

## CONTROLSBOND

# Cut the cost & complexity of internal controls

## SOFTWARE TO REDUCE COSTS & INCREASE ASSURANCE BY AUTOMATING YOUR MANUAL & REPETITIVE INTERNAL CONTROLS WORK

Managing your internal controls, like SOX, ITGC, ICFR and OMB A-123 is complicated, and spreadsheets and other legacy tools are inefficient and don't deliver the assurance you need.

By centrally managing and introducing automation to control testing and workflows, assurance is improved and the workload can be more evenly distributed. Risk assurance is improved, compliance is achieved, and the C-suite and investors are more confident.

### Why choose ControlsBond?

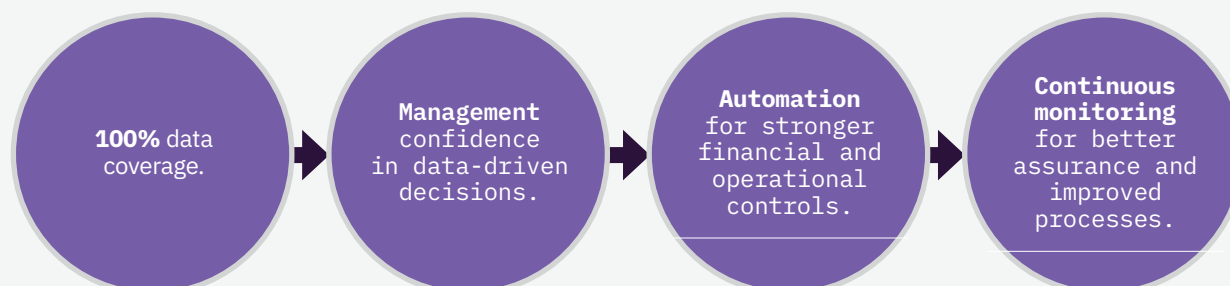
- ❑ **Reduce costs** by minimizing duplicate work, rationalizing low-risk or non-key controls, and harmonizing controls that comply with multiple regulations.
- ❑ **Engage process owners** and the first line of defense to test controls and remediate issues in a single platform.
- ❑ **Save time** with pre-built templates and frameworks.
- ❑ **Share real-time updates** on compliance issues, remediation tasks, and certification status.
- ❑ **Increase management and investor confidence.**

*"We've recognized quite a big improvement in the reliability and consistency of the controls, but also the efficiency of the processes underlying them."*

- **Jason A. Gross**, CPA, CIA, CFE, CISA, ACDA

Vice President, Controls Management, Siemens Financial Services, Inc.

### CONTROL PROFESSIONALS ARE SEEING RESULTS WITH CONTROLSBOND



Search Controls		Control design	Control operation	Status	Frequency	Owner	Process	Project
<input type="text" value="Search Control ID or Description"/>		All statuses	All statuses	Key Control	All frequencies	All owners	All processes	All projects
Control ID	Description	Control design	Control operation	Status	Frequency	Owner	Process	
5314-05431	Policies on Corporate Governance - Management Responsibilities Control tasks	✓	✓ ... ..	Key Control	Bi-Weekly	Kris McKinnon	Corporate Office / Governance Control	
5314-05431	Policies on Corporate Governance - Management Responsibilities Control tasks	✓	✓ ✓ ... ⚙	Key Control	Bi-Weekly	Kris McKinnon	Corporate Office / Governance Control	15
EC1-002	Install Security Card scanning terminals on every site entry points. Control tasks	✓	✓ ... ..	Key Control	Monthly	Kris McKinnon	Site Security	99+
EC1-002	Install Security Card scanning terminals on every site entry points.	✓	✓ ... ..	Key Control	Monthly	Kris McKinnon	Site Security	
AS-002	Ensure Strong Passwords are generated automatically	...	...	Key Control	Daily	Cory McBain	Strong Passwords	
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Figure 1: Mission Control

## Highlighted features

### CONTROLS TESTING & OVERSIGHT

- 1 Get a dashboard view into control status: what's been tested, what hasn't, what's operating effectively, and what isn't.
- 2 Build automated workflows to engage process owners and the first line of defense to help test controls, and identify and remediate issues.
- 3 Pull data from business applications like SAP, Concur, and Salesforce to automate control testing wherever possible.

### CENTRALIZED RISK & CONTROL LIBRARY

- 1 Build a risk and control library by importing information from Excel, or use built-in SOX COSO and SOX ITGC frameworks.
- 2 Rationalize low-risk or non-key controls.
- 3 Harmonize controls that comply with multiple regulations.

### REPORTING & CERTIFICATION

- 1 Generate reports for management, PMO, or external auditors—by entity, process, control status, or issues.
- 2 Demonstrate to your CEO and CFO that cross-functional leaders have reviewed testing results and have sub-certified for SOX 302.
- 3 Get a real-time assurance report card.