

## HARNESS RACING VENDOR LICENSE FORM

OFFICE USE ONLY				
Date: License Year:				
License No.:				
Cash:	/ Check No.: _			
Credit Card	Amount:			
Total Fees R	eceived:			
Reviewer: _				
New	Renewal	Complete		
	rtenewar	Somplete		

COMMISSION		Cash:	/ Check No.:			
	Credit Card Amou	Credit Card Amount:				
		Total Fees Receive	ed:			
		Reviewer:				
Name of Applicant:	New	Renewal Complete				
Traine of Applicant.						
	IMPORTANT	<del></del>				
PLEASE PRINT OR TYPE THE ANSV		UESTIONS IN THE SPACES	PROVIDED			
FAILURE TO ANSWER ANY QUE			HFULLY			
MAY RESULT IN	THE DENIAL OF YOUR LICE	INSE APPLICATION				
	TYPE OF APPLICATIO	N				
<b>1.</b> Check (√) the appropriate box or boxes to designate the completed. Make check payable to <b>Commonwealth</b> of		Attach your payment to the fro	nt of your application when it is			
The applicant is eligible for a license up to three consesubmit with this application.	ecutive years. Select the appro	priate box or boxes for the nun	nber of years desired and			
Badges must be worn in pl	ain view on outer clothing	in all restricted areas at	all times.			
☐ Veterinarian License	☐ 1 year fee (\$60)	☐ 2 year fee (\$120)	☐ 3 year fee (\$180)			
☐ Blacksmith License	☐ 1 year fee (\$25)	☐ 2 year fee (\$50)	☐ 3 year fee (\$75)			
☐ Other:	☐ 1 year fee (\$10)	☐ 2 year fee (\$20)	☐ 3 year fee (\$30)			
☐ Badge	☐ 1 year fee (\$10)	☐ 2 year fee (\$20)	☐ 3 year fee (\$30)			
NAME AND ADDRESS						
NAME: LAST - INCLUDE SR., JR., ETC., IF APPLICABLE	FIRST		MIDDLE			
NAIVIE. LAST - INCLUDE SK., JK., ETC., IF AFFLICABLE	FIRST		WIIDDLE			
MAILING ADDRESS: NUMBER AND STREET	APT# CITY	CTATE	ZIP CODE			
MAILING ADDRESS. NUMBER AND STREET	APT# CITY	STATE	ZIP CODE			
HOME ADDRESS: IF DIFFERENT THAN MAILING ADDRESS	APT# CITY	STATE	ZIP CODE			
HOME TELEPHONE NUMBER CELL TELEPHONE I	NUMBER WORK TE	ELEPHONE NUMBER	EMAIL ADDRESS			
DESCRIPTIVE INFORMATION						
DESCRIPTIVE INFORMATION						
DATE OF BIRTH:         (M M)         (D D)         (YYYYY)	HEIGHT:	FT IN WEIGH	IT: LBS			
SOCIAL SECURITY NUMBER:						
		DRIVER LICENSE / STATE IDENTIFICATION	STATE ON NUMBER			
IMMIGRATION ID NUMBER (if applicable)						

HAIR COLOR	EYE COLOR		SEX	RACE		
☐ BLACK ☐ BROWN	☐ BLACK	BROWN	☐ MALE	☐ AMERICAN INDIAN / ALASKAN NATIVE ☐ WHITE		
☐ BLONDE ☐ RED	☐ HAZEL	☐ BLUE	☐ FEMALE	☐ HISPANIC ☐ ASIAN / PACIFIC ISLANDER		
☐ GRAY ☐ WHITE	☐ GRAY	GREEN		☐ BLACK / AFRICAN AMERICAN		
☐ BALD				☐ OTHER		
HAVE YOU EVER BEEN KNOW! specify dates of use for each. (Incl				No☐ If yes, list the additional names below and		
PLACE OF BIRTH:						
CITY/T	OWN		STATE/PI	ROVINCE COUNTRY (other than US)		
MANUALLY AFFIX A COLOR 2" X 2" WITH A FULL-FACE, FRONT VIEW PHOTOGRAPH TAKEN WITHN THE PAST 6 MONTHS. (IF ELECTRONIC FILING APPLICATION YOUR CREDENTIAL PICTURE WILL BE SUFFICIENT FOR AFFIXING)						
		CITIZ	ENSHIP			
2. Are you a citizen of the Uni	ted States?	Ye	s□ No□			
3. If you are a naturalized citizer attachment to question 3.	n of the United S	States, attach a c	opy of your certifi	cate of naturalization to this form labeled as		
NOTICE TO APPLICANT:	If you answere	ed "YES" to Que	estion 2 and prov	vided the attachment for Question 3, please		
	continue on to	Question 6.				
4. If you are not a citizen of th	e United States	s, please indicat	e:			
A. The country of which you	ı are a citizen: _					
B. Your place of birth:	CITY			STATE COUNTRY		
C. Your port of entry to the United States:  D. Name and address of your sponsor upon your arrival:						
2. Name and address of ye	ar openeer aper	. your arrivan				
5. If you are not a United States citizen, but you are a legally authorized permanent resident alien or you are authorized to be employed in the United States, please provide your USCIS "A" number or other USCIS authorization in the space provided below, and attach to this form a copy of your USCIS identification card and/or any other USCIS document that conditions or restricts your employment labeled as attachment to question 5.						
USCIS "A" number						
Initials/Date:						
				VETERINARIAN ONLY		

Form No, HR-5: Harness Racing Vendor License 03-03-17

NO	NOTICE TO APPLICANT: All Veterinarians must be registered in Massachusetts as well as with DEA to qualify for licensing by MGC.						
		Ce	rtificates subject to inspection upon rea				
CE	RTIF	CATE NO.:		Expiration Date:			
6	The	e following information	n must be completed by Veterinaria	n:			
	A.	Name of Veterinary s	chool attended:				
	В.	Year of Graduation:					
	C.	Year admitted to Vet	erinary practice:				
	D.	Are you currently lice	ensed in the state of Massachusetts	? Yes  No			
	E.	Do you hold a license	e in any other state?	Yes 🗌 No 🗌			
		If yes, provide the State	te where license is held:				
	F.	Was your license eve	er suspended?	Yes 🗌 No 🗌			
		If yes, provide the deta	ails of each instance:				
		DATE	STATE	SPECIFY VIOLATION			
		Note: Should you require	additional space, attach a separate sheet of pa	per in the same format and label it attachment to question 6-F.			
		Note: Should you require	, auditional space, attach a separate sheet of par	ter in the same format and laber it attachment to question 0-1.			
	G. Name of your employees:						
		1	2	3			
	Note: Should you require additional space, attach a separate sheet of paper in the same format and label it attachment to question 6-G.						
	Initials/Date:						
	CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS						

The next question asks about any arrests, charges or offenses you may have committed. Prior to answering this question, carefully review the definitions and instructions which follow:							
DEFINITIONS	<b>DEFINITIONS</b> : For purposes of this question:						
	<ul> <li>A. Arrest means being taken into custody by any police or other law enforcement authority.</li> <li>B. Charge includes any indictment, complaint, information or other notice of the alleged commission of any "offense."</li> <li>C. Conviction includes the finding of guilty of any "offense" upon a trial or a plea of guilty. An adjudication of delinquency shall not be considered a conviction. Such a finding may, however, be considered for purposes of determining the suitability of an applicant.</li> <li>D. Crime or Offense includes all felonies and misdemeanors.</li> </ul>						
INSTRUCTION		<u></u>	0000 Wab 1000.Vo.	a. ganty, not ganty,	oonungga mareat	a miani,	g, alemiessa, penang,
	A. Please not and may n	ot omit informa	ition. Answer "yes	and provide all in			I questions completely our ability <b>EVEN IF</b> :
	<ol> <li>You did not commit the offense charged;</li> <li>The charges were dismissed or subsequently downgraded to a lesser charge;</li> <li>You completed a diversionary program or the equivalent thereof;</li> <li>You were not convicted;</li> <li>You did not serve any time in prison or jail;</li> <li>The charges or offenses happened a long time ago.</li> </ol>						
	<ul> <li>B. Answer "no" IF:</li> <li>1. You have never been arrested or charged with any crime or offense.</li> <li>2. Records of criminal appearances, criminal dispositions, and/or any information concerning acts of delinquency that have been sealed.</li> </ul>						cerning acts of
Massac	<ul> <li>Have you ever been arrested, charged and/or convicted of any crime or offense in any jurisdiction (including Massachusetts)?</li> <li>Yes No If you checked yes, complete the following chart:</li> </ul>						
NATURE OF CI	HARGE OR OFFENSE	DATE CHAR OR OFFENS		ADDRESS OF LAW EN			DISPOSITION
Note	e: Should you require ad	ditional space, atta	ach a separate sheet of	paper in the same form	nat and label it attachme	ent to que	stion 7.
Note: Should you require additional space, attach a separate sheet of paper in the same format and label it attachment to question 7.  8. A. Are you presently on parole or probation?  Yes \[ \subseteq \text{No} \subseteq \]  B. Have you ever had any permit or license of any type whatsoever denied, suspended, or revoked by any Federal,							
State, or City Agency?  Yes  No  If you checked yes to any of above questions, complete the following chart:							
DATE FILED	JURISDICTION	DOCKET NUMBER	OTHER PARTIES TO THE LAWSUIT	NATURE OF THE LAWSUIT	DISPOSITION (IF APPLICABL		DATE OF DISPOSITION (IF APPLICABLE)
Note	Note: Should you require additional space, attach a separate sheet of paper in the same format and label it attachment to question 8.						
Initials/Date:							
			LICENSII	NG HISTORY			

Have you been licensed previously by the Massachusetts State Racing or Gaming Commission?  Yes  No  If you checked yes, complete the following chart:						
YEAR OF LICENSURE TYPE OF LICENSE, PERMIT, REGISTRAT					CERTIFICATION	ON, OR OTHER AUTHORIZATION
<b>Note:</b> Shoul	d you require addition	nal space, attach	a separate sheet of paper in	n the same format and labe	l it attachment	to question 9.
	-		ng license in any oth			
Yes No	If you ched	cked yes, com	nplete the following ch			YEAR(S)
IV	ANIL STATE		TIFEOI	LICENSE		ILAN(S)
Note: Shoule	d you require addition	nal space, attach	a separate sheet of paper in	n the same format and labe	l it attachment	to question 10.
Are you now or have you ever been found ineligible for licensure, denied a license, had a license revoked or suspended or been set down, ruled off or otherwise barred from participating in racing by any racing organization, association commission or other recognized turf authority in the U.S. or elsewhere?  Yes  No  If you checked yes, complete the following chart:						
DATE	STATE		TRACK SPECIFIC VIOLATION			VIOLATION
Note: Should you require additional space, attach a separate sheet of paper in the same format and label it attachment to question 11.  2. Have you ever been assessed a fine of \$500 or greater by any racing organization, association, commission or other recognized turf authority in the U.S. or elsewhere?  Yes \[ \sum \text{No} \sum \sum \text{If you checked yes, complete the following chart:} \]						
DATE	STATE		TRACK		SPECIFIC	VIOLATION
Note: Should	d you require addition	nal space, attach	a separate sheet of paper ir	n the same format and labe	l it attachment	to question 12.
NOTICE TO APPLICANT: All employers are required by the Commonwealth of Massachusetts to carry Workman's Compensation Insurance on their employees per the Workman's Compensation Act, M.G.L. c.152						
Name of Company:			Number:		Expirat	ion Date:
					Initials	r/Date:
READ THE FOLL	OWING STATE	MENTS AN	ID SIGN BELOW			SIGNATURE SECTION

Form No, HR-5: Harness Racing Vendor License 03-03-17

## STATEMENT OF TRUTH and CONSENT

I hereby state under the pains and penalties of perjury that:

- 1. The information contained herein and accompanies this application is true and accurate to the best of my knowledge and understanding.
- 2. I personally supplied and/or reviewed the information contained in this form.
- 3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
- 4. Any document accompanying this application that is not an original document is a true copy of the original document.
- 5. I am aware that if any of the foregoing statements made by me are false or misleading this application may be denied.
- 6. I hereby consent to fingerprinting, photographing and the supplying of handwriting exemplars as authorized by 205 CMR 134.07.

I understand if I have questions regarding this form, I should ask an employee of the Commission's Division of Licensing.

## **NOTICE TO APPLICANT:**

The Bureau or Commission may decline to issue, deny suspend or revoke a license or registration if the individual has been convicted of a felony or other crime involving embezzlement, theft, fraud or perjury; submitted an application under M.G.L. c. 268, sec. 9A and 205 CMR 3.00, that contains false or misleading information; or committed prior acts which form a pattern of misconduct that makes the applicant unsuitable. In determining whether an applicant is suitable, the Bureau or Commission will evaluate and consider the overall reputation of the applicant including, without limitation, the individual's integrity, honesty, good character and reputation, and whether the applicant has been convicted of a crime of moral turpitude.

SIGN UNDER THE PAINS AND PENALTIES OF PERJURY

License applied for Expires December 31 st year of Issuance

Print Name of Applicant	Signature of Applicant	Date of Signature
RELEA	SE AUTHORIZATION - INDIVIDUAL	
Educational Institutions, Banks, Financial and	pation Departments, Military Organizations, Select I Other Such Institutions, All Gaming Regulatory exception, both foreign and domestic (the "issuing	Agencies, and All Governmental
l,	, authorize the Massachusetts Gamir	ng Commission (Commission) and
(Print Name) Investigations and Enforcement Bureau (Bur	eau) to conduct a full investigation into my backg	round and activities.
	ureau may contract or may have contracted with ions on behalf of the Commission and/or Bureau	
	tion pertaining to me, documentary or otherwise, if that he or she certifies to you that I have an apport or person required to be qualified.	
	the Bureau and their agents, representatives and ges of whatever kind, which may at any time rest	
I acknowledge that this authorization shall su Commission and/or Bureau.	persede and replace any prior release authorizat	ion executed by me for the
	f signature and, once issued, for the duration orization will be considered as effective and valid	
(Signature of Applicant)		
(Type, Stamp or Print Name)		

APPROVAL PAGE

(Date)

Form No, HR-5: Harness Racing Vendor License 03-03-17

Page 7