Complete Equity Markets, Inc.

Lloyd's, London Correspondents

March 14, 2025

TO: Association of Attorney-Mediators

Current Member

FROM: Kaitlyn Hassall

Account Executive

RE: Arbitrators/Hearing Officers/Mediators Professional

Liability Insurance

Endorsement No. 673197

Because of your current membership with the Association of Attorney-Mediators you are afforded professional liability insurance for your arbitration/hearing officer/mediation work under the Association's policy.

The period of insurance is January 1, 2025 to January 1, 2026. The limits of liability for the group is \$500,000/per claim and \$2,000,000/aggregate with a \$500.00 deductible each claim. Please contact Robert Badgley (contact information attached) should you have a claim or possibility of a claim to be filed. In addition to Robert Badgley please send a copy of any claims information to Allison Ellis at the Association office.

This policy covers only arbitrations, hearing officer activity and mediations that take place within the United States of America, its territories or possessions, or Canada.

Should you have any questions, please feel free to call me using our toll free number, 1-800-323-6234, extension 472.

Best regards,

Kaitlyn Hassall on behalf of Lawrence T.P. Molloy

Account Executive

/kah 128*16

CLAIM FILING PROCEDURES FOR PROFESSIONAL LIABILITY INSURANCE

If your office received a notice of a possible legal action, you MUST notify the insurance carrier representative. Notice of possible legal action includes any notification that a legal action will be taken against you, including a letter or telephone call, personal conversation, or knowledge of a potential situation that may give rise to a claim. Notice of possible legal action is not limited to a Summons and Complaint being received in your office.

Your Professional Liability Insurance policy is issued on a "Claims Made" basis; therefore, it is extremely important that you report ANY possible legal action promptly.

Should you be notified of a possible legal action as outlined above, you must notify the following by fax, email, delivery service or other form of transmission which generates a record of receipt:

Mr. Robert Badgley
Karbal, Cohen, Economou, Silk & Dunne
200 South Wacker Drive, Suite 2550, Chicago, Illinois 60606
Phone: (312) 431-3634 E-mail: rbadgley@karballaw.com

If you have received a Summons and Complaint, please forward a full and clear copy to Mr. Badgley. Any relevant information you feel may help in understanding the matter should also be included.

If you receive a letter indicating possible legal action will be taken, photocopy the letter and mail same to Mr. Badgley by fax, email, delivery service or other form of transmission which generates a record of receipt.

Copies of ALL information and claims provided to Mr. Badgley should also be sent to:

Kaitlyn Hassall for Lawrence T. P. Molloy Complete Equity Markets, Inc.

> 1190 Flex Court Lake Zurich, IL 60047 (800) 323-6234 or (847) 541-0900

Please include a photocopy of the front page of your insurance policy (the sheet that includes the policy number, the policy period, etc., together with copies of any amendatory endorsements) along with the claim information.

The following are conditions of your policy of insurance which we would like to call to your attention. Please read your policy wording for further information:

The Assured shall not admit liability for or settle any claim or incur any costs or expenses in connection therewith without the written consent of Underwriters who shall be entitled at any time to take over the conduct of the defense of the claim in the name of the Assured.

CEMSN 425 (05/23)