1 2 3 4 5	KEITH M. KNOWLTON, L.L.C. 9920 S. Rural Road, Suite 108 PMB# 132 Tempe, Arizona 85284-4100 (480) 755-1777 FAX (480) 471-8956 Attorney for Plaintiff Keith M. Knowlton - SBN 011565 keithknowlton@msn.com	
6 7	IN THE SUPERIOR COURT F	OR THE STATE OF ARIZONA
8	IN AND FOR THE COUNTY OF MARICOPA	
9	LEANNA SMITH, individual and as the mother of CR, a minor,	
10	Plaintiffs,	COMPLAINT
11	vs.	(42 U.S.C. § 1983 and State Torts of
12	BARROW NEUROLOGICAL INSTITUTE OF ST. JOSEPH'S HOSPITAL AND	Negligence and Interference with Parental Custody)
13	MEDICAL CENTER owned and operated by) CATHOLIC HEALTHCARE WEST, an	
14	Arizona corporation; BANNER HEALTH	
15	SYSTEM, a foreign nonprofit corporation doing business in Arizona as BANNER DESCRIPTION OF A STATE OF	
16	DESERT MEDICAL CENTER, STATE OF) ARIZONA; ARIZONA DEPARTMENT OF)	
17	ECONOMIC SECURITY, a body politic of the State of Arizona; CHILD PROTECTIVE	
18	SERVICES, is a division of the Department of) Economic Security in State of Arizona;	
19	CHARLES ALFANO M.D. and SPOUSE ALFANO, husband and wife; HAROLD	
20	REKATE and SPOUSE RECATE, husband) and wife.; SCOTT ELTON M.D. and SPOUSE)	
21	ELTON, husband and wife; LAURA PEDERSON and SPOUSE PEDERSON,	
22	husband and wife; TAMMY HAMILTON- MACALPINE and SPOUSE HAMILTON-	
23	MACALPINE, husband and wife; BONNIE () BROWN and SPOUSE BROWN, husband ()	
24	and wife; MARYSOL RUIZ and SPOUSE () RUIZ, husband and wife; JANE DOES 1-10,	
25	JOHN DOES 1-10, XYZ CORPORATIONS (AND/OR PARTNERSHIPS 1-30,	
26	Defendants.	

- 7. Defendant **Charles Alfano** ("**Alfano**") is licensed to practice medicine in the State of Arizona and is employed as a Vice President of **SJMC**. **Alfano** caused events to occur in Maricopa County Arizona out of which this complaint arose.
- 8. Defendant **Harold Rekate** ("**Rekate**") is licensed to practice medicine in the State of Arizona and is employed as Neurosurgeon by **Barrow**. **Rekate** caused events to occur in Maricopa County Arizona out of which this complaint arose.
- 9. Defendant **Scott Elton** ("**Elton**") is licensed to practice medicine in the State of Arizona and is employed as a Neurosurgeon by **BDMC**. **Elton** caused events to occur in Maricopa County Arizona out of which this complaint arose.
- 10. Defendants Laura Pederson, Tammy Hamilton-MacAlpine. Bonnie Brown and Marysol Ruiz are all employees of DES and in the course and scope of their employment caused events to occur in Maricopa County, Arizona out of which this complaint arose.
- 11. Each and every individual Defendant is being sued for their conduct and not because of the position they hold. At all times mentioned herein, each individual Defendant was acting within the course and scope of said agency and employment.
- 12. Upon information and belief the above individual defendants are married and the names of their husbands and/or wives are unknown and therefore listed as Spouse. Upon information and belief the alleged acts of the above individual Defendants were done for the benefit of the marital community and therefore Plaintiff will amend the Complaint to include the names of any of the spouses prior to trial of this matter.
 - 13. Proper notice of claim has been given pursuant to all relevant statutory provisions.
- 14. The other fictitiously named Defendants are persons and/or corporations or partnerships that may have caused the incident herein sued on, but whose true names are unknown at

this time. Plaintiff will seek leave of the Court to substitute the true names of said parties prior to the entry of Judgment herein.

- 15. Defendants **State of Arizona**, **DES**, **CPS**, **BHC**, **BDMC**, **SJMC**, **Barrows**, **CHW**, **CITY OF PHOENIX and COUNTY OF MARICOPA** are responsible for the acts and/or omissions of their agents and/or employees under doctrines of respondeat superior, agency, and joint venture.
 - 16. A JURY TRIAL IS REQUESTED.

FACTUAL ALLEGATIONS

- 17. Plaintiff incorporates all the above paragraphs as though fully set forth herein.
- 18. From 10/5/06 to 11/30/06 CR was in the Phoenix Children's Hospital for high blood pressure. The hospital and doctors were unable to determine the cause of the high blood pressure and discharged her to go home.
- 19. On 11/2/06 her blood pressure again was high. The family physician, Dr. Stewart Van Hoosear directed Smith to take CR to the nearest hospital because of his concern she would have a stroke from the high blood pressure. Smith to CR to the emergency room at BDMC, the nearest hospital.
- 20. CR's blood pressure would not go down and she was vomiting up what she ate.
- 21. Dr. Gary Silber at Phoenix Children's Hospital was consulted with Dr. Geetha Rao and Dr. Silber stated that in his opinion the problem was psychological and that CR just needed to calm down. CR was provided intravenously provided

Loratab to relax her but she immediately went into anaphylactic shock from the medication.

- 22. CR was immediately transferred to the pediatric ICU in the care of Dr. Imad Haddard.
- 23. CR was having problems breathing and her heart rate was 150. Smith is a respiratory therapist and was concerned that unless she was intubated, which would ease her breathing, CR could have a stroke. Dr. Haddard refused to intubate CR and had an argument with Smith over the need to intubate CR.
- 24. CR was placed in the ICU at around 12:15 p.m. By 5:45 p.m., upon information and belief, because of the stress on her body from the cheyne stokes breathing and high blood pressure and heart rate, CR had a stroke in the ICU. It was witnessed by all those present in the ICU, including Smith.
 - 25. CR went into a coma.
 - 26. At about 6:15 p.m., Dr. Haddard intubated CR while she was in the coma.
- 27. At about 9:21 p.m., Dr. J. Cook, a neurologist, ordered that the medical staff avoid any further central nervous system strokes.
- 28. Dr. Haddard took the position that CR did not have a stroke and that there was nothing medically wrong with CR, her coma and medical condition was self inflicted and psychological.

- 29. On 11/7/06, CR experienced increased pressure in her spinal column. She was then diagnosed with pseudo-tumor cerebra (in laymon's terms normal MRI but experiencing spinal pressure).
- 30. On 11/14/06 CR came out of her coma but her face was hung down and droopy. She went back into a coma on 11/22/06.
- 31. On 11/26/06, CR was transferred to Barrows and went under the care of Dr. Harold Rekate, a neurosurgeon.
 - 32. An MRI was performed on 11/27/06.
- 33. On 12/21/06 CR woke up from the Coma and CR was transferred to Neurorehab at Barrows.
- 34. On 1/11/07, CR goes into repertory arrest after an EDG was performed by Dr. Michael Finch at Barrows. CR was placed back into the pediatric ICU.
- 35. On 1/25/07, Dr. Rekate puts in a ventricular access device to measure intracranial pressure.
- 36. CR was conscious and undergoing speech therapy, physical therapy and seeing all to doctors set forth below at paragraph ____.
 - 37. Cr was discharged on 2/27/07 and was undergoing rehab.
- 38. On 6/20/07, Dr. Kevin Chapman ordered an MRI of CR's brain, with or without contrast. Smith obtained a copy by Disk of the MRI film itself. She could see

from her medical training damage to the brain caused by the stroke. However, Dr.

John Karis of Barrows in his report from viewing the film stated her brain was normal.

- 39. In October of 2007 CR was admitted to the hospital for pneumonia.
- 40. On 10/12/07 Smith discussed with each Doctor treating CR what could be done for Cr. She was continually going into respiratory and cardiac arrest and was on a breathing machine at night and had pneumonia and was in the hospital. Each doctor stated they did not know what was wrong with her, it was a medical mystery. After discussing the situation with Dr. Robert Rosenberg, the medical director of the pediatric intensive care unit at Barrows, it was agreed that for this one hospitalization, if she went into respiratory or cardiac arrest that no effort would be made to resuscitate her. Dr. Rosenberg agreed and entered in her chart a DNR order.
 - 41. On or about 10/25/07 Cr was discharged.
- 42. On 5/22/08 Dr. Rekate indicated he would not perform any surgical intervention for CR.
 - 43. On 6/11/08 Dr. Rekate decided to put in a lumbar peritoneal shunt.
- 44. On 7/9/08 CR is admitted to have a lumbar peritoneal shunt place in her back.
- 45. On or about 7/14/08, Smith met with Dr. Alfano, a vice president of SJMC and Barrows and Jacqueline Arigon, the Director of the Quality, Risk and Regulatory Division at SJMC. In this meeting Smith brought her copy of the 6/20/07

MRI and showed her the MRI and Smith requested to know what they knew about CR's medical condition. Smith informed them that she believed CR has a stroke as a result of medical negligence at Barrows and that the stroke was being covered up. Smith requested they come clean and provide medical care for CR.

- 46. Dr. Alfano ordered an additional MRI, diffusion weight with stroke protocol, CT angiogram and EEG.
 - 47. On 7/23/08 CR was diagnosed with a transverse sinus occlusion.
 - 48. On 7/23/08 Dr. Rekate discharged CR from the hospital.
- 49. CR went to Scottsdale Osborn hospital because of continued headaches and Scottsdale Osborn sent her back to Barrows.
- 50. On 7/28/08 Dr. Rekate discharged her again and sent her home by ambulance. Dr. Rekate in writing stated he would no longer work on the case.
 - 51. By 8/14/08 CR's brain was herniating.
- 52. On 8/18/08 Dr. Rekate agreed to work on the case and remove and replace the shunt. On 8/20/08 Dr. Rekate takes the shunt out and started doing intracranial monitoring.
- 53. On 8/22/08 Dr. Alfano and Dr. Rekate came together to CR's room. Smith left and went to another room with Dr. Alfano while Dr. Rekate goes into CR's room. Smith had requested to talk to Linda Hunt, the head of Barrows and asked Dr. Alfano where she was. He stated that she sent him. Smith stated she was upset

because the hospital sent CR home by ambulance on 7/28/08 and her brain herniated. Smith was angry because Barrows abandoned care for CR, an ACCCHS patient and did not provide her with a necessary neurosurgeon. Dr. Alfano took this personally and told that this was about CR, but personal between them. He then told Smith, she "would not like what we are going to do to you next." Alfano then walked out.

- 54. Upon information and belief, on 8/22/08 Dr. Alfano or somebody at his direction, contacted CPS and submitted a complaint requesting them to investigate Smith.
- 55. After the meeting with Dr. Alfano, Smith went in and met with Dr. Rekate. They had a discussion about CR's pressure in her brain increasing (Dr. Rekate denied that) and Dr. Rekate said he wanted to remove the intracranial pressure monitor. Smith asked him why he wanted to remove the monitor when her pressure was increasing. He immediately raised in hand in the air and said you are impossible to work with. Smith said why am I impossible to work with, I just wanted you to answer my question and Dr. Rekate said I cannot work with you anymore and he went out and signed off on the chart.
- 56. Dr. Rekate latter stated to CPS that Smith refused to let him remove the monitor which exposed CR to infection. This was not true at all.
 - 57. The monitor was removed and CR was transferred to BDMC on 8/22/08.

- 58. On 8/22/08 Dr. Ellton came in and said CR's respiratory arrest was the result of Chari I Malformation (brain stem compression).
- 59. At this point Smith feels the doctors are explaining what is going on and CR has a new neurologist looking at the matter fresh.
- 60. On 8/25/08 Dr. Ellton came in and stated CR's condition was not medically based but was all psychological.
- 61. CR had a ventricular peritoneal shunt implanted in her brain on 9/2/08. Dr. Ellton inserted the shunt because of increased pressure in CR's brain.
 - 62. CR was placed in Arizona CPS custody on 9/3/08 from BDMC.
- 63. On or about 10/3/08, Smith had a one (1) hour supervised visit with her daughter with CPS Investigator Laura Pederson. CR stated that when she goes to the school nurse, the nurse gets upset and tells CR not to bother her and to go back to class. Laura asked if CR was getting Tylenol when she goes to the nurse and CR stated that she is but that it wears off.
- 64. Laura Pederson then asked Smith for a SVN (small volume nebulizer) for CR to take her breathing treatments for her asthma. Breathing treatments were ordered as needed by the Doctor in her discharge summary from BDMC on 9/4/08. Smith explain to Laura that CPS can order a new breathing machine because she does not want to get in trouble for giving CR anything since they are trying to say Smith has "Munchausen by Proxy." Laura stated she would talk to the insurance company.

- 65. CR was never given a SVN machine to treat her asthma in Arizona CPS custody even though it was ordered in the discharge summary from BDMC on 9/4/08 when CR was in CPS custody and control.
- 66. On 10/18/08 during Smith's one (1) hour supervised visitation (by Laura) CR, CR was crying to Smith as Laura spoke with the Com-Trans driver. CR told Smith that her head was hurting. She stated that she was having headaches again and that Marysol Ruiz, her foster mother would not take her to the doctor. CR told Smith that she has not seen any of her doctors that she was seeing before being taken into CPS custody.
- 67. Laura walked up to them and asked "Why are you crying?" CR said because her head is hurting. Laura said we could go inside and have CR lay down during the 1 hour supervised visitation. Laura stated that CR was not on any medication except prescription Tylenol.
- 68. CR and Smith asked Laura why CR has not seen the Gastroenterologist, Pulmonologist, and Neurologist she was seeing before being taken into CPS custody. Laura stated because she does not have any orders for CR to see them.
- 69. On 10/25/08 during the 1 hour supervised visitation, Laura requested and made Smith sign a piece of paper stating the "Visitation Guidelines." Laura states that CR was very upset after the last visitation with Smith on 10/18/08. Laura stated that the foster mother Marysol Ruiz has never seen CR like that. Smith explain to Laura

the reason CR was upset is because she was in pain and her head hurts and no one will take her to see the doctor. Laura told Smith that there will be no more discussion with CR at the 1 hour supervised visitation about her medical condition or the 1 hour supervised visitation will be stopped immediately.

- 70. On 12/20/08, CR came to the 1 hour supervised visitation with CPS caseworker Tammy Hamilton-MacAlpine crying and stated that her head hurts. her neck is stiff and her spine hurts. CR stated when she goes over speed bumps that her head hurts even more. CR was crying and Tammy Hamilton-MacAlpine states that she will take CR to Urgent Care or have Marysol Ruiz, the foster mother take CR to Urgent Care. Smith and CR had only a 1/2 hour visit and Tammy stated she would have CPS contact Smith with CR's condition.
- 71. On 12/23/08, Smith received a voicemail from Tammy Hamilton-MacAlpine stating that CR did not need to go to Urgent Care; that her headache went away with some Tylenol. She completely ignored the other symptoms CR complained about with the headache.
- 72. On 3/23/09, at 2:30 pm, Smith received a call from Tammy Hamilton-MacAlpine where she stated that everything is fine with CR. Tammy told Smith that there was nothing wrong with the shunt.
- 73. CR stated she went to the bathroom at school on 3/23/09 and then returned to class and asked the teacher to go to the bathroom again. CR was at Luke

Elementary School and was taken to the nurse's office. The Nurse took action and CR was taken to Dr. Elton's office and he adjusted CR's V-P Shunt and took CT Scans.

- 74. On 3/24/09, CR was admitted to Banner Desert Medical Center for an infected ventricular peritoneal shunt. On 3/25/09 CR had surgery to remove the ventricular peritoneal shunt because it was infected and an external ventricular shunt was put in its place. Cultures come back that CR had Enterobacter Cloacae Bacterial Meningitis. CR's short term memory loss and long term memory loss are worse since the bacterial meningitis.
- 75. On July 14, 2009, CR was taken to Happy Kids Pediatrics to see her Primary Care Physician with Tammy Hamilton-Mac Alpine CPS caseworker and Smith present. The doctor ordered Speech Therapy, Occupational Therapy and Physical Therapy evaluations for CR. The CPS caseworker Tammy Hamilton-MacAlpine told Dr. Pyburn that she feels CR does not need these evaluations and that again I am trying to get CR medical treatments that are not necessary.
- 76. Speech therapy was ordered in the Discharge Summary from BDMC on 4/29/09. The foster mother at the time Marysol Ruiz was hand delivered a prescription for Speech Therapy that she was supposed to take to CR's Primary Care Physician within 10 days of discharge. Marysol never took CR to the Doctor. To this day CR has not had any speech therapy.

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77. Dr. Pyburn stated that CPS does not feel CR needs Physical Therapy,
Occupational Therapy and Speech Therapy evaluations. Tammy Hamilton-MacAlpine
is not a physician and has no authority to decide what therapy CR needs. Smith
explained to Dr. Pyburn that Tammy is trying to say that Smith is the one asking for
therapies that have not been ordered when Tammy Hamilton-MacAlpine was sitting
there when the doctor ordered them. Smith explained to Dr. Pyburn that Tammy
Hamilton-MacAlpine and CPS are the ones in medical negligence and Dr. Pyburn
stated "your right."

78. When CR was taken into CPS custody on 9/3/08, she was seeing the following doctors:

Dr. Maria Marteniz (Pulmonologist)

Dr. Elle Firzli (Nephrology)

Dr. Michael Finch (Gastroenterology)

Dr. Jerald Underdahl (Ophthalmologist)

Dr. Thomas Wolfe (Neuro-Ophthalmologist)

Dr. Lourdas Guerrero-Tiro (Cardiologist)

Dr. Christina Kwasnica (Pediatric & Traumatic Brain Rehabilitation)

Dr. Jesse D. Cohen (Pediatric Hematology)

Dr. Salaheddine Tomeh (Vascular Surgeon)

Dr. Kevin Chapman (Neurologist)

Dr. Mario Islas (Primary Care Physician)

Dr. Scott Elton (Neurosurgeon)

Sunset Physical Therapy- Glenn Brooks 480-755-1505-Chaunell was in physical therapy once a week to work on strengthening upper and lower quadrant (body) weakness.

Speech Therapy- Nicole Thomson 602-406-3230- CR was in speech therapy once a week to work on short term memory loss, long term memory loss and higher executive skills.

This list was given to CPS investigator Laura Pederson on 10/25/08.

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except Provigil 200mg p.o. daily, Prevacid 30mg p.o. b.i.d., Xopenex 1.25mg by nebulizer every 4 hours p.r.n wheezing, Tylenol 650mg every 4 hours p.r.n. pain, Motrin 600mg p.o. every 6 hours p.r.n., nystatin cream applied to affected area q.i.d. times 7 days, bacitracin to be applied to the incision area and methodone 10mg p.o. b.i.d. will be weaned to 10% every other day. CR had only 3 pills of Methodone and Tylenol for her headaches. CR was not taken to any of the above doctors for appointments that were scheduled for her prior to CPS custody.

CR was taken off of all medication prescribed for her while at BDMC

- 80. On CR's Discharge Summary on 9/3/08, it states CR is on Prevacid 30 mg bid, Provigil 200 mg daily, Xopenex 1.25mg by Nebulizer every 4 hours p.r.n. wheezing---the breathing treatments were never given to CR because CPS investigator Laura Pederson on 10/18/08 asked Smith for a nebulizer and CR is not receiving Prevacid, Provigil or Breathing Treatments, only Tylenol and Motrin.
- 81. CR was supposed to follow up with Dr. Elton (Neurosurgeon) in 2 weeks but CR states she never saw Dr. Elton for 2 months. After discharge on 4/29/09 CR was to resume Speech Therapy and the foster mother was given a prescription for Speech Therapy. CPS still has not gotten CR to Speech Therapy. CR states the foster mother maybe took CR to the see Dr. Gear once. CR was complaining of headaches and CPS would not allow Smith to talk to her about her medical condition and the CPS -foster mother would not take CR to the doctor for her headache.

82. CR was coming to the 1 hour supervised visitations with her jacket on stating that she is always cold. CR had to have a fever that was not treated in order to have the bacterial meningitis as bad as she did. When a child has a V-P Shunt the first time they spike a fever you are suppose to call the Neurosurgeon so he can decide what to do. A child with a V-P Shunt will not get bacterial meningitis without having a fever that was not treated with antibiotics.

83. On 12/20/08 CR came to the 1 hour supervised visitation complaining of neck pain, headache, that she woke up in the middle of the night and vomited pressure in her head and a stiff neck. All signs of meningitis (signs of meningitis include fever and chills, stiff neck, headache, vomiting). If bacterial meningitis is not treated it can lead to permanent brain damage. There is a greater risk for meningitis in people with shunts to treat hydrocephalus.

COUNT ONE GROSS NEGLIGENCE/INTENTIONAL INTERFERRENCE WITH CUSTODY OF A CHILD

- 84. The allegations set forth above are fully incorporated herein by this reference
- 85. Defendants and each of them conspired and agreed together to deprive Plaintiff of her custody and control of CR. Defendants agreed to and did provide false information to CPS to be provided to the Court to justify CPS taking custody of CR. Defendants intentionally interfered with and provided false and misleading information to the Court to take custody away from Smith and to cover up negligent medical care by the Medical Defendants to CR.

- 86. As a result of defendants actions alleged above, Plaintiff suffered damages including but not limited to alienation of affection, loss of companionship and custody of his daughter and endured mental and emotional distress, all in an amount to be determined at trial.
 - 87. Defendants' actions have proximately caused Plaintiff damages.

COUNT TWO VIOLATION OF CIVIL RIGHTS

- 88. The allegations set forth above are fully incorporated herein by this reference.
- 89. In committing the above referenced actions and/or omissions, Defendants, and each of them, acted under color of state law, and engaged in conduct that was the proximate cause of a violation of Plaintiff's rights under the Fourth and Fourteenth Amendments to the Constitution of the United States of America, including but not limited to interference with the custody of a mother with his child, CR, which is a fundamental right, thereby violating Plaintiff's civil rights under 42 U.S.C. § 1983.
- 90. Further, Defendants actions were further retaliatory against Plaintiff because of her complaints of medical malpractice made to Barrows. Defendants and each of them retaliated against Smith to deprive her of custody and control of CR in retaliation for her exercise of her first amendment rights under the United States Constituion.
 - 91. Upon information and belief, it is alleged that Defendants.
- 92. As a result of the Defendant' actions alleged above, and in violation of Plaintiff's rights under Federal and State law, Plaintiff suffered damages, endured pain and suffering, mental and emotional distress, and was caused to incur medical expenses, all in an amount to be determined at trial.

- 93. Plaintiff is entitled to bring this cause of action against the Defendants pursuant to 42 U.S.C. § 1983.
- 94. Plaintiff is entitled to recover his attorneys' fees incurred in this matter pursuant to 42 U.S.C. § 1988(b).
 - 95. Plaintiff is entitled to Punitive Damages.

COUNT THREE NEGLIGENCE FAILURE TO PROVIDE MEDICAL CARE AND TREATMENT

- 96. The allegations set forth above are fully incorporated herein by this reference.
- 97. Defendants CPS, DPS and/or the State of Arizona, through its agents and/or employees, and Defendants Hamilton-Mac Alpine, Brown, Pederson and Ruiz referred to hereafter as "State of Arizona Defendants"), intentionally, willfully and/or maliciously, grossly negligently and/or negligently: (1) failed to provide CR with appropriate medical care and treatment and (2) failed to have in place policies, procedures and/or staff whereby CR, as a person in foster care, could receive prompt, accurate and professional evaluation of medical conditions and quick, prompt and professional medical care and treatment.
- 98. State of Arizona Defendants owed CR a duty to provide her with competent medical care and treatment. Defendants breached this duty and failed to provide obvious and necessary medical care resulting in CR suffering from bacterial meningitis.
- 99. As a direct and proximate result of the actions and or omissions of the State of Arizona Defendants, through its agents and/or employees, Plaintiff has sustained serious permanent injuries, incurred medical expenses and will be forced in the future to expend additional sums for