alphastar investment° suite

alphastar CAPITAL MANAGEMENT

2026

Professionally managed access to Markets, Managers, and Alternatives — built for advisor entrepreneurs and the clients they serve.

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A suite built to serve the full spectrum of clients.

SUITE OVERVIEW

The Alphastar Investment Suite is designed around a single idea: every client deserves a portfolio built around their goals, their risk tolerance, and their life — not a generic solution pulled off a shelf.

To deliver on that, the suite is organized into three distinct tiers — each serving a different purpose and a different type of client need. Advisors can mix and match across tiers using a core-satellite approach, where the client's primary investment vehicles form the core and specialized or satellite strategies are layered in to achieve specific outcomes.

The suite is curated and continuously improved by the Alphastar Investment Committee — a team with deep professional experience across institutional consulting and advisory markets, supported by world-class research resources through our established relationship with Mercer, a business of Marsh.

THREE-TIER SUITE ARCHITECTURE

I Alphastar Proprietary Series

PRME · SLCT · ALTE — internally built, committee-managed, expertly resourced

II Specialized Model Series

Retirelink · Betashield
Faith-Based — purpose-built for specific client needs

III SMA Manager Lineup

Curated SMAs and third-party models — approved through rigorous due diligence

TIER I — ALPHASTAR PROPRIETARY SERIES

PRME · SLCT · ALTE

Series I · Flagship

PRME

Professionally managed access to Markets

The foundational portfolio series. Low-cost, passive ETF construction across a full risk spectrum — from all-fixed income to all-equity — with tactical tilts applied by the Alphastar investment team. The starting point for every client relationship.

- Passive ETF construction — broad index exposure
- Tactical tilts based on market conditions and committee views
- Full risk spectrum: Conservative through Aggressive
- Tax-loss harvesting on non-qualified accounts
- Available from $25,000 minimum

Passive ETFs · Tactical tilts

NOW AVAILABLE

Series II · Enhanced

SLCT

Professionally managed access to Managers & Markets

The evolution of PRME. The same disciplined passive foundation, enhanced with a carefully selected layer of active managers in specific areas of the market where skill and insight can add meaningful value — identified through rigorous research supported by our Mercer relationship. Launches with four distinct models.

- Anchored by passive core with active compliment
- Active management via exclusive Mercer multi-manager funds
- Four models: Growth · Income · Tax-Aware Income · Balanced
- Mercer funds not available to general public
- $50,000 minimum investment

Passive core · Active sleeves · Mercer-powered

COMING LATER 2026

Series III · Reserved

ALTE

Professionally managed access to Private Markets, Managers & Markets

A dedicated private markets series giving clients curated exposure across alternative asset classes — private equity, private real estate, infrastructure, and private credit. Designed for clients with appropriate profiles and longer time horizons. Curated by the Alphastar Investment Team and executed via our CAIS and Mercer relationships.

- Private equity & private credit strategies
- Private real estate & infrastructure exposure
- Curated by the Alphastar Investment Team
- Executed via CAIS and Mercer relationships
- Full details to be announced Fall 2026

Private Markets · CAIS · Mercer

PRME

SLCT

ALTE

Three series.
One progression.
Built on a shared investment philosophy, powered by world-class research, and managed by the Alphastar Investment Committee.

03

The foundational series — every risk profile, one framework.

All PRME models are constructed using low-cost, broadly diversified ETFs across US equity, international equity, and fixed income asset classes.

Tactical tilts are applied by the Alphastar Investment Team based on prevailing market conditions and committee views. Tax-loss harvesting is available on non-qualified accounts.

PRME
MODEL SERIES

Four models. One disciplined framework.

Each SLCT model follows the same construction logic: a passive ETF core providing broad, cost-efficient market exposure, paired with an active complement deployed through the Mercer multi-manager funds — exclusively available through Alphastar. The passive/active split is individualized for each model class; the asset class focus differs.

SLCT
MODEL SERIES

SLCT Growth

99% Equity · 1% Cash · Min. $50,000 · MSCQX | MEMSX

Long-term capital appreciation via globally diversified equity. Passive core built on SPYM, ACWI, SPYG, and FENI; active complement via MSCQX (US small/mid-cap) and MEMSX (emerging markets).

SLCT Income

99% Fixed Income · 1% Cash · Min. $50,000 · MOFTX

Current income and capital preservation via diversified fixed income. Passive core built on SPAB, SCHO, SCHR, and VCIT; active complement via MOFTX (opportunistic fixed income across HY, loans, and EM debt).

SLCT Tax-Aware Income

99% Fixed Income · 1% Cash · Min. $50,000 · MOFTX

Tax-efficient income via a municipal bond foundation. Passive core built on MUB, ITM, and MEAR; active complement via MOFTX. Particularly well-suited for clients in higher federal tax brackets.

SLCT Balanced

54% Equity · 45% Fixed Income · 1% Cash · Min. $50,000
MSCQX | MEMSX | MOFTX

A blend of long-term growth and current income in a single model. Passive core anchored by ACWI and SPAB; active complement spans equity and fixed income via MSCQX, MEMSX, and MOFTX.

Backed by Mercer. World-class research in an advisor-focused environment.

The Alphastar Investment Committee operates with world-class analytical resources through our established engagement with Mercer, a business of Marsh — one of the world's largest investment consulting firms, trusted by pension funds, endowments, and sovereign wealth funds globally. This partnership provides our investment team with deep capital markets research, portfolio design insight, and rigorous analytical support — enhancing the quality and confidence of every investment decision while keeping philosophy, implementation, and accountability fully in-house at Alphastar. The SLCT Series is the most direct expression of this partnership on the model suite.

MERCER
A MARSH BUSINESS

alphastar CAPITAL MANAGEMENT

TIER II — SPECIALIZED MODEL SERIES

Purpose-built strategies for specific client needs.

Not every client fits a standard asset allocation model. These specialized series were built to address specific situations — the retiree who thinks in time horizons, the client who needs downside protection above all else, and the investor whose values should be reflected in their portfolio.

Time-Segmented

Retirelink Models

Time-segmented strategies that tie risk levels directly to the number of years before the client expects to access their assets. Simple, intuitive, and deeply resonant with retirees and pre-retirees — your clients can see exactly where they are and why.

3 models:
Years 2–5
Years 6–10
Years 11+

Conservative through Aggressive | $15,000 min

Downside Protection

Betashield Models

PRME models enhanced with an active risk-mitigation overlay designed to defend against catastrophic losses. Equity allocation can move to 100% cash during severe market conditions. For clients who need to stay invested but cannot afford to absorb deep drawdowns.

5 models:
Fundamental
Opportunity
US Equity
Global
International

Moderate through Aggressive | $25,000 min

Values-Based Investing

Faith-Based Models (Inspire)

Professionally managed asset allocation models built on biblically responsible investing principles. A meaningful differentiator for advisors working with faith-motivated clients who want their portfolio to reflect what they believe.

4 models:
Conservative
Moderate
Aggressive
Equity

Moderate through Aggressive | $5,000 min

Small Account Access

PRME Primary Models

Low-minimum versions of the PRME series, making professionally managed passive asset allocation accessible for clients just starting to build wealth. The same philosophy and construction, designed for accounts where standard minimums are a barrier.

5 models:
Assurance
Guardian
Balanced
Dynamic
Equity

Conservative through Aggressive | $2,500 min

Institutional SMAs, curated for your practice.

The Alphastar SMA lineup gives advisors access to professionally managed separately managed account strategies — each evaluated and approved by the Alphastar Investment Committee. These are carefully selected tools designed to address specific client outcomes that model portfolios alone cannot achieve.

Tax-Advantaged Long/Short SMA
Brooklyn Investment Partners
A Nuveen Company

Alphastar Dividend Stock SMA
Alphastar Capital Management

Alphastar Growth Stock SMA
Alphastar Capital Management

Van Hulzen Covered Call SMA
Van Hulzen Asset Management

Direct Indexing
Orion Portfolio Solutions

TWIN Enhanced-Tax SMA
TWIN Capital

Parametric Fixed Income SMAs
Parametric Portfolio Associates

Investment Philosophy

At Alphastar Capital Management (ACM), we believe in the core principles of goals-based investing and disciplined execution. Successful outcomes start with aligning investment strategies to each client's unique goals. Avoiding common pitfalls and behavioral biases is just as important as making strong allocation and selection decisions.

We advocate for straightforward, transparent strategies and the patient persistence to let them work. Avoiding unnecessary complexity and short-term noise helps investors stay focused on long-term results. Successful investing is supported by discipline, consistency, and a focus on long-term objectives, rather than reactive shifts based on market trends.

We also recognize that Independent Advisors who partner with ACM may bring their own investment philosophies, which may differ—sometimes substantially—from Alphastar's. Our suite is designed to support both. We offer a suite of investment models based on ACM's philosophy, while also providing flexibility for Advisors to build their own models or select from a broad array of investment strategies and vehicles.

Our investment approach is grounded in our fiduciary duty to act in our clients' best interests, ensuring strategies align with each individual's goals, risk tolerance, and circumstances.

CORE INVESTMENT PRINCIPLES

ASSET ALLOCATION DRIVES OUTCOMES 1.	Strategic asset allocation is the primary driver of long-term performance. Diversifying across asset classes, sectors, geographies, and managers helps reduce volatility and manage risk, even if it moderates returns.
RISK & RETURNS ARE INSEPARABLE 2.	Higher returns require taking on risk—the chance that outcomes deviate from expectations. Managing risk through thoughtful diversification, rebalancing, and appropriate asset mix is essential to long-term success.
LONG-TERM INVESTING BUILDS WEALTH 3.	Time in the market is more powerful than timing the market. Compounding, discipline, and a long time horizon increase the potential for meaningful growth and help weather short-term volatility.
STAY DISCIPLINED THROUGH REBALANCING 4.	Systematic rebalancing maintains alignment with investment goals, encourages rational decisions like buying low and selling high, and helps control emotional reactions during market swings.
SIMPLICITY & TRANSPARENCY WIN 5.	Clear, understandable strategies tend to outperform overly complex ones. Investors should always know what they own and why.
MARKETS ARE (MOSTLY) EFFICIENT 6.	Most large, liquid markets efficiently incorporate available information. However, certain inefficiencies may persist — particularly in less followed or complex segments—where select active managers can provide value through skill and insight.
EQUITYS & BONDS EACH PLAY A ROLE 7.	Equities offer long-term growth, while fixed income provides income, capital preservation, and liquidity. A well-balanced portfolio, adjusted over time, reflects the investor's goals, timeline, and risk tolerance.
INSURANCE AS A STRATEGIC COMPLEMENT 8.	When appropriate and in alignment with client objectives, annuities and other insurance products can offer income certainty, tax deferral, longevity protection, and estate planning benefits as part of a broader wealth strategy.
ALTERNATIVES REQUIRE CAREFUL SELECTION 9.	Private equity, hedge funds, and other alternatives may enhance diversification or provide alpha, but they come with higher complexity, risk, and suitability considerations.
TAXES MATTER 10.	Like returns, the impact of taxes accumulates over time. Implementing tax-efficient strategies can significantly improve long-term, after-tax outcomes.

INVESTMENT COMMITTEE

The team behind every model.

The Alphastar Investment Committee is responsible for setting investment policy, reviewing and approving all models and strategies on the suite, and overseeing ongoing portfolio management. Every model — whether proprietary, specialized, or third-party — has been evaluated and approved by this team.

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