# SAM.gov Pre-Registration Guide

This guide is aimed to help through the pre-registration process to obtain the required UEI.

Disclaimer: This is for informational purposes only. It is not an official government guide and is in no ways affiliated with or endorsed by the US federal government.

### **Be Prepared**

The process should take less than 30 minutes. To ensure a smooth process, have the following documents available:

- Incorporation Documents
- EIN Filing
- Recent Entity Bank Statements

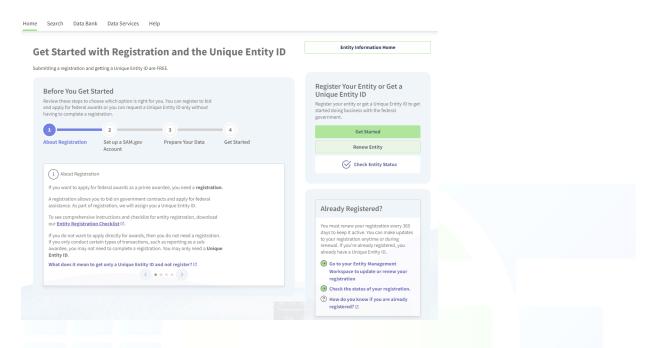
### Step 1: Visit SAM.gov

Click "Get Started"

Home Search Data Bank Data Services Help		[€] Sign	n
		Official U.S. Government Website 100% Free	
Contract Data E (Reports DNL7 from fpds.gov) E Wage Determinations E Federal Hierarchy E	Assistance Listings Entity Information Entitles, Disaster Response Registry, Exclusions, and Responsibility/ Qualification (was fapilis.gov) Entity Reporting SCR and Bio-Preferred Reporting	Register Your Entity or Get a Unique Entity ID         Register your entity or get a Unique Entity ID to get started doing business with the federal government.         Get Started         Renew Entity	
Already know what you want to f Select Domain • e.g. 1606N020			
Announcements	Have Questio	ons about SAM.gov?	

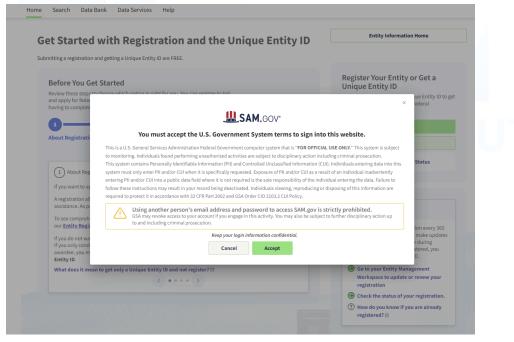
### Step 2: Get Started

• Click "Get Started" again



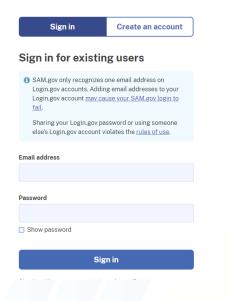
### Step 3: Disclaimer

Accept the terms and conditions



### Step 4: Login

Note: If you haven't created an account already, you'll need to do so at this point



### Step 5: Create New Entity

	Welcome		
You	are about to create a new enti	ty record.	
We will ask a few shor	t questions to help us recomm	end the best option for you.	
	Create New Entity		
	Is your entity based outside	Download Your Registration Guide	
Are you trying to update an existing entity record?	of the United States?		
existing entity record? Please go to your Workspace and select the "Renew/Update"	You must get an NCAGE Code before starting a registration.	Download Guide	
existing entity record? Please go to your Workspace	You must get an NCAGE Code		

### Step 6: Answer Your Goal

# What is your goal?

I want to do business (Select the option most relevant to you)	
Directly with the U.S. federal government.	
igodot With a business or other organization which receives funds directly from the	e U.S. federal government.
O Other.	
Select the answer that best fits your intentions today:	
$igodoldsymbol{ extsf{Bid}}$ Bid on a federal procurement opportunity as a prime contractor. (i)	
Apply for federal financial assistance. ()	
(e.g. grants, loans)	
Follow agency instructions related to a federal credit card transaction mad my entity (not common). (i)	e to
O Just browsing. I don't have anything specific in mind today but might be interested in future business directly with the U.S.	
Participate in, or apply for, another type of U.S. federal government progra Please tell us the program name. (i)	m.
Please specify	
e.g. Program Name	

123 characters allowed

# Who required your entity to be in SAM.GOV?

Only	y select the primary source.
	Federal government
Fed	eral Hierarchy (Optional)
En	ter Code or Name 🔹
0	U.S. state or territory government or office
	•
000	Local government office, i.e., of a county or a city Tribal government or office
0000	A company or business Hospital system or healthcare organization (for profit or non-profit) Non-profit organization University or research facility (for profit or non-profit)
000	Industry group, professional association, trade publication, etc. APEX Accelerators (formerly known as PTACs) I decided on my own
0	None of the above Please specify
	e.g. Program Name

123 characters allowed

### Step 8: Select Purpose

### Select "Financial Assistance Only" Choose an Option

It looks like you intend to do business with the U.S. federal government by applying directly for a federal grant or loan (but not for contracts). We recommend you choose **Financial Assistance Awards Only.** 

Unique Entity ID Only	Financial Assistance	All Awards
$\checkmark$	~	~
~	~	~
-	(For some entities)	~
~	~	~
_	~	~
-	-	~
~	~	~
-	~	~
-	(For some entities)	~
Lowest	Medium to High	Highest
_	1 Year	1 Year
Select	Select	Select
	Only Only Only Only Only Only Only Only	OnlyAssistance✓✓<

### Step 9: Government Entity

• Select "No". If applying for REAP, you cannot be a government entity

Are y	/ou registering	a governme	nt entity?		
	s if you are registering an offici overnment.	al organization, departmer	nt, or institution of a U.S. state	, U.S. local, U.S. tribal, or	
Ves					
	authorities to confirm. Ea		ernment entity, check with you es for itself what qualifies as a erally do not qualify.		

# **CLEANER GREENER FUTURE**

Step 10: Department of Defense Funding

1-	2	3		5	- 6
Get Started	Enter Entity Data	Start Validation	Complete Validation	Get Unique Entity ID	Conti Regist
fina			or receiving fee epartment of D		:
com	illoll)				
		ncial assistance from the De	epartment of Defense, select Y	es. Otherwise, select No.	
		ncial assistance from the De	epartment of Defense, select Y	<b>es</b> . Otherwise, select <b>No</b> .	
If you are		ncial assistance from the De	epartment of Defense, select Y	es. Otherwise, select No.	-

# **CLEANER GREENER FUTURE**

				Get Unique Entity ID	Regis
You	ı will be regis	tering the fol	llowing:		
	Er Bi	ntity Type: usiness or Organization			
	A business or orga	anization is any entity that	t does not qualify as a govern	ment	
		, tribal, or foreign).			
		urpose of Registration:	n		
		nancial Assistance Award	s (\$)		
	Apply for grants a	nd loans, as described by	2 CFR 200 🔼		
					_
E o	Download Your Registra	ation Guide			
	Download Guide				
					_

# Step 11: Confirm Registration Entity and Purpose

you are required to enter a lot of information about your entity. View a comprehensive ou need for registration here before starting.	
Purpose of Registration:	
	)
Download Your Registration Guide	
Download Guide	
To register for Financial Assistance Awards, complete the following sections.	
e Entity ID Core Data Points of Contact Representations & Certifications	
)	/
e Entity ID Core Data Points of Contact Representations &	ļ

# Step 12: Confirm Supporting Documents are Readily Available

# Step 13: Enter Basic Entity Information

	t legal husiness na	me and physical ad	tress then sele	t Next	
	t tegat business na	ine and physical ad	aress, then seled	LI NEXL.	
Legal Business Name If you are acting on behalf name you registered with			oration, your leg	al business nam	e is the
Cleaner Greener Future I	L.L.C.		×		
Doing Business As (Optio Doing business as is the co Leave blank if not applica	ommonly used oth	er name, such as a f	ranchise, licens	e name, or acroi	nym.
Physical Address Your physical address is the stree not be used as your physical add		ry office or other buildin	; where your entity i	s located. A post off	ice box may
Country					
UNITED STATES		×	•		
Street Address 1					
1800 Main St			×		
Street Address 2 (Optiona	il)				
L					
ZIP Code	1				
ZIP Code 67357 ×					
	]	State / Territory(	D		
67357 ×	×	State / Territory( KS	D ×		

### Step 14: Confirm Validation

What is va	alidation? (i)
The infor	mation you provide here will be used throughout the federal government. Make sure that you on is current and correct.
Before yo	ou get started, make sure you can officially document your entity's
• Legal	business name 🛙
• Phys	ical address 🖾 (no P.O. boxes or virtual offices)
• Start	-
	nal identifier 🖾 (non-U.S. entities only)
• Coun	try or state of incorporation, if applicable
	tities may need to provide documentation to complete validation, which additional time to process.
🗹 I can	provide official documentation, if necessary, to validate my entity.
20	Download Your Registration Guide
•	Download Guide

### Step 15: Review Entity Information

- If you're entity is shown on the list, select it.
- If not, select "I don't recognize my entity in this list". You will need to provide additional information.

### **Review Entity Information**

Review the legal entity list and select whether or not you clearly recognize an entity as yours.

I recognize my entity in the legal entities list. If some details are not correct, you can update them.	I don't recognize my entity in this list. Select Next to continue.
AL ENTITIES LIST	WHERE DO THESE RESULTS COME FROM?
owing Top Results	
SOUTHEAST KANSAS INDEPENDENT LIVING RESOURCE CENTER, INC	Health Care and Social Assistance
1801 MAIN ST PARSONS, KS 67357-3367 USA	Ultimate Parent
COMMERCIAL BANK	Finance and Insurance
1901 MAIN ST PARSONS, KS 67357-3336 USA	Ultimate Parent
LABETTE COMMUNITY COLLEGE	Educational Services
1401 MAIN ST PARSONS, KS 67357-3330 USA	
RESIDENTIAL TREATMENT SERVICE OF SE KS LLC 4919 MAIN ST	Professional, Scientific, and Technical Services
PARSONS, KS 67357-8821	Ultimate Parent

### Step 16: Enter Incorporation Information

X CANCEL

### **Enter Incorporation Information**

tart Year	
2022	×
tate of Incorporation	
Kansas	× 🔻
Start Year could be	
<ul> <li>your year of incorporation</li> </ul>	
<ul> <li>your "established date"</li> </ul>	
<ul> <li>the year you legally began doing business</li> </ul>	
<ul> <li>the year you received your employer identif</li> </ul>	ication number (EIN)
State of incorporation could be where	
<ul> <li>you incorporated your organization</li> </ul>	
• you filed your certificate or articles of forma	tion
<ul> <li>your organization is located, if not incorpora</li> </ul>	ated



< PREVIOUS

NEXT >

### Step 17: Add Supporting Documents (as required)

• Note make sure that all required information is provided within documents and that the identifiers correspond with the proper documents

**Document Your Entity Details** 

X CANCEL

requirements.	cuments to understand the		
YOU ARE DOCUMENTING			
Cleaner Greener Future L.L.C.	Year of Incorporation 2022		
Parsons,KS 67357 UNITED STATES	State of Incorporation Delaware		
Attach Documents			
You must attach one or more official doc have provided proof for the required iter	uments that prove each of the items listed. A ch n.	eck here confirms you	
Legal business name and physical ac			
Legal business name and U.S. state of			
Legal business name and start year i			
The documents you provide may additi	onally include:		
<ul> <li>Legal business name and doing business</li> </ul>	tess as name in the same document		
Showing 1 to 2 of 2			
Document D	ocument Type		
CGF Bank B Statement.PDF 3.713 KB	Update Update Delete		
Includes:			
<ul> <li>Legal business name and phys address in the same document</li> </ul>	ical t		
	ocument Type		
L.L.CDE Filing fr Evidence.pdf o	ertificate of Openation/ rganization Delete		
358.868 KB Includes: Legal business name and start	year in		
the same document			
<ul> <li>Legal business name and U.S. incorporation in the same doc</li> </ul>			
Add Document			
Provide Details (Optional)			



Step 18: Entity Validation

- If the system requires your documentation to be reviewed, you will get this notification. In this case it's important to monitor emails.
- If the system does not require additional verification, you will be asked to complete your validation and receive your UEI (unique entity identification) number

1		-3-	4	5	- 6
Get Started	Enter Entity Data	Start Validation	Complete Validation	Get Unique Entity ID	Continue Registration
	Docun	nentation Success	sfully Submitted		
	Reference Nur		We should review y		
	INC-GSAFSD1	0252186	1.5-3.5 busin		
	After w	e look at your documents, complete your vali			
		e of how many business da e weekends or U.S. federal	ays it will take us to respond. I holidays.	Business	
		Close			

### Other Notes:

- Follow your email closely after submitting your information
- Once you receive your UEI #, the rest of the registration may be completed
- If you have issues, contact the FSD.gov
  - This will require another account to be created
  - You will want to create and incident or open a live chat which can be found at the bottom of the page

If you are unable to find an answer to your question using search knowledge base or help topics, you can select <b>Create an Incident</b> to login and submit a ticket or you can select <b>Live Chat</b> to login and chat with the FSD Service Desk.	Create an Incident	Live Chat
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# Prepare for Entity Registration in SAM.gov

SAM.gov is an official website of the United States government. SAM.gov is FREE to use. There is no charge to get a Unique Entity ID, register your entity, and maintain your entity registration at SAM.gov.

#### What can you do with this guide?

The questionnaires and checklists here will help you gather the information you need and prepare to answer the questions in your entity registration.



**All Awards** registration allows you to bid on contracts and other procurements, as well as apply for financial assistance. Look for the icon on the left to submit an All Awards registration.

Jump to All Awards entity registration questionnaires and checklists



**Financial Assistance Awards Only** registration allows you to apply for financial assistance, or grants and loans, only. Look for the icon on the left to submit a Financial Assistance Only registration.

Jump to Financial Assistance Awards Only entity registration questionnaires and checklists

For <b>All Awards registrations</b> , prepare these sections:	For <b>Financial Assistance Awards Only</b> <b>registrations</b> , prepare these sections:
<ul> <li>Unique Entity ID</li> <li>Core Data</li> <li>Assertions</li> <li>Reps &amp; Certs</li> <li>Architect and Engineering Responses</li> <li>Defense FAR Supplement (DFARS) questionnaire (if applicable)</li> <li>Points of Contact (POCs)</li> <li>SBA supplemental page (If you are a small business)</li> </ul>	<ul> <li>Unique Entity ID</li> <li>Core Data</li> <li>Reps &amp; Certs</li> <li>Points of Contact (POCs)</li> </ul>





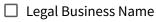


# Financial Assistance Awards Only Registration Questionnaires and Checklists

You need to enter the following information for a Financial Assistance Awards Only entity registration:

### ID Unique Entity ID

After you select your purpose of the registration (All Awards) and your entity type, you will enter the following information to get a Unique Entity ID:



- Physical Address (A post office box may not be used as your physical address)
- Date of Incorporation Make sure this date matches your incorporation files
- □ State of Incorporation (U.S. entities only)

Your entity name and address will be <u>validated by SAM.gov</u>. If SAM.gov cannot validate your entity, you can <u>create a help ticket</u> with the Federal Service Desk from the page. Once you receive your Unique Entity ID, you can continue the registration.

You will also select whether you want your entity to be visible in public search results:

Note: Publicly viewable entity records display your record status, legal business name, and physical address on SAM.gov. You can restrict the public viewing of your record by deselecting the checkbox. If you restrict your information, it will not be visible to other non-federal entities or state and local governments who may wish to do business with you. However, your non-sensitive entity information remains available to federal government users and those who download the SAM public data file.

### Core Data

Core data includes the following information:

#### **Business Information**

- Organization start date
- Date on which your company's fiscal year ends
- □ Organization's division name and number (optional)
- □ Organization's website URL (optional)
- Marketing Partner Identification Number (MPIN) (You will create this when you register.)
- □ Physical address (auto-filled from Unique Entity ID section
- □ Mailing address (You can copy your physical address or enter a different address.)
- □ Taxpayer Identification Number (TIN) (U.S. entities only)

#### **IRS Consent**

IRS Consent Form (taxpayer name and address) (U.S. entities only)







#### **CAGE or NCAGE Code**

- CAGE Code (U.S. entities only) (If you do not have a CAGE code, select "No" and one will be assigned to your entity after you submit your registration.)
- □ NCAGE Code (Non-U.S. entities) (If your entity is based outside of the U.S., you must go to the <u>NCAGE Request Tool</u> and request an NCAGE code before starting a SAM.gov registration.)

#### **General Information**

- □ Country of Incorporation
- State of Incorporation (U.S. entities only)
- Company Security Clearance (optional)
- □ Highest Employee Security Clearance Level (optional)
- Institution Type (e.g., foundation, hospital, educational, if applicable)
- Disadvantaged Business Enterprise (must be certified by a federal agency)
- □ Native American Entity Type (if applicable)
- Organization Factors (e.g., S corporation, LLC, foreign-owned)
- Entity Structure (e.g., Corporate Entity-Not Tax Exempt, Corporate Entity-Tax Exempt, Sole Proprietorship)
- □ Profit Structure (e.g., for-profit, non-profit)
- Socio-economic Categories (e.g., veteran-owned, minority-owned)

#### **Financial Information**

- Accept credit cards as a method of payment (yes or no)
- Electronic Funds Transfer (optional for non-U.S. entities)
  - □ Account type
  - □ Routing number
  - Account number
- □ Automated Clearing House
  - U.S. phone number
- Remittance Address
  - □ Name and address

#### **Executive Compensation Questions**

Answer yes if in the last fiscal year:

- □ 80% or more of your organization's revenue come from federal sources (e.g., contracts, grants, loans, etc.);
- your total revenue from federal sources exceeded \$25 million.
- Does the public have access to information about the compensation of the senior executives in your business or organization? (yes or no)
  - □ If yes to the first two questions and no to the third question:





Provide names, titles, and total compensation values of your top five executive compensated employees

#### **Proceedings Questions**

- □ Is your organization responding to a federal procurement opportunity that contains the provision at <u>FAR 52.209-7</u>? Typically "No"
- □ Is your organization subject to the clause in <u>FAR 52.209-9</u> in any current federal contracts? Typically "No"
- □ Is your organization applying for a federal grant opportunity that contains the award term and condition described in <u>C.F.R. 200 Appendix XII</u>? Yes
  - □ If yes to all of the previous questions, answer the following questions:
    - Does your organization have current federal contracts or grants with a total value (including any exercised or unexercised options) greater than \$10 million?
    - □ Within the last five years, has the organization or any of its principals, in connection with the award to or performance by the business or organization of a federal contract or grant, been subject of a federal or state:
      - Criminal proceeding resulting in a conviction or other acknowledgment of fault
      - □ Civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than \$5,000, or other acknowledgment of fault, and/or
      - Administrative proceedings resulting in a finding of fault with either a monetary fine or penalty greater than \$5,000 or reimbursement, restitution, or damages greater than \$100,000, or other acknowledgment or fault?
    - □ If you answer yes to all three above questions, you must provide the following detailed information about each current proceeding against your entity:
      - Instrument
      - State
      - □ Instrument Number
      - Type of Proceeding
      - Disposition
- Description of the Proceeding

#### Representations and Certifications

Representations and Certifications include the following information:







#### **Financial Assistance Response**

- Does your entity wish to apply for federal financial assistance project or program or is your entity currently a recipient of funding under a federal financial assistance project or program? (yes or no) Yes
  - □ If yes, the financial assistance representations and certifications will display. You must read and certify your entity attests to the accuracy of the representations and certifications listed. <u>Go to Appendix I to review the financial assistance representations and certifications.</u>

### Points of Contact (POCs)

POCs include the following information:

#### **Mandatory POCs**

Enter the first and last name, email, phone number, and address (if applicable) for these mandatory POCs:

- □ Accounts Receivable POC
- □ Electronic Business POC
- Government Business POC

#### **Optional POCs**

Enter the first and last name, email, phone number, and address (if applicable) for these optional POCs:

- □ Past Performance POC
- □ Past Performance Alternate POC
- □ Electronic Business Alternate POC
- Government Business Alternate POC
- □ Additional Optional POCs as Needed

#### This concludes the information for a Financial Assistance Awards Only registration.







### Appendix I

Financial Assistance General Certifications and Representations If you are completing a Financial Assistance Awards Only registration or completing an All Awards registration and wish to also apply for federal financial assistance projects or programs, you must certify your entity attests to the accuracy of the following:

- Has the legal authority to apply for federal assistance and the institutional, managerial and financial capability to ensure proper planning, management, and completion of any financial assistance project covered by this Certifications and Representations document (See <u>2 C.F.R. §200.113</u> Mandatory disclosures, <u>2 C.F.R. §200.214</u> Suspension and debarment, <u>OMB Guidance A- 129</u>, "Policies for Federal Credit Programs and Non-Tax Receivables ");
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives (See <u>2 C.F.R. §200.302</u> Financial Management and <u>2 C.F.R. §200.303</u> Internal controls);
- Will disclose in writing any potential conflict of interest to the federal awarding agency or pass through entity in accordance with applicable federal awarding agency policy (See <u>2</u> <u>C.F.R. §200.112</u> Conflict of interest);
- 4. Will comply with all limitations imposed by annual appropriation acts;
- Will comply with the U.S. Constitution, all federal laws, and relevant Executive guidance in promoting the freedom of speech and religious liberty in the administration of federally-funded programs (See <u>2 C.F.R. §200.300</u> Statutory and national policy requirements and <u>2 C.F.R. §200.303</u> Internal controls);
- 6. Will comply with all applicable requirements of all other federal laws, executive orders, regulations, and public policies governing financial assistance awards and any federal financial assistance project covered by this certification document, including but not limited to:
  - 1. Trafficking Victims Protection Act (TVPA) of 2000, as amended, <u>22 U.S.C. §7104(g)</u>;
  - 2. Drug Free Workplace, <u>41 U.S.C. §8103</u>;
  - 3. Protection from Reprisal of Disclosure of Certain Information, <u>41 U.S.C. §4712;</u>
  - 4. National Environmental Policy Act of 1969, as amended, <u>42 U.S.C. §4321 et seq</u>;
  - 5. Universal Identifier and System for Award Management, <u>2 C.F.R. part 2</u>;
  - 6. Reporting Subaward and Executive Compensation Information, <u>2 C.F.R. part 170;</u>





- 7. OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement), <u>2 C.F.R. part 180</u>;
- 8. Civil Actions for False Claims Act, <u>31 U.S.C. §3730;</u>
- 9. False Claims Act,  $\underline{31}$  U.S.C.  $\underline{\$3729}$ ,  $\underline{18}$  U.S.C.  $\underline{\$\$287}$  and  $\underline{1001}$ ;
- 10. Program Fraud and Civil Remedies Act, <u>31 U.S.C. §3801 et seq</u>;
- 11. Lobbying Disclosure Act of 1995, <u>2 U.S.C. §1601 et seq</u>;
- 12. Title VI of the Civil Rights Act of 1964, <u>42 U.S.C. §2000d et seq</u>;
- 13. Title VIII of the Civil Rights Act of 1968, <u>42 U.S.C. § 3601 et seq</u>;
- 14. Title IX of the Education Amendments of 1972, as amended; 20 U.S.C. §1681 et seq
- 15. Section 504 of the Rehabilitation Act of 1973, as amended; 29 U.S.C. §794; and
- 16. Age Discrimination Act of 1975, as amended, <u>42 U. S.C. §6101 et seq</u>.





### **Appendix II**

#### What is an entity?

The term "entity" refers to prime contractors, organizations or individuals applying for assistance awards, those receiving loans, sole proprietors, corporations, partnerships, and any U.S. federal government agencies desiring to do business with the government. "Entity" can also refer to a party that has been suspended or debarred, is covered by a prohibition or restriction, or is otherwise excluded from doing business with the government.

#### What is entity registration?

An entity registration allows you to bid on government contracts and apply for federal assistance. We will assign you a Unique Entity ID as part of entity registration.

Comprehensive and current entity information is essential for the federal award process. It is important to prepare your information and allow sufficient time to understand and accurately complete your registration. You only need to complete and manage it here to remain eligible for federal awards. You must renew your registration every 365 days for it to remain active.

#### When will my registration become active?

Allow at least **ten business days** after you submit your registration for it to become active in SAM.gov. If your entity fails TIN or CAGE code validation, you will receive an email with instructions on updating your information and resubmitting your registration. Please check your spam or junk mail for messages during this time; messages will be sent to the Government Business POC. You may need to work with the IRS or CAGE to update your information before resubmitting your registration.

### How do I check the status of my entity registration?

If you have a role with an entity and are signed in to your SAM.gov account, you can check your entity registration status. You can also check the status of an entity's registration as a federal user. If none of these is the case, you cannot check an entity's registration status.

- 1. Sign in to SAM.gov. You must be signed in to check your registration status.
- 2. From the home page, select the "Check Registration Status" button. The page is also linked in the footer of all pages on SAM.gov.
- 3. Enter a Unique Entity ID or CAGE Code and select "Search." The entity's registration status will display below.

