can be reduced through appropriate diversification. There is the risk that the company will perform poorly or have its value reduced based on factors specific to the company or its industry. For example, if a company's employees go on strike or the company receives unfavorable media attention for its actions, the value of the company may be reduced.
- **Fixed Income Risk**. When investing in bonds, there is the risk that the issuer will default on the bond and be unable to make payments. Further, individuals who depend on set amounts of periodically paid income face the risk that inflation will erode their spending power. Fixed-income investors receive set, regular payments that face the same inflation risk.
- **Options Risk**. Options on securities may be subject to greater fluctuations in value than an investment in the underlying securities. Purchasing and writing put and call options are highly specialized activities and entail greater than ordinary investment risks.

- ETF and Mutual Fund Risk – When investing in an ETF or mutual fund, you will bear additional expenses based on your pro rata share of the ETF's or mutual fund's operating expenses, including the potential duplication of management fees. The risk of owning an ETF or mutual fund generally reflects the risks of owning the underlying securities the ETF or mutual fund holds. You will also incur brokerage costs when purchasing ETFs.
- Management Risk – Your investment with my firm varies with the success and failure of my investment strategies, research, analysis and determination of portfolio securities. If my investment strategies do not produce the expected returns, the value of the investment will decrease.

Item 9 – Disciplinary Information

Item 9 is not applicable to this Disclosure Brochure because there are no legal or disciplinary events that are material to a client's or prospective client's evaluation of my business or integrity.

Item 10 – Other Financial Industry Activities and Affiliations

Haas Trade Advisors is **not** and does **not** have a related person that is a broker/dealer, municipal securities dealer, government securities dealer or broker, an investment company or other pooled investment vehicle (including a mutual fund, closed-end investment company, unit investment trust, private investment company or "hedge fund," and offshore fund), another investment adviser or financial planner, a futures commission merchant, commodity pool operator, or commodity trading advisor, a banking or thrift institution, an accountant or accounting firm, a lawyer or law firm, an insurance company or agency, a pension consultant, a real estate broker or dealer, and a sponsor or syndicator of limited partnerships.

I am an independent registered investment adviser and only provide investment advisory services. I am not engaged in any other business activities and offer no other services except those described in this Disclosure Brochure.

Other Business Activities of Company President

Nathan D. Haas is also the principal owner and Financial Coach for Haas Trade, LLC, a financial coaching firm. He provides non-investment related coaching activities to clients. He spend approximately 20% of his time on this activity.

Nathan D. Haas is also employed by Fehr Graham as a Civil Engineer. This is a non-investment related activity that is a full time position. He spends the majority of his time on this activity during business hours.

Nathan D. Haas is currently also a member of the Tennessee Air National Guard as a Civil Engineering Officer. He spends less than 10% of his time on this activity.

Item 11 – Code of Ethics, Participation in Client Transactions and Personal Trading

Code of Ethics Summary

An investment adviser is considered a fiduciary and has a fiduciary duty to all clients. Haas Trade Advisors has established a Code of Ethics to comply with the requirements of the securities laws and regulations that reflects its fiduciary obligations and those of its supervised persons. The Code of Ethics also requires compliance with federal securities laws. Haas Trade Advisors' Code of Ethics covers all individuals that are classified as "supervised persons." All employees, officers, directors and investment adviser representatives are classified as supervised persons. Haas Trade Advisors requires its supervised persons to consistently act in your best interest in all advisory activities. Haas Trade Advisors imposes certain requirements on its affiliates and supervised persons to ensure that they meet the firm's fiduciary responsibilities to you. The standard of conduct required is higher than ordinarily required and encountered in commercial business.

This section is intended to provide a summary description of the Code of Ethics of Haas Trade Advisors. If you wish to review the Code of Ethics in its entirety, you should send me a written request and upon receipt of your request, I will promptly provide a copy of the Code of Ethics to you.

Affiliate and Employee Personal Securities Transactions Disclosure

Haas Trade Advisors or supervised persons of the firm buy and sell for their personal accounts, investment products identical to those recommended to clients. This creates a conflict of interest. It is the express policy of Haas Trade Advisors that all persons associated in any manner with my firm must place clients' interests ahead of their own when implementing personal investments. As is required by our internal procedures manual, Haas Trade Advisors and its supervised persons will not buy or sell securities for their personal account(s) where their decision is derived, in whole or in part, by information obtained as a result of employment or association with my firm unless the information is also available to the investing public upon reasonable inquiry.

I am now and will continue to be in compliance with applicable state and federal rules and regulations. To mitigate conflicts of interest that can occur when access persons manage their personal accounts at the same time Haas Trade Advisors provides advisory services, I have developed written supervisory procedures that include personal investment and trading policies for my representatives, employees and their immediate family members (collectively, supervised persons):

- Supervised persons cannot prefer their own interests to that of the client.
- Supervised persons cannot purchase or sell any security for their personal accounts prior to implementing transactions for client accounts.
- Supervised persons cannot buy or sell securities for their personal accounts when those decisions are based on information obtained as a result of their employment unless that information is also available to the investing public upon reasonable inquiry.
- Supervised persons are prohibited from purchasing or selling securities of companies in which any client is deemed an "insider."
- Supervised persons are discouraged from conducting frequent personal trading.
- Supervised persons are generally prohibited from serving as board members of publicly traded companies unless an exception has been granted to the Chief Compliance Officer of Haas Trade

Advisors.

Any Supervised person not observing our policies is subject to sanctions up to and including termination.

Item 12 – Brokerage Practices

The firm specializes in providing Financial Planning and Consulting services and does not have trading authority over any client accounts or assets.

Item 13 – Review of Accounts

Account Reviews and Reviewers

Client plans are reviewed at least annually. While the calendar is the main triggering factor, reviews can also be conducted at your request. Reviews will include investment strategy and objectives review and making a change if strategy and objectives have changed. Reviews are conducted by Nathan D. Haas, with reviews performed in accordance with your investment goals and objectives.

Our financial planning and consulting services do not include monitoring the investments of your account(s), and therefore, there is no ongoing review of your account(s) under such services.

Statements and Reports

Financial planning clients do not receive any report other than the written plan originally contracted for and provided by Haas Trade Advisors.

You are encouraged to always compare any reports or statements provided by me against the account statements delivered from your qualified custodian.

Item 14 – Client Referrals and Other Compensation

Haas Trade Advisors does not directly or indirectly compensate any person for client referrals.

The only compensation received from advisory services is the fees charged for providing investment advisory services as described in *Item 5* of this Disclosure Brochure. Haas Trade Advisors receives no other forms of compensation in connection with providing investment advice.

Please see Item 5, Fees and Compensation, Item 10, Other Financial Industry Activities and Affiliations and Item 12, Brokerage Practices, for additional discussion concerning other compensation.

Item 15 – Custody

Custody, as it applies to investment advisors, has been defined by regulators as having access or control over client funds and/or securities. In other words, custody is not limited to physically holding client funds

and securities. If an investment adviser has the ability to access or control client funds or securities, the investment adviser is deemed to have custody and must ensure proper procedures are implemented. According to this definition, Haas Trade Advisors does **not** have custody of client funds or securities.

Item 16 – Investment Discretion

The firm specializes in providing Financial Planning and Consulting services and does not have trading authority over any client accounts or assets.

Item 17 – Voting Client Securities

Proxy Voting

Haas Trade Advisors does not vote proxies on behalf of Clients. I have determined that taking on the responsibilities for voting client securities does not add enough value to the services provided to you to justify the additional compliance and regulatory costs associated with voting client securities. Therefore, it is your responsibility to vote all proxies for securities held in Account.

You will receive proxies directly from the qualified custodian or transfer agent; I will not provide you with the proxies. You are encouraged to read through the information provided with the proxy-voting documents and make a determination based on the information provided. Although I do not vote client proxies, if you have a question about a particular proxy feel free to contact me. However, you will have the ultimate responsibility for making all proxy-voting decisions.

Item 18 – Financial Information

This *Item 18* is not applicable to this brochure. Haas Trade Advisors does not require or solicit prepayment of more than \$500 in fees per client, six months or more in advance. Therefore, I am not required to include a balance sheet for the most recent fiscal year. I am not subject to a financial condition that is reasonably likely to impair my ability to meet contractual commitments to clients. Finally, Haas Trade Advisors has not been the subject of a bankruptcy petition at any time.

Item 19 – Requirements for State-Registered Advisers

Executive Officer and Management Personnel

Nathan D. Haas

Educational Background:

University of Iowa, Bachelor of Science in Civil Engineering: 2018

Southern Illinois University Carbondale, Studied Aviation Management from 08/2012 to 05/2015

Business Experience:

Haas Trade Advisors, Managing Member, 09/2025 to Present;

Haas Trade LLC, Founder/Financial Coach, 02/2021 to Present;
Tennessee Air National Guard, Civil Engineering Officer, 04/2021 to Present;
Fehr Graham, Civil Engineer, 08/2025 to Present;
PFS Investments Inc., Sales, 02/2025 to 03/2025;
Primerica Financial Services, Sales, 10/2024 to 03/2025;
Hanson Professional Services, Airport Civil Engineer, 10/2019 to 01/2024;
HLR Inc, Civil Engineer, 06/2019 to 10/2019

Other Business Activities

See Item 10 – Other Financial Industry Activities and Affiliations.

No Performance Based Fees

As previously disclosed in *Item 6*, Haas Trade Advisors does not charge or accept performance-based fees.

No Arbitrations

Haas Trade Advisors or any of its associated persons have not been the subject of any client arbitrations or similar legal disputes.

No Arrangement with Issuer of Securities

Haas Trade Advisors and its management do not have any relationship or arrangement with any issuer of securities.

Customer Privacy Policy Notice

In November of 1999, Congress enacted the Gramm-Leach-Bliley Act (GLBA). The GLBA requires certain financial institutions, such as investment advisor firms, to protect the privacy of customer information. In situations where a financial institution does disclose customer information to non-affiliated third parties, other than permitted or required by law, customers must be given the opportunity to opt out or prevent such disclosure. Haas Trade Advisors does not share or disclose customer information to non-affiliated third parties except as permitted or required by law.

Haas Trade Advisors is committed to safeguarding the confidential information of its clients. Haas Trade Advisors holds all personal information provided by clients in the strictest confidence and it is the objective of Haas Trade Advisors to protect the privacy of all clients. Except as permitted or required by law, Haas Trade Advisors does not share confidential information about clients with non-affiliated parties. In the event that there were to be a change in this policy, Haas Trade Advisors will provide clients with written notice and clients will be provided an opportunity to direct Haas Trade Advisors as to whether such disclosure is permissible.

To conduct regular business, Haas Trade Advisors may collect personal information from sources such as:

- Information reported by the client on applications or other forms the client provides to Haas Trade Advisors
- Information about the client's transactions implemented by Haas Trade Advisors or others
- Information developed as part of financial plans, analyses or investment advisory services

To administer, service and provide related services for client accounts, it is necessary for Haas Trade Advisors to provide access to customer information within the firm and to non-affiliated companies, with whom Haas Trade Advisors has entered into agreements. To provide the utmost service, Haas Trade Advisors may disclose the information below regarding customers and former customers, as necessary, to companies to perform certain services on Haas Trade Advisors' behalf.

- Information Haas Trade Advisors receives from the client on applications (name, Social Security number, address, assets, etc.)
- Information about the client's transactions with Haas Trade Advisors or others (account information, payment history, parties to transactions, etc.)
- Information concerning investment advisory account transactions
- Information about a client's financial products and services transaction with Haas Trade Advisors

Since Haas Trade Advisors shares non-public information solely to service client accounts, Haas Trade Advisors does not disclose any non-public personal information about Haas Trade Advisors' customers or former customers to anyone, except as permitted by law. However, Haas Trade Advisors may also provide customer information outside of the firm as required by law, such as to government entities, consumer reporting agencies or other third parties in response to subpoenas. In the event that Haas Trade Advisors has a change to its customer privacy policy that would allow it to disclose non-public information not covered under applicable law, Haas Trade Advisors will allow its clients the opportunity to opt out of such disclosure.

Business Continuity Plan

Haas Trade Advisors has a business continuity and contingency plan in place designed to respond to significant business disruptions. These disruptions can be both internal and external. Internal disruptions will impact my ability to communicate and do business, such as a fire in the office building. External disruptions will prevent the operation of the securities markets or the operations of a number of firms, such as earthquakes, wildfires, hurricanes, terrorist attack or other wide-scale, regional disruptions.

My continuity and contingency plan has been developed to safeguard employees' lives and firm property, to allow a method of making financial and operational assessments, to quickly recover and resume business operations, to protect books and records, and to allow clients to continue transacting business.

The plan includes the following:

- Alternate locations to conduct business;
- Hard and electronic back-ups of records;
- Alternative means of communications with employees, clients, critical business constituents and regulators; and
- Details on the firm's employee succession plan

My business continuity and contingency plan is reviewed and updated on a regular basis to ensure that the policies in place are sufficient and operational.

Form ADV Part 2B: Brochure Supplement

Item 1 – Cover Page

Nathan D. Haas
Haas Trade Advisors, LLC
Mailing Address
5970 E. State St., Unit #118
Rockford, IL 61108
(615) 314-5672

Date of Supplement: April 2026

This brochure supplement provides information about Nathan D. Haas that supplements the Haas Trade Advisors LLC (“Haas Trade Advisors”) disclosure brochure. You should have received a copy of that brochure. Please contact <https://haastradeadvisors.com/> at (615) 314-5672 or at Nhaas@haastradeadvisors.com if you did not receive Haas Trade Advisors’ brochure or if you have any questions about the contents of this supplement.

Additional information about Nathan D. Haas is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Nathan D. Haas

Born 1993, CRD # 8041922

Post Secondary Educational Background:

University of Iowa, Bachelor of Science in Civil Engineering: 2018

Southern Illinois University Carbondale, Studied Aviation Management from 08/2012 to 05/2015

Business Background:

Haas Trade Advisors, Managing Member/Investment Advisor Representative, 09/2025 to Present;

Haas Trade LLC, Founder/Financial Coach, 02/2021 to Present;

Tennessee Air National Guard, Civil Engineering Officer, 04/2021 to Present;

Fehr Graham, Civil Engineer, 08/2025 to Present;

PFS Investments Inc., Sales, 02/2025 to 03/2025;

Primerica Financial Services, Sales, 10/2024 to 03/2025;

Hanson Professional Services, Airport Civil Engineer, 10/2019 to 01/2024;

HLR Inc, Civil Engineer, 06/2019 to 10/2019

Item 3 – Disciplinary Information

Nathan D. Haas has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Nathan D. Haas is also the principal owner and Financial Coach for Haas Trade, LLC, a financial coaching firm. He provides non-investment related coaching activities to clients. He spend approximately 20% of his time on this activity.

Nathan D. Haas is also employed by Fehr Graham as a Civil Engineer. This is a non-investment related activity that is a full time position. He spends the majority of his time on this activity during business hours.

Nathan D. Haas is currently also a member of the Tennessee Air National Guard as a Civil Engineering Officer. He spends less than 10% of his time on this activity.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, Nathan D. Haas can receive additional benefits.

Certain product sponsors may provide Nathan D. Haas with other economic benefits as a result of his recommendation or sale of the product sponsors' investments. The economic benefits received by Nathan D. Haas from product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Nathan D. Haas in providing various services to clients.

Although Haas Trade Advisors and Nathan D. Haas endeavor at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives (“affiliated persons”), these arrangements could affect the judgment of Nathan D. Haas when recommending investment products. These situations present a conflict of interest that may affect the judgment of affiliated persons including Nathan D. Haas.

Item 6 – Supervision

Nathan D. Haas is the Chief Compliance Officer of Haas Trade Advisors. He is responsible for overseeing and enforcing the firm’s compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Nathan D. Haas can be contacted at (615) 314-5672.

Item 7 – Requirements for State-Registered Advisers

Nathan D. Haas has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.