

## IMPORTANT FINANCIAL DOCUMENTS CHECKLIST



When you meet with us, please try to bring along the following documents that apply to you.

### Retirement Planning Documents

- ☐ Recent IRA, 401(k), 403(b), TSA, Keogh statements
- ☐ Employee benefits program
- ☐ Deferred compensation and stock option agreements
- ☐ Pension and profit sharing statements
- ☐ Social Security Statements

### Tax Planning Documents

- ☐ Tax returns for last year
- ☐ W-2 and a recent pay stub
- ☐ Estimated taxes

### Financial Documents

- ☐ Savings account statements
- ☐ Mutual fund statements
- ☐ Brokerage account statements (*Not statements for RJFS accounts housed by us*)
- ☐ Investment documents
- ☐ Loan documents
- ☐ List of stocks held outside of brokerages
- ☐ Partnership agreements

### Asset Protection Documents

- ☐ Life insurance policies and statements
- ☐ Disability, umbrella, and long term care insurance policies
- ☐ Annuity policies and statements

### Estate Planning Documents

- ☐ Summary of your will, living will, durable powers of attorney and health care powers
- ☐ Living trusts

(Updates/Amendments only for anything previously given to us.)

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