



Octo Capital, LLC  
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## Form ADV Part 2B Supervising Person Brochure.

February 11, 2025

### Item 1 - Cover Page

This brochure supplement provides information about Daniel Shub and supplements the Octo Capital, LLC's brochure. Being registered as a registered investment adviser does not imply a certain level of skill or training. If you have any questions about the contents of this brochure, please contact us at 248-731-7729 or by email at [dshub@octo-capital.com](mailto:dshub@octo-capital.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Octo Capital, LLC (Firm CRD #174351) and Daniel Shub (CRD #4148417) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Brochure Supplement (Part B of Form ADV)

### Supervising Person Brochure

Principal Executive Officer

Daniel B. Shub

Year of birth: 1973

## Item 2 - Educational Background and Business Experience

Educational Background:

LTPA, Ukraine, Engineering Degree; 1992

Business Experience:

Octo Capital, LLC; Founder/Investment Advisor Representative; 12/2014 – Present

Octo Capital, LLC; Founder; 05/2013 – Present

Shub & Company, LLC; Founder/Life Insurance agent; 09/2013 – Present

Gold Star Insurance Group, LLC; Principal; 06/2012 – Present

Global Financial Private Capital, LLC; Investment Advisor Representative; 02/2014 – 12/2014

Accredited Investment Fiduciary® (AIF®)

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards and accrue and report a minimum of six hours of continuing education. The Center for Fiduciary Studies administers the Designation, the standards-setting body of fi360/Broadridge.

## Item 3 - Disciplinary Information

None to report

## Item 4 - Other Business Activities Engaged In

Daniel Shub has a financial industry-affiliated business as a Life insurance agent. Less than 1% of his time is spent in his insurance practice. As an independently licensed insurance agent, Daniel Shub, in this capacity,

may assist, on a fully disclosed commission basis, with purchasing certain insurance products. Clients are not required to purchase any products. This practice represents a conflict of interest because it incentivizes Mr. Shub to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Shub has a fiduciary responsibility to place the client's interests first, and clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Daniel Shub also has a property and casualty insurance license. He does not offer products or actively engage in activities related to property and casualty insurance.

## Item 5 - Additional Compensation

Mr. Shub may receive separate yet typical commissions from insurance companies for the insurance products he sells (see Item 4 above). He does not receive any performance-based fees.

## Item 6 - Supervision

Since Mr. Shub is the sole owner of Octo Capital, LLC, he is solely responsible for supervising, formulating, and monitoring investment advice offered to clients. He will adhere to the policies and procedures described in the firm's Compliance Manual.