## 17-Hydro Power

ECEGR 4530 Renewable Energy Systems



#### Overview

- Introduction
- Hydro Resources
- Fundamentals
- Turbine Types
- Environmental Impacts
- Economics



#### Introduction

- Hydroelectric generation is the most prolific and mature of renewable energy sources
- It is mainstream enough for it to not be considered renewable in some circumstances
  - Renewable Portfolio Standards often do not count existing freshwater hydro
- We will assume the discussed applications are freshwater without losing generality



## Introduction: Hydro in the U.S.

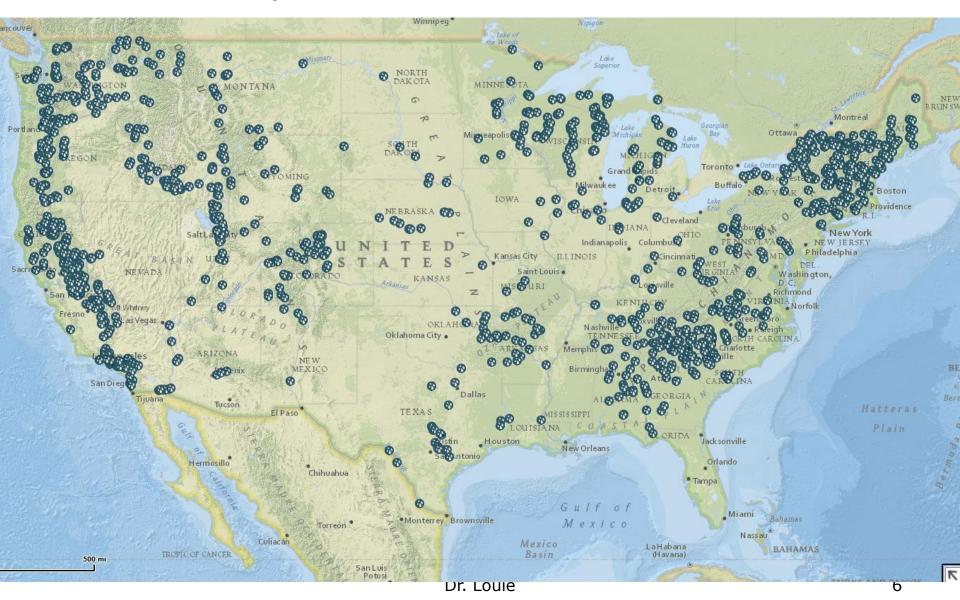
- Accounts for about 50% of energy production from all renewable resources
- 4,000 generators
- 78,000 MW of capacity (stagnant)
- Supplied
  - 249 TWh in 2015
  - 269 TWh in 2013
  - 319 TWh in 2011
- Largest: Grand Coulee Dam (6,800 MW)
- What state produced the most amount of energy from hydropower in 2012?



## Introduction: Hydro in the U.S.

- 1. Washington (89 TWh)
- 2. Oregon (39 TWh)
- 3. California (27 TWh)
- 4. New York (24 TWh)

## Hydro Facilities in the US





## Introduction: Hydro in the U.S.

What was the capacity factor for hydroelectric generators in the U.S. in 2013?



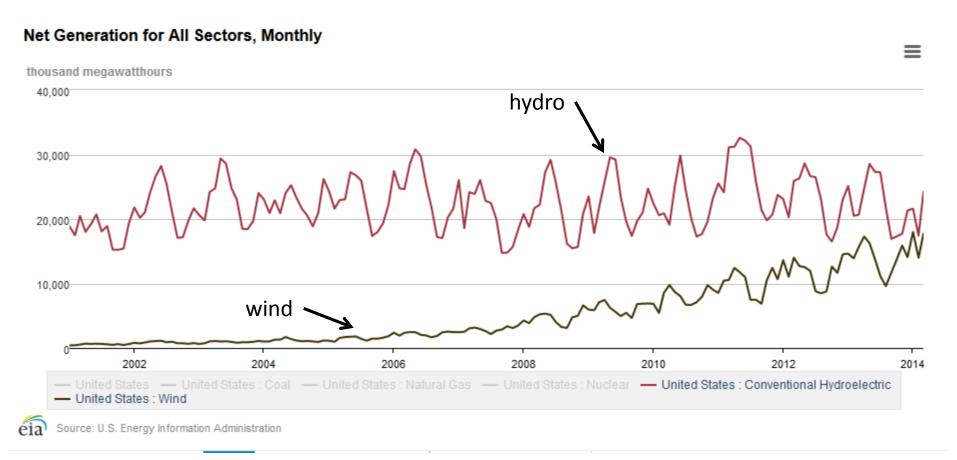
## Introduction: Hydro in the U.S.

What was the capacity factor for hydroelectric generators in the U.S. in 2013?

Capacity Factor= 269 TWh/(8760 x 0.078 TWh)
=39%



#### **Trends**





## Introduction: Hydro in the World

- Largest dam: Three Gorges (China), 22,500 MW when fully completed
- Worldwide hydro energy production: 3,000 TWh per year

What are the top hydroelectric energy producing

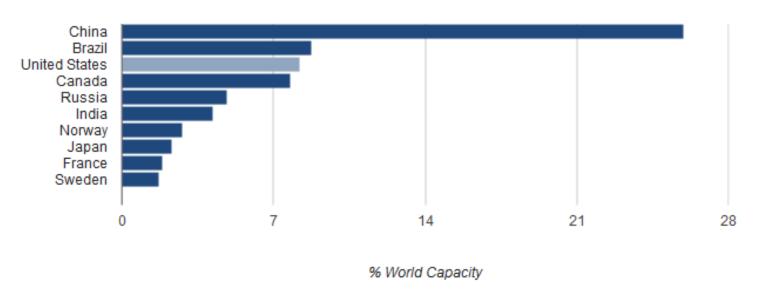
countries in the world?





# Place the following in order from highest to lowest (by capacity)

The United States compared to Top 10 markets worldwide for Hydroelectric Power Capacity



source: http://www.renewablefacts.com/country/united-states/hydro



#### Introduction

- Total installed capacity is at >775 GW
- Additions of 15-20 GW per year



## Hydro Resources

- Hydroelectric generation is the conversion of the kinetic energy of running water to electrical energy
- Energy flow:
  - solar energy evaporates water to create water vapor
  - water vapor rises in the atmosphere
  - as it rises, it gains potential energy
  - when the water vapor condenses, it falls to earth
  - the water flows downhill back to the ocean



## Hydro Resources

- Flow of water to the ocean has kinetic energy which can be harnessed by the hydroelectric generator
- The source of energy for hydro electric generation is the sun



## Hydro Resources

- Total hydro energy potential is about 40,000 TWh/year
- 14,000-15,000 TWh/year could be harnessed
- Is this enough to supply the world's electrical energy needs?
  - worldwide consumption 16,000 TWh/year



Recall potential energy:

$$E_{PE} = m \times g \times h$$

• m: mass, in kg

• g: acceleration due to gravity: 9.8 m/s<sup>2</sup>

• h: height, m



 What is the potential energy of 1 cubic meter of water at a height of 10 m?



- What is the potential energy of 1 cubic meter of water at a height of 10 m?
  - 1 cubic meter = 1,000 liters
  - 1 liter = 1 kg
  - potential energy = 1000 x 9.8 x 10 = 98,000 J



- What is the power of 1 cubic meter of water falling per second from a height of 10 m?
- $\dot{m}$ : mass flow rate of water, kg/s

$$P_{water} = \dot{m} \times g \times h$$



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- Head: height the water falls from
- Effective head: is the equivalent height after losses such as friction and turbulence are taken into account, i.e. it is the height that the water would fall from under ideal conditions to deliver the same amount of power
  - effective head is always less than the head (ranging from 75% to 95%)



- Water turbines are also not ideal
- Efficiencies up to 95%
- Accounting for these losses:

$$P = \eta_{wt} \times \dot{m} \times g \times h$$

- P: power output of the water turbine, W
- h: effective head height, m
- $\eta_{wt}$ : efficiency of the water turbine



## Lake Kariba North (Zambia)

- World's largest man-made reservoir
  - Volume at maximum retention level 180 billion cubic meters
  - Volume at minimum operating level 115 billion cubic meters
  - Live capacity of 65 billion cubic meters
  - Minimum operating level 474.8 meters above sea level
- Generating capacity: 720 MW (4 x 180MW Francis turbines)



Source: ZESCO







#### Lake Kariba North Bank Extension

- Located at Lake Kariba
- Completed in May 2014
- Two 180 MW turbines
- Designed as "peaking" generators, used only 3.5 hours per day



Assuming an operating head height of 89 m and a turbine/generator efficiency of 95 percent, how many cubic meters of water are needed to generate 1 MWh of electricity?



Assuming an operating head height of 89 m and a turbine/generator efficiency of 95 percent, how many cubic meters of water are needed to generate 1 MWh of electricity?

From equation for potential energy:

 $1MWh = 1x10^6 x60x60 = 3.6x10^9$  joules

$$v = \frac{m}{1000} = 4.34 \times 10^3$$
 cubic meters 1 cubic meter weighs 1000 kg



The live (usable) capacity of Lake Kariba is 65 billion cubic meters. Given the generation capacity is 720 MW, how many hours will it take to completely use (drain) the live capacity?



The live (usable) capacity of Lake Kariba is 65 billion cubic meters. Given the generation capacity is 720 MW, how many hours will it take to completely use (drain) the live capacity? From before, 1 MWh uses 4340 cubic meters, so 720 MWh (one hour of operation) uses approximately 3.12 million cubic meters

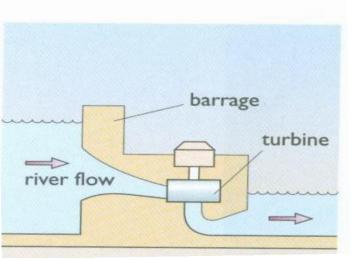
time = 
$$\frac{65 \times 10^9}{3.1 \times 10^6}$$
 = 20,833 hours = 2.37 years

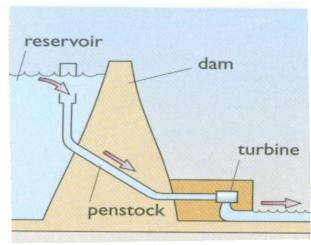


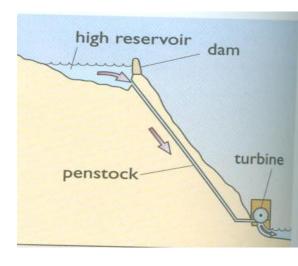
- Hydroelectric generation facilities can be classified according to their:
  - effective head
  - capacity (power rating)
  - type of turbine used
  - type of dam/reservoir



Consider three types of hydroelectric generation facilities: low, medium and high head







low head

medium head

high head



- Low head dam (run-of-river)
- Must have a high mass flow rate, since the head is small  $P = \eta_{wt} \times \dot{m} \times g \times h$
- Large/expensive civil structures due to the high flow rate
- No or little storage capacity



- Medium and high head dam
- Flow rates can be smaller  $P = \eta_{wt} \times \dot{m} \times g \times h$
- Large storage capacity
- Floods large amount of land
- Compact turbines and generators, but under high pressure



- Turbine types
  - Francis
  - propellers
  - impulse



### Francis Turbine

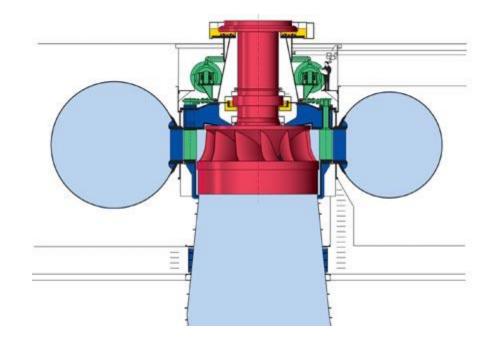


Three Gorges Dam



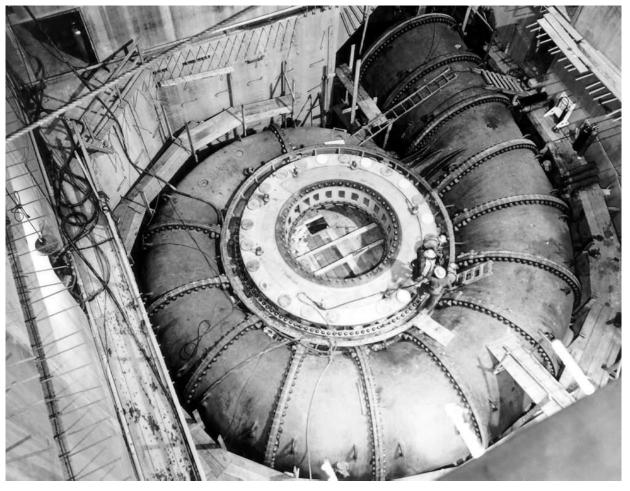
#### Francis Turbines

- horizontal or vertical axis of rotation
- completely submerged turbine blades
- common in medium and large capacity plants
- used in all types of head dams
- large drop in pressure





## Francis Turbines



**Grand Coulee Dam** 



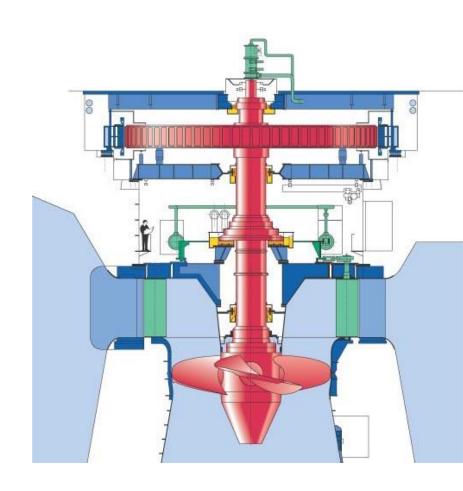
# Hydroelectric Generators





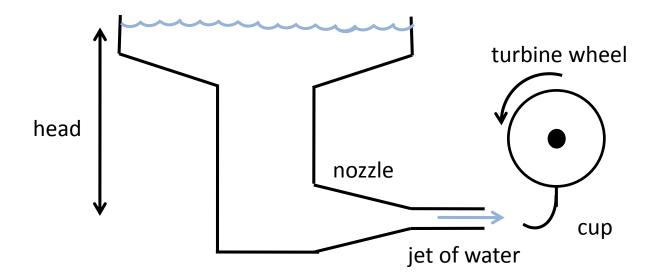
#### Propeller: Kaplan Turbine

- used in low-head, high flow applications
- completely submerged blades
- axial flow
- adjustable pitch blades





 basic idea: use a jet of water to rotate the turbine wheel





#### Pelton Turbine

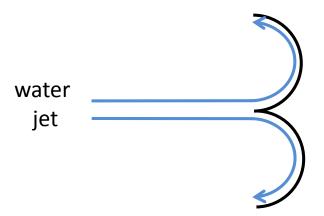
- 100% of kinetic energy can be harnessed
  - ideal conditions
  - unlike wind turbines (Betz Limit)
- cup is polished and smooth, with negligible friction





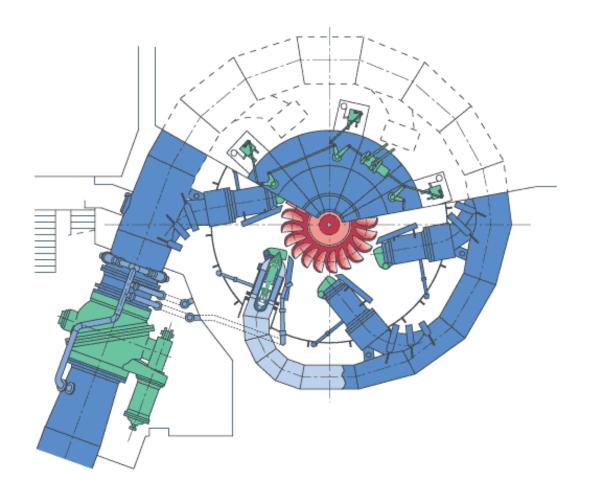
#### Pelton Turbine

Maximum efficiency is obtained when the velocity of the cup is equal to half the velocity of the water jet





# Impulse Turbine: Pelton





velocity of water

$$E_{PE} = mgh$$

$$E_{KE} = \frac{1}{2}mv^2$$

$$\Rightarrow \frac{1}{2}mv^2 = mgh$$

$$\Rightarrow v = \sqrt{2gh}$$

mass flow rate of water:

$$\dot{m} = 1000 \times A \times \sqrt{2gh}$$

■ A: area of nozzle, m<sup>2</sup>



#### From before:

$$P = \eta_{wt} \times \dot{m} \times g \times h$$

#### Therefore

$$P = \eta_{wt} \times 1000 \times A \times \sqrt{2gh} \times g \times h$$

$$P \approx \eta_{wt} 45 A \sqrt{h^3}$$
 (in kW)



• If  $A = 5.0 \text{ m}^2$ , h = 81 m and the efficiency is 90%, find the power output of a hypothetical impulse turbine.



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$$P \approx 0.9 \times 45 A \sqrt{h^3} = 0.9 \times 45 \times 5 \times \sqrt{81^3} = 148 kW$$

What is the mass flow rate?



• If  $A = 5.0 \text{ m}^2$ , h = 81 m and the efficiency is 90%, find the power output of a hypothetical impulse turbine.

$$P \approx 0.9 \times 45 A \sqrt{h^3} = 45 \times 5 \times \sqrt{81^3} = 875 kW$$

What is the mass flow rate?

$$\dot{m} = 1000 \times A \times \sqrt{2gh} = 1000 \times 5 \times \sqrt{2gh} = 200,000 \text{ kg/s}$$



 It is possible to use more than one nozzle, in which case:

$$P \approx 45 An \sqrt{h^3}$$
 (in kW)

- *n*: number of nozzles
- number of nozzles is usually limited to be four or less or they will start interfering with each other



### **Turbine Applications**

- Propeller turbines:
  - high flow rates (10 m³/s to 50 m³/s)
  - low head (3 m to 50 m)
- Francis turbines:
  - medium flow rates
  - medium head
- Pelton turbines:
  - low flow rate (0.1 m<sup>3</sup>/s to 10 m<sup>3</sup>/s)
  - high head (10 m to 1000 m)



## **Turbine Applications**

- each turbine type has an optimal range of rotational speeds
- Specific Speed:

$$N_s = \frac{P^{\frac{1}{2}} \left( \frac{\omega}{2\pi} \right)}{h^{\frac{5}{4}}}$$

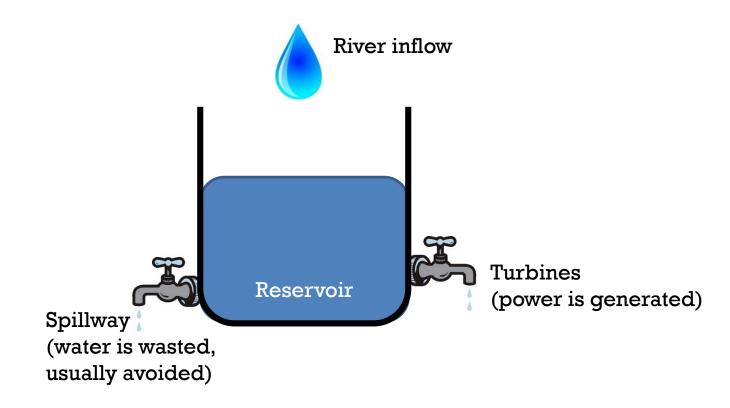
- ω: angular velocity of the turbine (rad/s)
- Francis:  $N_s$  range 70-500
- Propeller:  $N_s$  range 350-100
- Pelton:  $N_s$  range 10-80



# Reservoir Management

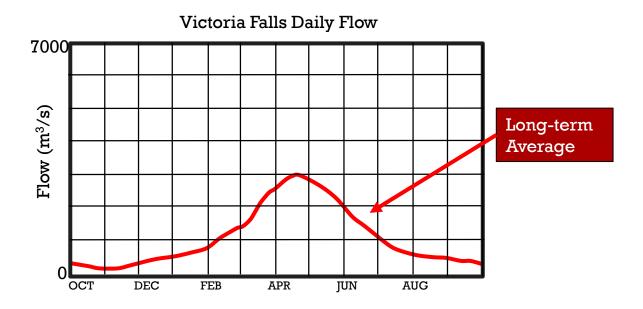








Water flow into reservoirs is often seasonal (rainy season, snow pack melt, etc.)

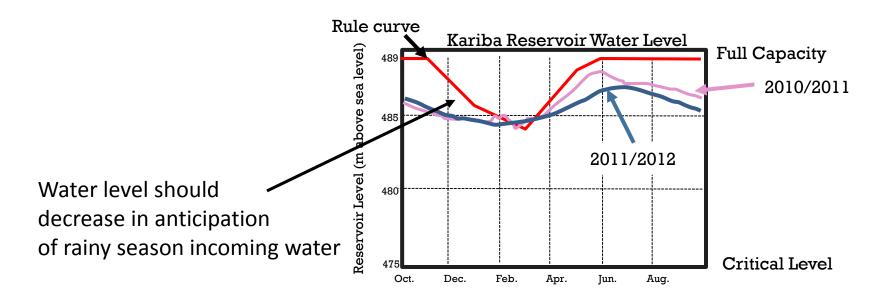


Source: Zambezi River Authority



Rule Curves are used as guide for reservoir level management:

Above the Rule Curve: use more water Below the Rule Curve: use less later



Source: Zambezi River Authority



# Oroville Dam (California)









 What are the positive environmental aspects of hydroelectricity?



- What are the positive environmental aspects of hydroelectricity?
  - very low emissions (zero direct emissions)
  - no particulates
  - no radioactivity
  - irrigation



- What are the negative environmental aspects of hydroelectricity?
  - population displacement
  - increased evaporation
  - silting
  - methane release from anaerobically decaying plants in the created reservoirs
  - construction process
  - fish
  - dam failure
    - In 1975, some 250,000 people died in China from several dam failures



- ecological damage from hydroelectricity generation is severe
- once built, it is difficult to modify the civil structure

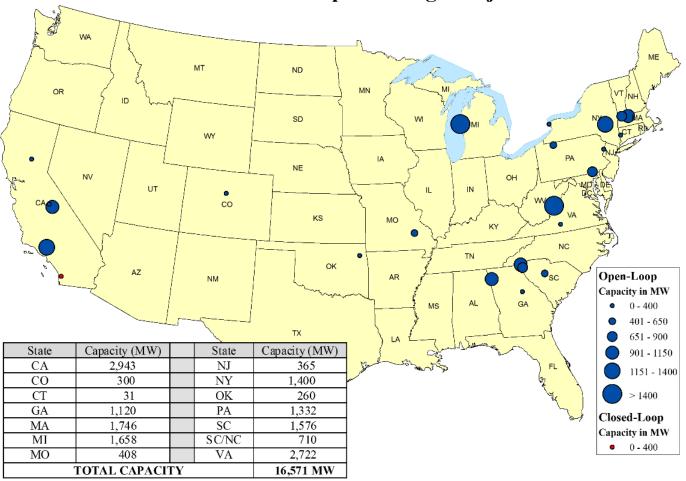


## Pumped Storage

- A unique characteristic of some hydroelectric facilities is the capability of pumped storage
- Water turbines are run as pumps (Pelton turbines cannot do it) to pump water back into the reservoirs
- Pumping occurs during the night and is supplied by base-loaded generators (coal or nuclear)
- This is the only commercial truly large-scale energy storage used
- Can be used for integrating renewable resources



#### **Licensed Pumped Storage Projects**



Source: www.ferc.gov/industries/hydropower/gen-info/licensing/pump-storage.asp



#### **Economics**

- Capital costs:
  - \$1,200 per kW for Three Gorges
  - \$2,000/kW to \$4,000 may be more typical
  - Mostly civil structure costs
  - Vary greatly from site to site
- No energy costs
- Machine lifetime
  - 25-50 years
- Structure lifetime
  - 50-100 years