

Employment Eligibility Verification Department of Homeland Security

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 08/31/2019

► START HERE: Read instructions carefully before completing this form. The instructions must be available, either in paper or electronically, during completion of this form. Employers are liable for errors in the completion of this form.

ANTI-DISCRIMINATION NOTICE: It is illegal to discriminate against work-authorized individuals. Employers **CANNOT** specify which document(s) an employee may present to establish employment authorization and identity. The refusal to hire or continue to employ an individual because the documentation presented has a future expiration date may also constitute illegal discrimination.

than the first day of employment , but n	ot before accepting a			complete an	u sigii se		of Form I-9 no late
ast Name (Family Name)	First Name (Given N	First Name (Given Name)			Other L	ast Name	s Used (if any)
ddress (Street Number and Name)	er City o	or Town			State	ZIP Code	
Date of Birth (mm/dd/yyyy) U.S. Social Security Number Employee's E-mail Address En							Telephone Number
am aware that federal law provides for		d/or fines	for false s	tatements o	or use of	false do	cuments in
attest, under penalty of perjury, that	am (check one of the	he followi	ing boxes)	:			
1. A citizen of the United States							
2. A noncitizen national of the United Stat	es (See instructions)						
3. A lawful permanent resident (Alien R	egistration Number/US0	CIS Numbe	r):				
4. An alien authorized to work until (exp. Some aliens may write "N/A" in the exp.		-			_		
Aliens authorized to work must provide only An Alien Registration Number/USCIS Numb						Do	QR Code - Section 1 Not Write In This Space
Alien Registration Number/USCIS Number OR	er:						
2. Form I-94 Admission Number: OR							
3. Foreign Passport Number:							
Country of Issuance:							
Signature of Employee				Today's Dat	<mark>e</mark> (mm/dd.	<i>(yyyy</i>)	
Preparer and/or Translator Cert I did not use a preparer or translator. (Fields below must be completed and signaturest, under penalty of perjury, that	A preparer(s) and/or uned when preparers	translator(s	nslators as	sist an emplo	oyee in c	ompletin	g Section 1.)
knowledge the information is true and							
Signature of Preparer or Translator					Today's [Date (mm/	dd/yyyy)
ast Name (Family Name)			First Name	(Given Name)			

LISTS OF ACCEPTABLE DOCUMENTS All documents must be UNEXPIRED

Employees may present one selection from List A or a combination of one selection from List B and one selection from List C.

	LIST A Documents that Establish Both Identity and Employment Authorization	DR	LIST B Documents that Establish Identity	ID	LIST C Documents that Establish Employment Authorization
2.	U.S. Passport or U.S. Passport Card Permanent Resident Card or Alien Registration Receipt Card (Form I-551) Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine- readable immigrant visa		Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address ID card issued by federal, state or local government agencies or entities,	1.	A Social Security Account Number card, unless the card includes one of the following restrictions: (1) NOT VALID FOR EMPLOYMENT (2) VALID FOR WORK ONLY WITH INS AUTHORIZATION (3) VALID FOR WORK ONLY WITH DHS AUTHORIZATION
4.	Employment Authorization Document that contains a photograph (Form I-766)		provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address	2.	Certification of Birth Abroad issued by the Department of State (Form FS-545)
5.	For a nonimmigrant alien authorized to work for a specific employer because of his or her status: a. Foreign passport; and b. Form I-94 or Form I-94A that has the following: (1) The same name as the passport; and (2) An endorsement of the alien's nonimmigrant status as long as that period of endorsement has not yet expired and the	1	3. School ID card with a photograph 3. Voter's registration card 4. U.S. Military card or draft record 5. Military dependent's ID card 6. U.S. Coast Guard Merchant Mariner Card 7. U.S. Coast Guard Merchant Mariner Card 8. Native American tribal document 9. Driver's license issued by a Canadian government authority	4.5.6.	Certification of Report of Birth issued by the Department of State (Form DS-1350) Original or certified copy of birth certificate issued by a State, county, municipal authority, or territory of the United States bearing an official seal Native American tribal document U.S. Citizen ID Card (Form I-197)
6.	proposed employment is not in conflict with any restrictions or limitations identified on the form. Passport from the Federated States of Micronesia (FSM) or the Republic of the Marshall Islands (RMI) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and the FSM or RMI	į	For persons under age 18 who are unable to present a document listed above: O. School record or report card Clinic, doctor, or hospital record Day-care or nursery school record		Resident Citizen in the United States (Form I-179) Employment authorization document issued by the Department of Homeland Security

Examples of many of these documents appear in Part 8 of the Handbook for Employers (M-274).

Refer to the instructions for more information about acceptable receipts.

Form **W-4**

Employee's Withholding Certificate

► Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay.

► Give Form W-4 to your employer.

► Your withholding is subject to review by the IRS.

2020

OMB No. 1545-0074

Department of the Treasury Internal Revenue Service

Step 1:	(a) First name and middle initial	Last name		(b) So	cial security number
Enter Personal Information	Address City or town, state, and ZIP code			name o	your name match the on your social security f not, to ensure you get or your earnings, contact
	City or town, state, and zir code				800-772-1213 or go to
	(c) Single or Married filing separately				
	Married filing jointly (or Qualifying widow(er)				
	Head of household (Check only if you're unm	arried and pay more than half the costs	of keeping up a home for y	ourself and	d a qualifying individual.)
	os 2–4 ONLY if they apply to you; otherw in from withholding, when to use the online		2 for more informati	on on e	ach step, who can
Step 2: Multiple Jobs	Complete this step if you (1) hold r also works. The correct amount of v				
or Spouse	Do only one of the following.				
Works	(a) Use the estimator at www.irs.go	v/W4App for most accurate wi	thholding for this ste	o (and S	Steps 3–4); or
	(b) Use the Multiple Jobs Worksheet o	n page 3 and enter the result in S	Step 4(c) below for roug	hly accu	rate withholding; or
	(c) If there are only two jobs total, yo is accurate for jobs with similar p				
	TIP: To be accurate, submit a 2020 income, including as an independent			se) have	e self-employment
	os 3–4(b) on Form W-4 for only ONE of tate if you complete Steps 3–4(b) on the For			obs. (Yo	ur withholding will
Step 3:	If your income will be \$200,000 or le	ss (\$400,000 or less if married	filing jointly):		
Claim Dependents	Multiply the number of qualifying	children under age 17 by \$2,000	\$	_	
	Multiply the number of other dep	pendents by \$500	▶ <u>\$</u>	-	
	Add the amounts above and enter the	ne total here		3	\$
Step 4 (optional): Other	(a) Other income (not from jobs). I this year that won't have withhold include interest, dividends, and re	ling, enter the amount of other			\$
Adjustments					
7 	(b) Deductions. If you expect to c and want to reduce your withhol enter the result here			II.	\$
	(c) Extra withholding. Enter any ad	ditional tax you want withheld	each pay period .	4(c)	
Step 5: Sign	Under penalties of perjury, I declare that this ce	rtificate, to the best of my knowled	dge and belief, is true, c	orrect, a	nd complete.
Here	\		k		
	Employee's signature (This form is not	valid unless you sign it.)		ate	
Employers Only	Employer's name and address		First date of employment	Employe number	er identification (EIN)

Form W-4 (2020) Page **2**

General Instructions

Future Developments

For the latest information about developments related to Form W-4, such as legislation enacted after it was published, go to www.irs.gov/FormW4.

Purpose of Form

Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay. If too little is withheld, you will generally owe tax when you file your tax return and may owe a penalty. If too much is withheld, you will generally be due a refund. Complete a new Form W-4 when changes to your personal or financial situation would change the entries on the form. For more information on withholding and when you must furnish a new Form W-4, see Pub. 505.

Exemption from withholding. You may claim exemption from withholding for 2020 if you meet both of the following conditions: you had no federal income tax liability in 2019 and you expect to have no federal income tax liability in 2020. You had no federal income tax liability in 2019 if (1) your total tax on line 16 on your 2019 Form 1040 or 1040-SR is zero (or less than the sum of lines 18a, 18b, and 18c), or (2) you were not required to file a return because your income was below the filing threshold for your correct filing status. If you claim exemption, you will have no income tax withheld from your paycheck and may owe taxes and penalties when you file your 2020 tax return. To claim exemption from withholding, certify that you meet both of the conditions above by writing "Exempt" on Form W-4 in the space below Step 4(c). Then, complete Steps 1(a), 1(b), and 5. Do not complete any other steps. You will need to submit a new Form W-4 by February 16, 2021.

Your privacy. If you prefer to limit information provided in Steps 2 through 4, use the online estimator, which will also increase accuracy.

As an alternative to the estimator: if you have concerns with Step 2(c), you may choose Step 2(b); if you have concerns with Step 4(a), you may enter an additional amount you want withheld per pay period in Step 4(c). If this is the only job in your household, you may instead check the box in Step 2(c), which will increase your withholding and significantly reduce your paycheck (often by thousands of dollars over the year).

When to use the estimator. Consider using the estimator at *www.irs.gov/W4App* if you:

- 1. Expect to work only part of the year;
- 2. Have dividend or capital gain income, or are subject to additional taxes, such as the additional Medicare tax;
- 3. Have self-employment income (see below); or
- 4. Prefer the most accurate withholding for multiple job situations.

Self-employment. Generally, you will owe both income and self-employment taxes on any self-employment income you receive separate from the wages you receive as an employee. If you want to pay these taxes through withholding from your wages, use the estimator at www.irs.gov/W4App to figure the amount to have withheld.

Nonresident alien. If you're a nonresident alien, see Notice 1392, Supplemental Form W-4 Instructions for Nonresident Aliens, before completing this form.

Specific Instructions

Step 1(c). Check your anticipated filing status. This will determine the standard deduction and tax rates used to compute your withholding.

Step 2. Use this step if you (1) have more than one job at the same time, or (2) are married filing jointly and you and your spouse both work.

Option (a) most accurately calculates the additional tax you need to have withheld, while option (b) does so with a little less accuracy.

If you (and your spouse) have a total of only two jobs, you may instead check the box in option (c). The box must also be checked on the Form W-4 for the other job. If the box is checked, the standard deduction and tax brackets will be cut in half for each job to calculate withholding. This option is roughly accurate for jobs with similar pay; otherwise, more tax than necessary may be withheld, and this extra amount will be larger the greater the difference in pay is between the two jobs.



Multiple jobs. Complete Steps 3 through 4(b) on only one Form W-4. Withholding will be most accurate if you do this on the Form W-4 for the highest paying job.

Step 3. Step 3 of Form W-4 provides instructions for determining the amount of the child tax credit and the credit for other dependents that you may be able to claim when you file your tax return. To qualify for the child tax credit, the child must be under age 17 as of December 31, must be your dependent who generally lives with you for more than half the year, and must have the required social security number. You may be able to claim a credit for other dependents for whom a child tax credit can't be claimed, such as an older child or a qualifying relative. For additional eligibility requirements for these credits, see Pub. 972, Child Tax Credit and Credit for Other Dependents. You can also include other tax credits in this step, such as education tax credits and the foreign tax credit. To do so, add an estimate of the amount for the year to your credits for dependents and enter the total amount in Step 3. Including these credits will increase your paycheck and reduce the amount of any refund you may receive when you file your tax return.

Step 4 (optional).

Step 4(a). Enter in this step the total of your other estimated income for the year, if any. You shouldn't include income from any jobs or self-employment. If you complete Step 4(a), you likely won't have to make estimated tax payments for that income. If you prefer to pay estimated tax rather than having tax on other income withheld from your paycheck, see Form 1040-ES, Estimated Tax for Individuals.

Step 4(b). Enter in this step the amount from the Deductions Worksheet, line 5, if you expect to claim deductions other than the basic standard deduction on your 2020 tax return and want to reduce your withholding to account for these deductions. This includes both itemized deductions and other deductions such as for student loan interest and IRAs.

Step 4(c). Enter in this step any additional tax you want withheld from your pay **each pay period**, including any amounts from the Multiple Jobs Worksheet, line 4. Entering an amount here will reduce your paycheck and will either increase your refund or reduce any amount of tax that you owe.

Form W-4 (2020)

Step 2(b) — Multiple Jobs Worksheet (Keep for your records.)



If you choose the option in Step 2(b) on Form W-4, complete this worksheet (which calculates the total extra tax for all jobs) on **only ONE** Form W-4. Withholding will be most accurate if you complete the worksheet and enter the result on the Form W-4 for the highest paying job.

Note: If more than one job has annual wages of more than \$120,000 or there are more than three jobs, see Pub. 505 for additional tables; or, you can use the online withholding estimator at www.irs.gov/W4App.

1	Two jobs. If you have two jobs or you're married filing jointly and you and your spouse each have one job, find the amount from the appropriate table on page 4. Using the "Higher Paying Job" row and the "Lower Paying Job" column, find the value at the intersection of the two household salaries and enter that value on line 1. Then, skip to line 3	1	\$
2	Three jobs. If you and/or your spouse have three jobs at the same time, complete lines 2a, 2b, and 2c below. Otherwise, skip to line 3.		
	a Find the amount from the appropriate table on page 4 using the annual wages from the highest paying job in the "Higher Paying Job" row and the annual wages for your next highest paying job in the "Lower Paying Job" column. Find the value at the intersection of the two household salaries and enter that value on line 2a	2 a	\$
	b Add the annual wages of the two highest paying jobs from line 2a together and use the total as the wages in the "Higher Paying Job" row and use the annual wages for your third job in the "Lower Paying Job" column to find the amount from the appropriate table on page 4 and enter this amount on line 2b	2b	\$
	c Add the amounts from lines 2a and 2b and enter the result on line 2c	2c	\$
3	Enter the number of pay periods per year for the highest paying job. For example, if that job pays weekly, enter 52; if it pays every other week, enter 26; if it pays monthly, enter 12, etc	3	
4	Divide the annual amount on line 1 or line 2c by the number of pay periods on line 3. Enter this amount here and in Step 4(c) of Form W-4 for the highest paying job (along with any other additional amount you want withheld)	4	\$
	Step 4(b) - Deductions Worksheet (Keep for your records.)		! /
1	Enter an estimate of your 2020 itemized deductions (from Schedule A (Form 1040 or 1040-SR)). Such deductions may include qualifying home mortgage interest, charitable contributions, state and local taxes (up to \$10,000), and medical expenses in excess of 7.5% of your income	1	\$
2	Enter: • \$24,800 if you're married filing jointly or qualifying widow(er) • \$18,650 if you're head of household • \$12,400 if you're single or married filing separately	2	\$
3	If line 1 is greater than line 2, subtract line 2 from line 1. If line 2 is greater than line 1, enter "-0-"	3	\$
4	Enter an estimate of your student loan interest, deductible IRA contributions, and certain other adjustments (from Part II of Schedule 1 (Form 1040 or 1040-SR)). See Pub. 505 for more information	4	\$
5	Add lines 3 and 4. Enter the result here and in Step 4(b) of Form W-4	5	\$

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. Internal Revenue Code sections 3402(f)(2) and 6109 and their regulations require you to provide this information; your employer uses it to determine your federal income tax withholding. Failure to provide a properly completed form will result in your being treated as a single person with no other entries on the form; providing fraudulent information may subject you to penalties. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation; to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their tax laws; and to the Department of Health and Human Services for use in the National Directory of New Hires. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by Code section 6103.

The average time and expenses required to complete and file this form will vary depending on individual circumstances. For estimated averages, see the instructions for your income tax return.

If you have suggestions for making this form simpler, we would be happy to hear from you. See the instructions for your income tax return.

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			Marri		Jointly				2-1			
Higher Paying Job					er Paying .					1		
Annual Taxable Wage & Salary	\$0 - 9,999	\$10,000 - 19,999	\$20,000 - 29,999	\$30,000 - 39,999	\$40,000 - 49,999	\$50,000 - 59,999	\$60,000 - 69,999	\$70,000 - 79,999	\$80,000 - 89,999	\$90,000 - 99,999	\$100,000 - 109,999	\$110,000 - 120,000
\$0 - 9,999	\$0	\$220	\$850	\$900	\$1,020	\$1,020	\$1,020	\$1,020	\$1,020	\$1,210	\$1,870	\$1,870
\$10,000 - 19,999	220	1,220	1,900	2,100	2,220	2,220	2,220	2,220	2,410	3,410	4,070	4,070
\$20,000 - 29,999	850	1,900	2,730	2,930	3,050	3,050	3,050	3,240	4,240	5,240	5,900	5,900
\$30,000 - 39,999	900	2,100	2,930	3,130	3,250	3,250	3,440	4,440	5,440	6,440	7,100	7,100
\$40,000 - 49,999	1,020	2,220	3,050	3,250	3,370	3,570	4,570	5,570	6,570	7,570	8,220	8,220
\$50,000 - 59,999	1,020	2,220	3,050	3,250	3,570	4,570	5,570	6,570	7,570	8,570	9,220	9,220
\$60,000 - 69,999	1,020	2,220	3,050	3,440	4,570	5,570	6,570	7,570	8,570	9,570	10,220	10,220
\$70,000 - 79,999 \$80,000 - 99,999	1,020 1,060	2,220 3,260	3,240	4,440 6,290	5,570	6,570	7,570 9,420	8,570	9,570	10,570	11,220	11,240
\$100,000 - 149,999	1,870	4,070	5,090 5,900	7,100	7,420 8,220	8,420 9,320	10,520	10,420 11,720	11,420 12,920	12,420 14,120	13,260 14,980	13,460 15,180
\$150,000 - 149,999 \$150,000 - 239,999	2,040	4,440	6,470	7,100	9,190	10,390	11,590	12,790	13,990	15,190	16,050	16,250
\$240,000 - 259,999 \$240,000 - 259,999	2,040	4,440	6,470	7,870	9,190	10,390	11,590	12,790	13,990	15,190	17,170	18,170
\$260,000 - 279,999	2,040	4,440	6,470	7,870	9,190	10,390	11,590	13,120	15,120	17,120	18,770	19,770
\$280,000 - 299,999	2,040	4,440	6,470	7,870	9,190	10,720	12,720	14,720	16,720	18,720	20,370	21,370
\$300,000 - 319,999	2,040	4,440	6,470	8,200	10,320	12,320	14,320	16,320	18,320	20,320	21,970	22,970
\$320,000 - 364,999	2,720	5,920	8,750	10,950	13,070	15,070	17,070	19,070	21,290	23,590	25,540	26,840
\$365,000 - 524,999	2,970	6,470	9,600	12,100	14,530	16,830	19,130	21,430	23,730	26,030	27,980	29,280
\$525,000 and over	3,140	6,840	10,170	12,870	15,500	18,000	20,500	23,000	25,500	28,000	30,150	31,650
			•	Single o	r Marrie	d Filing S	Separate	ly	•		•	
Higher Paying Job				Lowe	er Paying	Job Annu	al Taxable	Wage & S	Salary			
Annual Taxable	\$0 -	\$10,000 -	\$20,000 -	\$30,000 -	\$40,000 -	\$50,000 -	\$60,000 -	\$70,000 -	\$80,000 -	\$90,000 -	\$100,000 -	\$110,000 -
Wage & Salary	9,999	19,999	29,999	39,999	49,999	59,999	69,999	79,999	89,999	99,999	109,999	120,000
\$0 - 9,999	\$460	\$940	\$1,020	\$1,020	\$1,470	\$1,870	\$1,870	\$1,870	\$1,870	\$2,040	\$2,040	\$2,040
\$10,000 - 19,999	940	1,530	1,610	2,060	3,060	3,460	3,460	3,460	3,640	3,830	3,830	3,830
\$20,000 - 29,999	1,020	1,610	2,130	3,130	4,130	4,540	4,540	4,720	4,920	5,110	5,110	5,110
\$30,000 - 39,999	1,020	2,060	3,130	4,130	5,130	5,540	5,720	5,920	6,120	6,310	6,310	6,310
\$40,000 - 59,999	1,870	3,460	4,540	5,540	6,690	7,290	7,490	7,690	7,890	8,080	8,080	8,080
\$60,000 - 79,999	1,870	3,460	4,690	5,890	7,090	7,690	7,890	8,090	8,290	8,480	9,260	10,060
\$80,000 - 99,999	2,020	3,810	5,090	6,290	7,490	8,090	8,290	8,490	9,470	10,460	11,260	12,060
\$100,000 - 124,999	2,040	3,830	5,110	6,310	7,510	8,430	9,430	10,430	11,430	12,420	13,520	14,620
\$125,000 - 149,999 \$150,000 - 174,999	2,040 2,360	3,830 4,950	5,110 7,030	7,030 9,030	9,030	10,430 12,730	11,430 14,030	12,580 15,330	13,880 16,630	15,170 17,920	16,270 19,020	17,370 20,120
\$175,000 - 174,999 \$175,000 - 199,999	2,720	5,310	7,540	9,840	12,140	13,840	15,140	16,440	17,740	19,030	20.130	21,230
\$200,000 - 249,999	2,720	5,860	8,240	10,540	12,840	14,540	15,840	17,140	18,440	19,730	20,830	21,930
\$250,000 - 399,999	2,970	5,860	8,240	10,540	12,840	14,540	15,840	17,140	18,440	19,730	20,830	21,930
\$400,000 - 449,999	2,970	5,860	8,240	10,540	12,840	14,540	15,840	17,140	18,450	19,940	21,240	22,540
\$450,000 and over	3,140	6,230	8,810	11,310	13,810	15,710	17,210	18,710	20,210	21,700	23,000	24,300
<u>,</u>		, ,			lead of			,	,	, ,		
Higher Paying Job				Lowe	er Paying	Job Annua	al Taxable	Wage & \$	Salary			
Annual Taxable	\$0 -	\$10,000 -	\$20,000 -	\$30,000 -	\$40,000 -	\$50,000 -	\$60,000 -	\$70,000 -	\$80,000 -	\$90,000 -	\$100,000 -	\$110,000 -
Wage & Salary	9,999	19,999	29,999	39,999	49,999	59,999	69,999	79,999	89,999	99,999	109,999	120,000
\$0 - 9,999	\$0	\$830	\$930	\$1,020	\$1,020	\$1,020	\$1,480	\$1,870	\$1,870	\$1,930	\$2,040	\$2,040
\$10,000 - 19,999	830	1,920	2,130	2,220	2,220	2,680	3,680	4,070	4,130	4,330	4,440	4,440
\$20,000 - 29,999	930	2,130	2,350	2,430	2,900	3,900	4,900	5,340	5,540	5,740	5,850	5,850
\$30,000 - 39,999	1,020	2,220	2,430	2,980	3,980	4,980	6,040	6,630	6,830	7,030	7,140	7,140
\$40,000 - 59,999	1,020	2,530	3,750	4,830	5,860	7,060	8,260	8,850	9,050	9,250	9,360	9,360
\$60,000 - 79,999	1,870	4,070	5,310	6,600	7,800	9,000	10,200	10,780	10,980	11,180	11,580	12,380
\$80,000 - 99,999	1,900	4,300	5,710	7,000	8,200	9,400	10,600	11,180	11,670	12,670	13,580	14,380
\$100,000 - 124,999	2,040	4,440	5,850	7,140	8,340	9,540	11,360	12,750	13,750	14,750	15,770	16,870
\$125,000 - 149,999 \$150,000 - 174,999	2,040	4,440 5,060	5,850	7,360	9,360	11,360	13,360	14,750	16,010	17,310	18,520	19,620
\$150,000 - 174,999 \$175,000 - 199,999	2,040	5,060	7,280	9,360	11,360	13,480	15,780	17,460	18,760	20,060	21,270	22,370
\$200,000 - 249,999 \$200,000 - 249,999	2,720 2,970	5,920 6,470	8,130 8,990	10,480 11,370	12,780 13,670	15,080 15,970	17,380 18,270	19,070 19,960	20,370 21,260	21,670 22,560	22,880 23,770	23,980 24,870
\$250,000 - 249,999	2,970	6,470	8,990	11,370	13,670	15,970	18,270	19,960	21,260	22,560	23,770	24,870
\$350,000 - 349,999	2,970	6,470	8,990	11,370	13,670	15,970	18,270	19,960	21,260	22,560	23,900	25,200
\$450,000 and over	3,140	6,840	9,560	12,140	14,640	17,140	19,640	21,530	23,030	24,530	25,940	27,240
\$ 100,000 and 0vel	5,170	0,040	3,500	12,170	1 7,040	17,140	10,040					21,240

FAIR CREDIT REPORTING ACT DISCLOSURE STATEMENT

In accordance with the provisions of Section 604(b)(2)(A) of the Fair Credit Reporting Act, Public Law 91-508, as amended by the Consumer Credit Reporting Act of 1996 (Title II, Subtitle D, Chapter I, of Pubic Law 104-208), you are being informed that reports verifying your previous employment, previous drug and alcohol test results, and your driving record may be obtained on you for employment purposes. These reports are required by Sections 49 CFR 382.413, 391.23, and 391.25 of the Federal Motor Carrier Safety Regulations.

Applicant Name (Printed):		
Applicant Signature:	Date:	



DRIVER STATEMENT OF ON-DUTY HOURS

(For Newly Hired Drivers or Intermittent Use Drivers)

INSTRUCTIONS: Motor carriers, when using a driver for the first time or intermittently, must obtain from the driver a signed statement giving the total time on-duty during the immediately preceding 7 days and the time at which the driver was last relieved from duty prior to the beginning work for the carrier, as required by Section 395.8(j)(2) of the Federal Motor Carrier Safety Regulations.

NOTE: Hours for any work during the preceding 7 days, including any compensated work for a non-motor carrier, must be recorded on this form.

5

Drivers License #

This form should be completed on the day the driver is scheduled to begin driving an commercial motor vehicle, and must be kept on file for at least 6 months.

Driver Name (Print)

DAY

	DATE								TOTAL HOURS	
	HOURS WORKED									
I b	hereby certif	y that the t I was las A.M P.M	st relived 1.	ion give from wo	n above i ork at			et of my k	nowledge and	
						Month /	Day / Year			
<u> </u>	Driver's Signatur	e]	Date		
	DRIVER	CERT	IFICA	TION	FOR (OTHE	R COM	IPENS/	ATED WORK	
working for o Motor Carrier	ther employe Safety Regu	rs. The d	efinition cludes ti	of on-d me perfo	uty time orming a	found in ny other v	Section 3 work in the	95.2 para ne capacit	er all on-duty time inc graphs (8) and (9) of cy of, or in the employ any non-motor carrie	the Federal by or service
Are you curre	ntly working	for anoth	er emplo	yer?					(Check Yes	One)
At this time d					ver while	still empl	loyed by	this comp	any?	□ No
I hereby certified if I begin worden employment a	king for any	ormation additiona	given ab l employ	oove is tr ver(s) for	rue and I compen	understar sation tha	nd that on at I must	ice I beco	me employed with the	is company, tely of such
Driver's	s Signature							Date		
Witness	s - Company Repr	esentative						Date		_
© Copyright 201	2 Front Range Co	npliance, LL0	C		12					Rev 05/2017

SAFETY PERFORMANCE HISTORY RECORDS REQUEST

PART 1:	TO BE COMPLETED BY PROSPECTIVE EMPLOYEE						
I, (Print Name)							
	First M.I.	Last		Social Security Number			
Hereby Authori	ze.			Date of Birth			
Previous Emplo	oyer:			_Email:			
Street:				Telephone:			
•				Fax No.:			
	forward the information requestances Testing records with		_	concerning my Alcohol and			
	J	,		ment application date)			
To: Prospect	ive Employer: Altitude Ener	gy LLC					
Attention	:		Telephone:				
	6400 I-76 Frontage Road e, Zip: Keenesburg, CO 806	643					
In compliance with fax, email, or letter	§40.25(g) and 391.23(h), release	of this information must be	oe made in a writter	n form that ensures confidentiality, su	uch as		
	nployer's email address: nployer's fax number:						
	Applicant's Signature			Date			
This information	n is being requested in compliance	with §40.25(g) and 391.2	23.				
PART 2:	то в	E COMPLETED B		EMPLOYER			
		ACCIDENT HISTO					
	named above was employed	•					
	e a motor vehicle for you? □ Tractor Semitrailer □ □		•	pe? iples Other (Specify)			
Reason for leav	ving your employ: Discharge	ed Resignation 🔲 L	ay Off 🔲 Milita	ary Duty \square If there is no safe	ety		
performance hi	story to report, check here	☐, sign below and re	turn.				
ACCIDENTS:	Complete the following for any	accidents included on	your accident regi	ister (§390.15(b)) that involved th	ne		
applicant in the 3 this driver.	B years prior to the application of	date shown above, or d	check here \square if th	nere is no accident register data f	for		
Date	Location	# Injuries	# Fatal	lities Hazmat Spill			
	information concerning any encies or insurers or retaine	d under internal com	pany policies:	·			
Any other rema	arks:						
Data							



Motor Vehicle Driver's

CERTIFICATION OF COMPLIANCE WITH DRIVER LICENSE REQUIREMENTS

MOTOR CARRIER INSTRUCTIONS: The requirements in Part 383 apply to every driver who operates in interstate, intrastate, or foreign commerce and operates a vehicle weighing 26,001 pounds or more, can transport more than 15 people, or transports hazardous materials that require placarding.

The requirements in Part 391 apply to every driver who operates in interstate commerce and operates a vehicle weighing 10,001 pounds or more, can transport more than 15 people, or transports hazardous materials that require placarding.

DRIVER REQUIREMENTS: Parts 383 and 391 of the Federal Motor Carrier Safety Regulations contain certain driver licensing requirements that you as a driver must comply with, including the following:

- POSSESS ONLY ONE LICENSE: You, as a commercial vehicle driver, may not possess more than one motor vehicle operator's license.
- 2) NOTIFICATION OF LICENSE SUSPENSION, REVOCATION, OR CANCELLATION: Section 391.15(b) (2) and 383.33 of the Federal Motor Carrier Safety Regulations require that you notify your employer the NEXT BUSINESS DAY of any revocation or suspension of your driver's license. In addition, Section 383.31 requires that any time you are convicted of violating a state or local traffic law (other than parking), you must report it within 30 days to:
 - 1) your employing motor carrier, and
 - the state that issued your license (if the violation occurs in a state other than the one which issued your license). The notification to both the employer and the state must be in writing.
- 3) CDL DOMICILE REQUIREMENT: Section 383.23(a)(2) requires that your commercial driver's license be issued by your legal state of domicile, where you have your true, fixed, and permanent home and principal residence and to which you have the intention of returning whenever you are absent. If you establish a new domicile in another state, you must apply to transfer your CDL within 30 days.

The following license is the only one I possess:

Driver's License Number ______ State ____ Exp. Date ______

DRIVER CERTIFICATION: I certify that I have read and understood the above requirements.

Driver's Name (Printed): ______

Driver's Signature: ______ Date: _______

PREVIOUS EMPLOYER - COMPLETE PAGE 2 PART 3

PART 3:	TO BE COMPLETED BY PREVIOUS EMPLOYER						
Applicant Name:	DRUG AND ALCOHOL HISTORY						
If driver was not subject to Department of Transportation testing requirements while employed by this employer, please check here , complete bottom of Part 3, sign, and return.							
Driver was subject	Driver was subject to Department of Transportation testing requirements from to						
1. Has this pers	son had an alcohol test with the result of 0.04 or higher alcohol concentration?	YES 🗖 NO 🗖					
2. Has this pers substances?	son tested positive or adulterated or substituted a test specimen for controlled	YES 🗖 NO 📮					
	son refused to submit to a post-accident, random, reasonable suspicion, or follow-up ntrolled substance test?	YES 🗖 NO 🗖					
4. Has this pers	son committed other violations of Subpart B of Part 382, or Part 40?	YES 🗖 NO 📮					
prescribed re	has violated a DOT drug and alcohol regulation, did this person complete a SAP- chabilitation program in your employ, including return-to-duty and follow-up tests? If yes, documentation back with this form.	YES 🗖 NO 🗖					
	who successfully completed a SAP's rehabilitation referral and remained in your employ, r subsequently have an alcohol test result of 0.04 or greater, a verified positive drug test, be tested?	YES 🗖 NO 🗖					
	se questions, include any required DOT drug or alcohol testing information obtained from p previous 3 years prior to the application date shown on page 1.	rior previous					
Name:							
Company:							
	<u>-</u>	 					
	Telephone:						
Part 3 Completed	d by (Signature): Date:						
PART 4a:	TO BE COMPLETED BY PROSPECTIVE EMPLOYER						
This form was (ch	neck one) Faxed to previous employer □ Mailed □ Emailed □ Other □:						
	Date:						
PART 4b:	TO BE COMPLETED BY PROSPECTIVE EMPLOYER						
•	hen information is obtained. ed from:						
		Tolophono 🗆					
	Method: Fax ☐ Mail ☐ Email ☐						
Jaie	Other □:						

INSTRUCTIONS TO COMPLETE THE SAFETY PERFORMANCE HISTORY RECORDS REQUEST

PAGE 1 PART 1: Prospective Employee

- · Complete the information required in this section
- · Sign and date
- Submit to the Prospective Employer

PAGE 2 PART 4a: Prospective Employer

- · Complete the information
- Send to Previous Employer

PAGE 1 PART 2: Previous Employer

- · Complete the information required in this section
- Sign and date
- Turn form over to complete SIDE 2 SECTION 3

PAGE 2 PART 3: Previous Employer

- Complete the information required in this section
- Sign and date
- Return to Prospective Employer

PAGE 2 PART 4b: Prospective Employer

- Record receipt of the information
- · Retain the form

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RECORDS REQUEST FOR DRIVER/APPLICANT SAFETY PERFORMANCE HISTORY

This request is made by the driver/applicant in compliance with the Department of Transportation regulations.

	rivers who have previous Department of Transportation regulated employment history in the preceding three ears, and wish to review previous employer-provided investigative information must submit a written request to e prospective employer, which may be done at any time, including when applying, or as late as thirty (30) days fer being employed or being notified of denial of employment. The prospective employer must provide this formation to the applicant within five (5) business days of receiving the written request. If the prospective employer has not yet received the requested information from the previous employer(s), then the five-businessays deadline will begin when the prospective employer receives the requested safety-performance history formation. If the driver has not arranged to pick up or receive the requested records within thirty (30) days of the ospective employer making them available, the prospective motor carrier may consider the driver to have aived his/her request to review the records						
PART 1:	COMPLET	ED BY DRIVER/APPLICANT					
TO: Prospec	ctive Employer: Altitude Energy LLC						
Street/F	P.O. Box: 26400 I-76 Frontage Road						
City, Sta	ate, Zip: Keenesburg, CO 80643	Telephone #					
FROM: Driver/A	pplicant:	Social Security/I.D. #					
Street: _							
City, Sta	ate, Zip:	Telephone #					
I am submitting this written request to either waive or obtain copies of my Department of Transportation Safety Performance History for the preceding three years. I understand, for records requested from a prospective employer, that I must arrange to pick up or receive the requested records within thirty (30) days of the records being made available or I have waived my request to review the records. This information should be: (Check the appropriate box) I wish to waive my right to receive a copy of the previous employment history Sent to me at the above address. I will arrange to pick up.							
☐ I will arra							
☐ I will arra	nge to pick up. Signature:						
Driver/Applicant PART 2: Unless waived, the prospective employees	COMPLETED B e information must be provided to the application and yet received the requested information.	Date:					
Driver/Applicant PART 2: Unless waived, the prospective employees	COMPLETED B e information must be provided to the application by the properties of the properties of the prospective employer receives the requested information when the prospective employer receives the	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days					
Driver/Applicant PART 2: Unless waived, th prospective emplodeadline will begin Information su	COMPLETED B e information must be provided to the application by the properties of the properties of the prospective employer receives the requested information when the prospective employer receives the	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days requested safety performance history information.					
Driver/Applicant PART 2: Unless waived, th prospective emplodeadline will begin Information su Name:	COMPLETED B e information must be provided to the application by the properties of the police of the properties of the police of the po	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days requested safety performance history information.					
Driver/Applicant PART 2: Unless waived, th prospective employed deadline will begin Information survival Name: Street:	COMPLETED B e information must be provided to the application has not yet received the requested inform when the prospective employer receives the applied to:	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days requested safety performance history information.					
Driver/Applicant PART 2: Unless waived, th prospective employed deadline will begin Information su Name: Street: City, State, Zip:	complete by a co	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days requested safety performance history information.					
Driver/Applicant PART 2: Unless waived, th prospective employed deadline will begin Information su Name: Street: City, State, Zip:	COMPLETED B e information must be provided to the applicate of the properties of the properties of the properties of the prospective employer receives the pplied to:	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days requested safety performance history information.					
Driver/Applicant PART 2: Unless waived, th prospective employed deadline will begin Information su Name: Street: City, State, Zip:	COMPLETED B e information must be provided to the applicate of the properties of the properties of the properties of the prospective employer receives the pplied to:	THE PROSPECTIVE EMPLOYER Int within five (5) business days of receiving the written request. If the nation form the previous employer(s), then the five-business days requested safety performance history information.					

NOTE: PROVIDE ORIGINAL COPY TO PROSPECTIVE EMPLOYER

Previous Pre-Employment Employee Alcohol and Drug Test Statement

Section 40.25(j) as the employer, you must also ask the employee whether he/she has tested positive, or refused to test, on any pre-employment drug or alcohol test administered by an employer to which the employee applied for, but did not obtain, safety-sensitive transportation work covered by DOT agency drug and alcohol testing rules during the past two years. If the employee admits that he/she had a positive test or a refusal to test, you must not use the employee to perform safety sensitive functions for you, until and unless the employee documents successful completion of the return-to-duty process. (ref. Section 40.25(b)(5) and (e))

Prospe	ective Employee Na	me:		Drive	rs License #:	
The pr	ospective employee	is required by	y Section 40.25(j) to respond to the fo	ollowing questions.	
1.	an employer to wh	nich you appli	ed for, but did no		drug or alcohol test ad sitive transportation wo?	
	Check one:	☐ Yes	□ No			
2.	If you answered you		ovide/obtain pro	of that you've succe	ssfully completed the D	OT return-to
	Check one:	☐ Yes	□ No			
I certify th	at the information p	rovided on the	is document is tru	ne and correct.		
PRO	OSPECTIVE EMPLOYI	EE SIGNATURE	3		Date	
WI	TNESSED BY (SIGNAT	TURE)			Date	

THE BELOW DISCLOSURE AND AUTHORIZATION LANGUAGE IS FOR MANDATORY USE BY ALL ACCOUNT HOLDERS

IMPORTANT DISCLOSURE

REGARDING BACKGROUND REPORTS FROM THE PSP Online Service

In connection with your application for employment with <u>Altitude Energy LLC</u> ("Prospective Employer"), Prospective Employer, its employees, agents or contractors may obtain one or more reports regarding your driving, and safety inspection history from the Federal Motor Carrier Safety Administration (FMCSA).

When the application for employment is submitted in person, if the Prospective Employer uses any information it obtains from FMCSA in a decision to not hire you or to make any other adverse employment decision regarding you, the Prospective Employer will provide you with a copy of the report upon which its decision was based and a written summary of your rights under the Fair Credit Reporting Act before taking any final adverse action. If any final adverse action is taken against you based upon your driving history or safety report, the Prospective Employer will notify you that the action has been taken and that the action was based in part or in whole on this report.

When the application for employment is submitted by mail, telephone, computer, or other similar means, if the Prospective Employer uses any information it obtains from FMCSA in a decision to not hire you or to make any other adverse employment decision regarding you, the Prospective Employer must provide you within three business days of taking adverse action oral, written or electronic notification: that adverse action has been taken based in whole or in part on information obtained from FMCSA; the name, address, and the toll free telephone number of FMCSA; that the FMCSA did not make the decision to take the adverse action and is unable to provide you the specific reasons why the adverse action was taken; and that you may, upon providing proper identification, request a free copy of the report and may dispute with the FMCSA the accuracy or completeness of any information or report. If you request a copy of a driver record from the Prospective Employer who procured the report, then, within 3 business days of receiving your request, together with proper identification, the Prospective Employer must send or provide to you a copy of your report and a summary of your rights under the Fair Credit Reporting Act.

Neither the Prospective Employer nor the FMCSA contractor supplying the crash and safety information has the capability to correct any safety data that appears to be incorrect. You may challenge the accuracy of the data by submitting a request to https://dataqs.fmcsa.dot.gov. If you challenge crash or inspection information reported by a State, FMCSA cannot change or correct this data. Your request will be forwarded by the DataQs system to the appropriate State for adjudication.

Any crash or inspection in which you were involved will display on your PSP report. Since the PSP report does not report, or assign, or imply fault, it will include all Commercial Motor Vehicle (CMV) crashes where you were a driver or co-driver and where those crashes were reported to FMCSA, regardless of fault. Similarly, all inspections, with or without violations, appear on the PSP report. State citations associated with Federal Motor Carrier Safety Regulations (FMCSR) violations that have been adjudicated by a court of law will also appear, and remain, on a PSP report.

The Prospective Employer cannot obtain background reports from FMCSA without your authorization.

AUTHORIZATION

If you agree that the Prospective Employer may obtain such background reports, please read the following and sign below:

I authorize <u>Altitude Energy LLC</u> ("Prospective Employer") to access the FMCSA Pre-Employment Screening Program (PSP) system to seek information regarding my commercial driving safety record and information regarding my safety inspection history. I understand that I am authorizing the release of safety performance information including crash data from the previous five (5) years and inspection history from the previous three (3) years. I understand and acknowledge that this release of information may assist the Prospective Employer to make a determination regarding my suitability as an employee.

I further understand that neither the Prospective Employer nor the FMCSA contractor supplying the crash and safety information has the capability to correct any safety data that appears to be incorrect. I understand I may challenge the accuracy of the data by submitting a request to https://dataqs.fmcsa.dot.gov. If I challenge crash or inspection information reported by a State, FMCSA cannot change or correct this data. I understand my request will be forwarded by the DataQs system to the appropriate State for adjudication.

I understand that any crash or inspection in which I was involved will display on my PSP report. Since the PSP report does not report, or assign, or imply fault, I acknowledge it will include all CMV crashes where I was a driver or co-driver and where those crashes were reported to FMCSA, regardless of fault. Similarly, I understand all inspections, with or without violations, will appear on my PSP report, and State citations associated with FMCSR violations that have been adjudicated by a court of law will also appear, and remain, on my PSP report.

I have read the above Disclosure Regarding Background Reports provided to me by Prospective Employer and I understand that if I sign this Disclosure and Authorization, Prospective Employer may obtain a report of my crash and inspection history. I hereby authorize Prospective Employer and its employees, authorized agents, and/or affiliates to obtain the information authorized above.

Date:	
	Signature
ALTITUDE	Name (Please Print)

NOTICE: This form is made available to monthly account holders by NIC on behalf of the U.S. Department of Transportation, Federal Motor Carrier Safety Administration (FMCSA). Account holders are required by federal law to obtain an Applicant's written or electronic consent prior to accessing the Applicant's PSP report. Further, account holders are required by FMCSA to use the language contained in this Disclosure and Authorization form to obtain an Applicant's consent. The language must be used in whole, exactly as provided. Further, the language on this form must exist as one stand-alone document. The language may NOT be included with other consent forms or any other language.

NOTICE: The prospective employment concept referenced in this form contemplates the definition of "employee" contained at 49 C.F.R. 383.5.

LAST UPDATED 12/22/2015

USDOT 2728144

AE-2728144-11212018

26400 I-76 Frontage Road Keenesburg, CO 80643

Phone-720-236-5161

DOT Safety Management Operations Policy & Procedure

Prepared for: Management Team, Drivers, and Staff Members

Prepared by: Ryan Byers, Front Range Compliance Services LLC, Safety Management Consultant

November 21st, 2018

Policy & Procedure: AE-2728144-11212018

This Policy and Procedure has been approved and implemented by Altitude Energy LLC. This policy is effective on the date of implementation below and will supersede all prior policies and statements relating to DOT Safety Management. Altitude Energy LLC, retains the sole right to change, amend or modify any term or provision of this policy without notice. It is expected that employed members of Altitude Energy LLC read and act in accordance with the following policies and procedures while employed or used by this company.

Date of Implementation: November 21st, 2018

DOT Safety Management Operations Policy & Procedure

Employee Receipt	
and have read and understand all sections of the por requirements of compliance with the FMCSR's of	e) have received a copy of this Policy and Procedure blicy and procedure. I also understand the mandatory all federal state and local laws. By signing below I deemed a violation of this policy and procedure will ation of employment.
Signature	Date

USDOT 2728144

AE-2728144-11212018

26400 I-76 Frontage Road Keenesburg, CO 80643

Phone-720-236-5161

Safety Rules

These safety rules are designed to provide you with knowledge of the recognized and established safe practices and procedures that apply to many of the work situations you may encounter while employed at this organization. It would be impossible to cover every work situation. If you are in doubt about the safety of any condition, practice or procedure, consult your supervisor for guidance.

GENERAL RULES: (Employee MUST initial each item)

- **1. ACCIDENT REPORTING:** Report all accidents or near misses to your supervisor immediately. Falsification of company records, including employment applications, time records or safety documentation, will not be tolerated.
- 2. HAZARD REPORTING: Notify a supervisor immediately of any unsafe condition and/or practice.
- 3. ALCOHOL OR ILLEGAL DRUGS: No illegal drugs or alcohol will be allowed on the worksite or on our premises. This applies to all drugs that are illegal under state or federal law, including marijuana. Being under the influence or being impaired by alcohol or illegal drugs on our premises or worksite is strictly prohibited. Employees will notify their supervisor if they are taking any prescription drugs that might affect their judgment.
- **4. DRIVING:** While driving a company vehicle or driving your own vehicle for company business, obey all traffic laws and signs at all times. Wear your seat belt at all times. Do not drive over the posted speed limits. Driving a company vehicle while driving impaired by drugs or alcohol is strictly prohibited.
- **5. LIFTING:** When you are required to lift an item, always seek mechanical means (fork lift, lift table, pallet jack, etc.) first. If an item must be lifted manually, please refer to the detailed lifting safety rules before performing the task.
- **6. FALLS:** When working above a lower level (4 feet in general industry, 6 feet in construction) with unprotected sides, edges or openings, protect yourself by use of guardrails or an approved personal fall-arrest system (e.g., lanyard, harness, and anchor point).
- **7. PERSONAL PROTECTIVE EQUIPMENT (PPE):** Appropriate PPE must be worn at all times. If you have any questions or need PPE, please contact management. Wear approved eye and face protection when sawing, grinding, drilling, using air tools or performing any other task that could generate flying debris. When working with chemicals, wear the protective eyewear. Wear gloves when handling metal, rough wood, fiberglass and other sharp objects. Wear a hard hat when there are overhead hazards. Appropriate footwear, long sleeved shirts, long pants, high-visibility vest, hard hats etc., should also be worn as required.

USDOT 2728144 AE-2728144-11212018 26400 I-76 Frontage Road Keenesburg, CO 80643

Phone-720-236-5161

(Continued From Previous Page)

- **8. MISCONDUCT:** Inappropriate conduct such as fighting, horse play, or any other disorderly conduct which my endanger employees wellbeing during work operation, the use of abusive; threatening/intimidating language interfering with performance of work will not be tolerated.
- HARASSMENT: Any sort of physical or verbal misconduct constituting sexual harassment will not be tolerated.
- 10. CRIMINAL BEHAVIOR: Violation of criminal laws such as theft or fraud on our premises or job site is strictly prohibited.
- 11. GENERAL ITEMS: Excessive tardiness, absenteeism, refusal to comply with instructions or failure to perform reasonable duties assigned or repeated violation of company safety rules will result in disciplinary action. Altitude Energy LLC will take reasonable consideration with verbal and written warnings or discharge will be used after all proper disciplinary action has been taken.

EMPLOYEE POSITION: GENERAL LABOR

- 1. Wear correct protective gear when running equipment and power tools, i.e. gloves, safety glasses.
- 2. Keep company equipment and/or vehicles in a clean, orderly fashion.
- 3. Follow all safety procedures and be aware of your surroundings.
- Keep all tools in a safe place and off the ground to keep them from being a hazard.

DANGEROUS TASKS: GRINDING

- 1. Use the approved American National Standards Institute safety glasses and face shield.
- 2. Make sure that the appropriate guards are in place.
- 3. Keep all flammables 20 feet away from the grinding source.

EQUIPMENT OPERATION:

- Employees must wear seat belts when operating any and all company equipment.
- Do not allow passengers to ride on company equipment unless a passenger seat with seatbelt is available.
- 3. Do not use company equipment to elevate workers unless an approved elevating platform is properly attached to the mast and forks.
- 4. Be aware of your surroundings at all times when running equipment.
- 5. Notify a supervisor/foreman right away of any problems with the mechanics of the equipment.

USDOT 2728144 AE-2728144-11212018 26400 I-76 Frontage Road Keenesburg, CO 80643

Phone-720-236-5161

Safety Rules Enforcement Policy

A. OBJECTIVE

Safety rules are provided as guidelines for safe operations. All employees must follow these rules as a condition of employment.

B. SCOPE

Applies to all employees and contractors.

C. PROCEDURE

All employees will be given a copy of the safety rules upon initial employment. All employees must sign and return the acknowledgment form after they have been given a chance to review the safety rules and ask any questions. The safety rules will be periodically reviewed by management to ensure they are applicable and current.

D. ENFORCEMENT

Employees will be subject to disciplinary action for violations of safety rules. Employees shall be afforded instructive counseling and/or training to assure a clear understanding of the infraction and the proper conduct under organizational guidelines. Nothing in this policy or this safety program will preclude management from terminating an employee for a safety violation. This is not a progressive discipline system and any safety violation may lead to an employee's termination without prior instruction or warning.

Management reserves the right to impose any of the following disciplinary actions it deems appropriate:

- Verbal warning with documentation in personnel file.
- Written warning outlining nature of offense and necessary corrective action with documentation in personnel file.
- Termination.

Employees shall be subject to the above disciplinary action for the following reasons:

- Repeated safety rule violations.
- Failure to follow adequate training prior to job assignment.
- Failure to report accidents if injured at work.
- Failure to keep safe conditions or work practices.
- · Failure to maintain good housekeeping standards and cleanliness in their departments.

I, {print name}	, have read and understand the safety
rules of Altitude Energy LLC and agree to act in a	ccordance with the safety rules at all times
while working, and understand that the violation of a action, which could include termination of employments	any rule is cause for stern disciplinary
Employee Signature:	Date:

USDOT 2728144 AE-2728144-11212018 26400 I-76 Frontage Road Keenesburg, CO 80643

Phone-720-236-5161

Safety Policy

It is the policy of Altitude Energy LLC that the safety of its employees and the public is of chief importance. The prevention of accidents and injuries takes precedence over expedience. In the conduct of our business, every attempt will be made to prevent accidents from occurring. Altitude Energy LLC requires that its employees, as a condition of employment, comply with all applicable safety regulations as listed in the organization's policy manual.

Any member of Altitude Energy LLC management/owners is a contact for safety-related matters. All employees will receive an orientation to the safety policy and rules upon initial employment, and are encouraged to bring to the attention of their immediate supervisor any unsafe conditions or practices. Supervisors will communicate these concerns to the safety coordinator, who will respond to this concern within 24 hours.

The management of Altitude Energy LLC will be actively involved with employees in establishing and maintaining an effective safety program. The members of our management team will participate with you in ongoing safety and health program activities.

Employer Responsibilities:

- Provide a safe workplace
- Provide safety and health education and training
- Annually review and update workplace safety rules

Employee Responsibilities:

- Report all unsafe conditions
- Immediately report all work-related injuries
- Wear the required personal protective equipment
- Abide by the organization's safety rules at all times

Managing Member Signature:	
Date:	

General Handbook Acknowledgment

This Employee handbook is an important document intended to help you become acquainted with Altitude Energy. This document is intended to provide guidelines and general descriptions only; it is not the final word in all cases. Individual circumstances may call for individual attention.

Because the Company's operations may change, the contents of this handbook may be changed at any time, with or without notice, in an individual case or generally, at the sole discretion of management.

Please read the following statements and sign below to indicate your receipt and acknowledgment of this Employee handbook.

I have received and read a copy of Altitude Energy's Employee handbook. I understand that the policies, rules and benefits described in it are subject to change at the sole discretion of the Company at any time.

I further understand that my employment is terminable at will, either by myself or the Company, with or without cause or notice, regardless of the length of my employment or the granting of benefits of any kind.

I understand that no representative of Altitude Energy other than the CEO may alter "at will" status and any such modification must be in a signed writing.

I understand that my signature below indicates that I have read and understand the above statements and that I have received a copy of the Company's Employee handbook.

Employee's Printed Name:
Employee's Signature:
Position:
Date:

The signed original copy of this acknowledgment should be given to management - it will be filed in your personnel file.

Receipt of Sexual Harassment Policy

It is Altitude Energy's policy to prohibit harassment of any employee by any Supervisor, employee, customer or vendor on the basis of sex or gender. The purpose of this policy is not to regulate personal morality within the Company. It is to ensure that at the Company all employees are free from sexual harassment. While it is not easy to define precisely what types of conduct could constitute sexual harassment, examples of prohibited behavior include unwelcome sexual advances, requests for sexual favors, obscene gestures, displaying sexually graphic magazines, calendars or posters, sending sexually explicit e-mails, text messages and other verbal or physical conduct of a sexual nature, such as uninvited touching of a sexual nature or sexually related comments. Depending upon the circumstances, improper conduct also can include sexual joking, vulgar or offensive conversation or jokes, commenting about an employee's physical appearance, conversation about your own or someone else's sex life, or teasing or other conduct directed toward a person because of his or her gender which is sufficiently severe or pervasive to create an unprofessional and hostile working environment.

If the employee feels that he or she has been subjected to conduct which violates this policy, the employee should immediately report the matter to the Employee's Supervisor. If unable for any reason to contact this person, or if the employee has not received a satisfactory response within five (5) business days after reporting any incident of perceived harassment, the employee should contact any member of management. If the person toward whom the complaint is directed is one of the individuals indicated above, the employee should contact any higher-level manager in his or her reporting hierarchy. Every report of perceived harassment will be fully investigated and corrective action will be taken where appropriate. All complaints will be kept confidential to the extent possible, but confidentiality cannot be guaranteed. In addition, the Company will not allow any form of retaliation against individuals who report unwelcome conduct to management or who cooperate in the investigations of such reports in accordance with this policy. If an employee feels that he or she has been subjected to any such retaliation, the employee should report it in the same manner in which a claim of perceived harassment would be reported under this policy. Violation of this policy including any improper retaliatory conduct will result in disciplinary action, up to and including discharge. All employees must cooperate with all investigations.

	0,		,	
Employee's Printed Name:				
Employee's Signature:		_		
Position:				
Date:				
The signed original copy of this recei	pt should be g	iven to manageme	nt - it will be file	ed in your

I have read and I understand Altitude Energy's Sexual Harassment Policy.

personnel file.

Receipt of Non-Harassment Policy

It is Altitude Energy's policy to prohibit intentional and unintentional harassment of any individual by another person on the basis of any protected classification including, but not limited to, race, color, national origin, disability, religion, marital status, veteran status, sexual orientation or age. The purpose of this policy is not to regulate our employees' personal morality, but to ensure that in the workplace, no one harasses another individual.

If an employee feels that he or she has been subjected to conduct which violates this policy, he or she should immediately report the matter to the Employee's Supervisor. If the employee is unable for any reason to contact this person, or if the employee has not received a satisfactory response within five (5) business days after reporting any incident of what the employee perceives to be harassment, the employee should contact any member of management. If the person toward whom the complaint is directed is one of the individuals indicated above, the employee should contact any higher-level manager in his or her reporting hierarchy. Every report of perceived harassment will be fully investigated and corrective action will be taken where appropriate. All complaints will be kept confidential to the extent possible, but confidentiality cannot be guaranteed. In addition, the Company will not allow any form of retaliation against individuals who report unwelcome conduct to management or who cooperate in the investigations of such reports in accordance with this policy. If an employee feels he or she has been subjected to any such retaliation, he or she should report it in the same manner in which the employee would report a claim of perceived harassment under this policy. Violation of this policy including any improper retaliatory conduct will result in disciplinary action, up to and including discharge. All employees must cooperate with all investigations.

I have read and I understand Altitude Energy's Non-Harassment Policy.

Employee's Printed Name:
Employee's Signature:
Position:
Date:

The signed original copy of this receipt should be given to management - it will be filed in your personnel file.



Safety Manual Receipt

l,acknowle	dge receipt of this copy of the Altitude Energy Safety
Manual. I Understand that it is my duty to read, stud procedures and other employer policies and procedu whichever Altitude customer that I am doing work for	ires as they apply to the duties that I shall perform for
I further understand that failure to abide by these ru result in disciplinary action as determined by the em	
Signature	
Date	



CELL PHONE USE POLICY

Please read the Distracted Driving Policy, sign and return to your supervisor.

In order to increase employee safety and eliminate unnecessary risks behind the wheel, Altitude Energy has enacted a Distracted Driving Policy, effective February 2018. We are committed to ending the epidemic of distracted driving, and have created the following rules, which apply to any employee operating a company vehicle or using a company-issued cell phone while operating a personal vehicle:

- Company employees may not use a hand-held cell phone while operating a vehicle whether the vehicle is in motion or stopped at a traffic light.
- If company employees need to use their phones, they must pull over safely to the side of the road or another safe location.
- Additionally, company employees are required to:
 - Consider modifying voice mail greetings to indicate that you are unavailable to answer calls or return messages while driving.
 - o Inform clients, associates and business partners of this policy as an explanation of why calls may not be returned immediately.
- Consequences of not following this policy will result in being written up and/or possible suspension depending on circumstances and manager discretion for any repeat offenses.

I acknowledge that I have received a written copy of the Distracted Driving Policy, that I fully understand the terms of this policy, that I agree to abide by these terms, and that I am willing to accept the consequences of failing to follow the policy.

Employee Signature	 Date	
Printed name		

Fleet Vehicle Use Policy



	Policy	Effective Date:	1/1/2015
Section:	Driver Acknowledgement	Revision Number:	01
Status:	Issued	Last Revised	12/1/2019

, understand and acknowledge that part of my job responsibilities may include the operation of a Company vehicle and a personal vehicle to get to and from work. As a driver of a company vehicle or personal vehicle used in the course of business duties, I acknowledge and agree to adhere to the following and accept these terms as a condition of employment:

Operators of Company Vehicles - Conditions and Requirements

- 1. Operate Vehicles in a safe manner and in compliance with all applicable laws.
- 2. Immediately report all incidences, including but not limited to accidents, property damage, theft, loss, etc. suspensions, revoked or suspended license, arrests, etc.
- 3. Maintain a current and valid operator's license with insurance.
- 4. Transport Company personnel and property only.
- 5. Company vehicles are not for personal use.
- 6. Immediately report all maintenance and/or safety issues.
- 7. Maintain the vehicle in a safe and clean condition at all times.
- 8. Lock and secure the vehicle at all times.
- 9. Perform required vehicle inspections per Company policies and procedures.
- Adhere to all applicable DOT regulations.
- 11. Responsible for all content including but not limited to: Equipment, tools, supplies, documents, money, credit cards and other company assets.
- 12. All fuel purchased on my company card will be purchased for the company vehicle. I am responsible for turning in an itemized receipt from the pump for this fuel.
- 13. I will not allow any other drivers to drive the company vehicle.
- 14. Not to exceed the posted speed limit.
- 15. Purchase most economic fuel as recommended by manufacturer grade.
- 16. I will not smoke any tobacco products in the vehicle or while driving.
- 17. I will not text or perform other activities while driving that may distract me.
- 18. Do nothing to disable or interfere with the GPS tracking system on the vehicle. If there is an anticipated issue.
- 19. Fill the fuel tank if less than 1/2 full.
- 20. Not drive if medically unable.
- 21. Report any personal or physical conditions that change the employees ability to operate a vehicle in a safe and legal manner and stop driving immediately.

Operators of Personal Vehicle:

Applies to employees who use personal vehicles in the course of performing their regular job duties. Includes but not limited to branch and department manager and sales personnel.

- 1. Maintain adequate insurance coverage, including but not limited to, bodily injury and property damage or the minimum required by state law. Provide proof of insurance if requested by the Company.
- 2. Maintain my vehicle in a safe working condition at all times.
- 3. Immediately report all vehicles related incidents, including but not limited to accidents, property damage, and injuries that occur while in the course of work.
- 4. Immediately report all driving related incidences, including but not limited to citations, tickets, suspensions, revoked license, arrest, etc. regardless if incident occurs during the performance of work or during personal time.
- 5. Operate Vehicles in a safe manner and in compliance with all applicable laws.

ALTITUDE	
/2015	

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Doc. Type:	Program	Effective Date:	1/1/2015
Section:	73	Revision Number:	01
Status:	Issued	Last Revised	12/1/2019

I acknowledge and accept the following:

- 1. The Company may at its discretion, run MVR checks and that any of the following can result in disciplinary action including termination:
 - a. Excess moving violations
 - b. Suspended/revoked license
 - c. Serious violations, including but not limited to, DUI, reckless driving, excess speeding, criminal arrest, etc.
- 2. In the event I am involved in an auto loss and/or issued a citation
 - a. acknowledge that I must attend a "driver safety class" per the following terms;
 - b. I am responsible for the cost of the course.
 - c. Time away from work will be applied to PTO or unpaid or I must schedule the class during non-work hours.
 - d. Must attend and complete the course within 45 days of the event that has resulted in my having to take the class.
 - e. Failure to attend and successfully complete the class will result in my termination from employment.
 - f. Not permitted to drive company vehicle to said class.

Rental Vehicles:

 Rental vehicles are to be rented in the Company name in order for Company coverage to be effective. Failing to rent a vehicle in the Company name will not provide insurance coverage or reimbursement for expenses associated with vehicle damage or financial liability.

FAILURE TO ADHERE TO THE ABOVE REFERENCED ITEMS WILL RESULT IN DISCIPLINARY ACTION UP TO AND INCLUDING TERMINATION.

Employee Signature	 Date
Supervisor	 Date

Altitude Energy, LLC New Employee Designated Provider Notification Letter

To: All Employees

From: Altitude Energy, LLC

Date: 09/01/19

Subject: Designated Medical Providers for Work-Related Injuries and Illnesses

All employees must obtain treatment of work-related injuries and illnesses from one of the following medical providers:

1. Name: Phillip Abston / General Practice

Address: 1122 50th Ave

City, State & Zip: Greeley, CO 80634

Phone: 970 396-6994

3. Name: Advanced Urgent Care **Address:** 112 S Denver Ave

City, State & Zip: Fort Lupton, CO 80621

Phone: 303 558-0501

 Name: Susan Beck, MD / Urgent Care Clinic Address: 2001 70th Ave Ste 110 City, State & Zip: Greeley, CO 80634 Phone: 970 810-4155

4. Name: Nextcare Urgent Care **Address:** 1011 39th Avenue Suite A

City, State & Zip: Greeley, CO 80634

Phone: 970 351-8181

In the event of a life- or limb-threatening emergency, the injured employee will be sent to the nearest emergency medical facility. One of the medical providers designated above must provide all follow-up care.

If an unauthorized medical provider treats an employee, the employee will be responsible for payment for said treatment.

I have read and am fully aware of the organization's policy regarding medical treatment for work-related injuries and illnesses. I further understand that I must immediately report any work-related injury to my supervisor.

All employees must sign below, acknowledging this policy.

Employee's name	
Employee's signature	Date

FMCSA/DOT ALCOHOL AND CONTROLLED SUBSTANCES TESTING PROGRAM & POLICY

Prepared for: Altitude Energy LLC

Prepared by: Front Range Compliance Services, LLC

September 28th, 2020

Policy & Procedure: 2728144-11212018-ver.2

FMCSA ALCOHOL AND CONTROLLED SUBSTANCES TESTING PROGRAM & POLICY RECEIPT

I hereby acknowledge that I have received a copy of Altitude Energy LLC's Alcohol and Controlled Substances testing policy. I also acknowledge that I have received a full and complete explanation of the program, including all policies and the availability of an employee assistance program.

I have had the terms and conditions of the Altitude Energy LLC's Alcohol and Controlled Substances Testing Policy explained to me, and I freely and voluntarily consent to submit to drug and alcohol screening or testing as set forth in Altitude Energy LLC's Policy. I understand that violation of a provision of this policy may lead to disciplinary action up to and including termination of employment, and that I may forfeit my unemployment and workers' compensation benefits.

I understand Altitude Energy LLC has developed a policy in conformity with DOT Regulations 49 CFR Parts 40 &382 regarding the illegal use of drugs and the abuse of alcohol. A copy of these Federal Regulations is on file with Altitude Energy LLC's Designated Employer Representative (DER) for review at any time during normal working hours.

I further agree to and hereby authorize the release of the results of said tests to the Altitude Energy LLC's Medical Review Officer (MRO) and as set forth in the company drug and alcohol testing policy.

Finally, I agree that neither the issuance of these policies, nor the acknowledgment of its receipt, constitutes or implies a contract of employment or a guaranteed right to recall.

Company Name:	Altitude Energy LLC		
Employee Name:			15 000
Employee Signature:		Date:	
COLD BENEGIES OF			

DRUG FREE WORK PLACE POLICY

Prepared for: Altitude Energy LLC

Prepared by: Front Range Compliance Services, LLC

November 21, 2018

Policy & Procedure: 2728144-11212018-ver.1

Drug Free Workplace Policy - Employee Receipt

I hereby acknowledge that I have received a copy of Altitude Energy LLC's Drug Free Work Place Policy. I also acknowledge that I have received a full and complete explanation of the program, including all policies and the availability of an employee assistance program.

I have had the terms and conditions of the Altitude Energy LLC's Alcohol and Controlled Substances Testing Policy explained to me, and I freely and voluntarily consent to submit to drug and alcohol screening or testing as set forth in Altitude Energy LLC's Policy. I understand that violation of a provision of this policy will lead to disciplinary action up to and including termination of employment, and that I may forfeit my unemployment and workers' compensation benefits.

I further agree to and hereby authorize the release of the results of said tests to the Altitude Energy LLC's Medical Review Officer (MRO) and as set forth in the company drug and alcohol testing policy.

Finally, I agree that neither the issuance of these policies, nor the acknowledgment of its receipt, constitutes or implies a contract of employment or a guaranteed right to recall.

Company Name:	Altitude Energy LLC		-
Employee Name:			*******
Employee Signature:		Date:	
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Alcohol & Controlled Substances Testing:

Drivers Training Program

FMCSA/DOT ALCOHOL AND CONTROLLED SUBSTANCES TESTING - DRIVER TRAINING PROGRAM

Prepared for: Altitude Energy LLC, Josh Bradford Prepared by: Front Range Compliance Services, LLC

FMCSA ALCOHOL AND CONTROLLED SUBSTANCES TESTING - DRIVER TRAINING PROGRAM RECEIPT

I hereby acknowledge that I have received a copy of Altitude Energy LLC's Alcohol and Controlled Substances testing driver training. I also acknowledge that I have received a full and complete explanation of the program, including all policies and the availability of an employee assistance program.

I understand Altitude Energy LLC has developed this training in conformity with DOT Regulations 49 CFR Parts 40 & 382 regarding the illegal use of drugs and the abuse of alcohol. A copy of these Federal Regulations is on file with Altitude Energy LLC's Designated Employer Representative (DER) for review at any time during normal working hours.

Finally, I agree that neither the issuance of this driver training program, nor the acknowledgment of its receipt, constitutes or implies a contract of employment or a guaranteed right to recall.

Company Name:	Altitude Energy LLC		
Employee Name:			
Employee Signature:		Date:	

General Consent for Limited Queries of the Federal Motor Carrier Safety Administration (FMCSA)

Drug and Alcohol Clearinghouse

query of the FMCSA Commercial Driver's License	provide consent to Altitude Energy to conduct a limited Drug and Alcohol Clearinghouse to determine whether exists in the Clearinghouse at any point during my
I understand that if the limited query conducted violation information about me exists in the Clear Altitude Energy without first obtaining additional	ringhouse, FMCSA will not disclose that information to
I further understand that if I refuse to provide conthe Clearinghouse, Altitude Energy must prohibit including driving a commercial motor vehicle, as I regulations.	•
Employee Signature	— Date



EMERGENCY CONTACT

Emergency Contact Name:	
Emergency Contact Phone Number:	
Emergency Contact Phone Relationship:	
DIRECT DEPOSIT	
Routing Number	
Bank/Credit Union Name	
Account Number	
Miscellaneous: Shirt Size	
Employee Signature	Date
Printed name	



Due to the cost of onboarding, Altitude Energy, LLC policy effective 10-21-2020, if you resign or quit in your first 60 days we (Altitude Energy, LLC) will hold \$150.00 out of your final paycheck, the cost associated with the hiring process.

Employee Signature	Date