

Following fields need to be filled mandatorily

1. Date: In format DD/MM/YYYY
2. Bank A/c Type: Tick the relevant box
3. Fill Bank Account Number
4. Fill name of Destination Bank
5. IFSC / MICR code: Fill respective code
6. Mention Maximum Amount
7. Reference 1: Mention Folio Number
8. Reference 2: Mention Application Number
9. Telephone Number (Optional)
10. Email ID
11. Period: Starting date of NACH registration (in format DD/MM/YYYY)
12. Signature as per bank account
13. Name: Mention Holder Name as Per Bank Record

Other Instructions

- NACH/OTM Bank Mandate can be used for both SIP and Lump Sum Purchase.
- Investors are allowed to perform Lump sum purchase and SIP on a same day provided the NACH/OTM bank account has the adequate funds to honor multiple debits
- NACH/OTM is applicable for both Individual and Non-Individual
- Registration of Multiple NACH/OTM forms is acceptable with different Bank and Accounts.
- Per transaction limit should be less than or equal to the amount as mentioned in NACH/OTM Form already registered or submitted, if not registered
- Investor with registered SIP with OTM facility wishing to cancel the OTM are advised to

apply for another registration. Post successful registration investor should write to AMC to continue SIP with fresh OTM and then apply for cancellation of existing (old) OTM.

- NACH/OTM request will be accepted only if the "Bank" mentioned in the request form is listed in the NACH banks list. Please contact offices of Sundaram Asset Management Company/ visit www.sundarammutual.com for updated list of banks eligible for NACH/OTM Facility.
- Submitting NACH/OTM form does not confirm your investments in SMF unless supported by SIP Investment Form or Common Transaction forms
- Sundaram Mutual will initiate debit instructions to the investor bank account only on receipt of valid investment instruction from the investor and upon confirmation of NACH registration with the designated bank.
- For other Terms and Conditions governing NACH/OTM payments please refer KIM or www.sundarammutual.com
- NACH/OTM is applicable only for investments via debit instructions
- By submitting the NACH/OTM the investor authorizes Sundaram Mutual to utilize the information provided herein for the purpose of his/her investments in Sundaram Mutual Fund, including creation of a folio
- Investors are deemed to have read and understood the requirements and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) and all other scheme related documents

2 **Scheme Name** **Plan:** ☐ Regular ☐ Direct; **Option:** ☐ Growth ☐ Dividend (☐ Payout ☐ Re-Investment ☐ Sweep*)

*Dividend Sweep Target Scheme

SIP Installment Amount (₹)..... **Frequency:** ☐ Weekly ☐ Monthly ☐ Quarterly

SIP Top Up amount (Optional): ₹ (in multiples of ₹ 500/-) **Top-up Frequency** ☐ Half-yearly ☐ Yearly

Start Month/Year: MMYY **End Month/Year (Default Dec 2099):** MMYY ☐ Till Further Notice

SIP Date (Please mention the SIP date) (Monthly/Quarterly): Any Date 01 to 31; Weekly: Only on Wednesday

2-Bank Name

Cheque No

Dated

3 **Scheme Name** **Plan:** ☐ Regular ☐ Direct; **Option:** ☐ Growth ☐ Dividend (☐ Payout ☐ Re-Investment ☐ Sweep*)

*Dividend Sweep Target Scheme

SIP Installment Amount (₹)..... **Frequency:** ☐ Weekly ☐ Monthly ☐ Quarterly

SIP Top Up amount (Optional): ₹ (in multiples of ₹ 500/-) **Top-up Frequency** ☐ Half-yearly ☐ Yearly

Start Month/Year: MMYY **End Month/Year (Default Dec 2099):** MMYY ☐ Till Further Notice

SIP Date (Please mention the SIP date) (Monthly/Quarterly): Any Date 01 to 31; Weekly: Only on Wednesday

3-Bank Name

Cheque No

Dated

4 **Scheme Name** **Plan:** ☐ Regular ☐ Direct; **Option:** ☐ Growth ☐ Dividend (☐ Payout ☐ Re-Investment ☐ Sweep*)

*Dividend Sweep Target Scheme

SIP Installment Amount (₹)..... **Frequency:** ☐ Weekly ☐ Monthly ☐ Quarterly

SIP Top Up amount (Optional): ₹ (in multiples of ₹ 500/-) **Top-up Frequency** ☐ Half-yearly ☐ Yearly

Start Month/Year: MMYY **End Month/Year (Default Dec 2099):** MMYY ☐ Till Further Notice

SIP Date (Please mention the SIP date) (Monthly/Quarterly): Any Date 01 to 31; Weekly: Only on Wednesday

4-Bank Name

Cheque No

Dated

5 Scheme Name Plan: ☐ Regular ☐ Direct; Option: ☐ Growth ☐ Dividend (☐ Payout ☐ Re-Investment ☐ Sweep*)

*Dividend Sweep Target Scheme

SIP Installment Amount (₹)..... Frequency: ☐ Weekly ☐ Monthly ☐ Quarterly

SIP Top Up amount (Optional): ₹ (in multiples of ₹ 500/-) Top-up Frequency ☐ Half-yearly ☐ Yearly

Start Month/Year: |M|M|Y|Y|Y|Y| End Month/Year (Default Dec 2099): |M|M|Y|Y|Y|Y| ☐ Till Further Notice

SIP Date (Please mention the SIP date) (Monthly/Quarterly): Any Date 01 to 31; Weekly: Only on Wednesday

----- 5-Bank Name ----- Cheque No ----- Dated -----

DEMAT Account Details (Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form)	
<input type="checkbox"/> National Securities Depository Ltd.	Depository Participant
<input type="checkbox"/> Central Depository Services (India)	DP ID Number Beneficiary Account Number

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for NACH/OTM • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Signatures [as per Mutual Fund Records / Application]		
<div></div> <div>First Unit Holder's / Guardian Signature</div>	<div></div> <div>Second Unit Holder's Signature</div>	<div></div> <div>Third Unit Holder's Signature</div>

General Instructions

1. This facility is offered to investors having Bank accounts in select banks (269) mentioned in our application form. The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of Sundaram Asset Management Ltd ("SAMC"), Sundaram Trustee Company Ltd. ("Trustee") or Sundaram Mutual Fund ("SMF") without assigning any reasons or prior notice. SIP instructions for investors in such Banks via NACH route will be discontinued.
2. The AMC/ Trustee/ SMF will not be liable for any transaction failures due to rejection by the investors bank/branch.
3. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
4. Investor will not hold AMC / Trustee / SMF and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific SIP date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration / Cancellation / Rejections.
5. The AMC/ Trustee/ SMF reserves the right to reverse allotments in case the NACH/OTM is rejected by the bank for any reason whatsoever.
6. The AMC/ Trustee/ SMF shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using the facility of NACH/OTM and takes full responsibility for the same.
7. The AMC/Trustee reserves the right to discontinue or modify the SIP facility at any time in future on a prospective basis.
8. The AMC/ Trustee reserves the right to discontinue the SIP in case of Direct Debit through NACH routes are rejected by the investor bank for any reasons.
9. For scheme related details, please refer to the Scheme Information Document (SID) / Key Information Memorandum (KIM) and the addendum issued from time to time.
10. The AMC/ Trustee reserves the right to reject any application without assigning any reason thereof.
11. SIP cancellation can be done separately by submitting the request at least 21 Business days in advance; however the associated NACH mandate can be retained for future investments.
12. For intimating the change in bank particulars, please use the NACH/OTM Form to modify transaction limit or add / remove banks from the NACH/OTM facility. Also fill-up all the relevant details as applicable. Requests for any changes / cancellation in the NACH Bank Mandate request should be submitted at least 30 Business days in advance.
13. In case of Micro SIPs, please refer to KIM under "your guide to fill the application form" for more details.
14. **SIP Frequencies & Instalment amount**
 - Weekly (For Minimum amount of ₹ 1000, Minimum No. of installments is 5)
 - Monthly (For Minimum amount of ₹ 100, minimum No. of installments is 20)
 - Quarterly (For Minimum amount of ₹ 750, minimum No. of installments is 7)
15. **Default options**

SIP default date is 7th of every month, if no date is mentioned. For weekly frequency, SIP will be processed on Wednesday.

Default frequency: Monthly, if frequency is not mentioned
16. For SIP through NACH/OTM investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day.

In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.
17. **Investors are required to submit SIP request at least 30 days prior to the date of first instalment.**

The following applications will be considered as 'Not In Good Order' (NIGO) and are liable to be rejected:

 - If folio number mentioned in the Fresh / Additional Purchase, SIP NACH/OTM form, Switch, STP, SWP & NCT request does not match Folio Number mentioned in NACH/OTM registration mandate Form.
 - If the folio number mentioned in the NACH/OTM mandate registration form does not match with our record, the NACH/OTM mandate will not be registered.
 - If the SIP period mentioned in SIP via NACH/OTM form is beyond the NACH/OTM validity period or NACH/OTM validity period expired.
18. In case of minor application, AMC will register standing instructions till the date of minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to 'major'. The account shall be frozen for operation by the guardian on the day of minor attains the age of majority and no fresh transactions will be permitted till the documents for changing the status are received
19. **Frequency for Top-up SIP**

Top up applicable for Monthly & Quarterly frequencies only

i. For Monthly SIP

 - a. Half yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 6th (sixth) SIP instalment
 - b. Yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 12th (twelfth) SIP instalment

ii. Quarterly SIP

 - a. Yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 4th (fourth) SIP instalment. In case the investor who has registered under Quarterly SIP opts for Half yearly Top-up SIP the same shall be registered and processed as Yearly Top-up SIP.