**CLIENT INFORMATION SUMMARY (CIS)**



In accordance with Articles two (2) through five (5) of the Due Diligence Convention and the Federal Banking Commission Circular of December 1998, concerning the prevention of money laundering, and Article 305 of the Swiss Criminal Code, the following information may be supplied to banks and/or other financial institutions for the purpose of verification of identity and activities of the investing member, and the nature and origin of the funds that are to be utilized. All parties have an obligation to respect professional secrecy and to take all appropriate precautions to protect the confidentiality of the information each holds in respect of the others’ activities. This legal obligation shall remain in full force and effect at all times.

|  |  |
| --- | --- |
|  1. Amount of Funds Requested :  |   |
|  2. Client Name (Signatory):  |   |
|  3. Passport Number:  |   |
|  4. Country of Residence:  |   |
|  5. Passport Issue / (Date/Place):  |   |
|  6. Passport Expiry Date:  |   |
|  7. Date and Place of Birth:  |   |
|  8. Home Address:  |   |
|  9. Home Telephone Number:  |   |
|  10. Home Fax Number:  |   |
|  11. Mobile Tel Number:  |   |
|  12. Clients Email Address:  |   |
|  13. Business Name:  |   |
|  14. Business Address:  |   |
|  15. Registered Office Domicile:  |   |
|  16. Business Tax ID Number:  |   |
|  17. Years in Business:  |   |
|  20. Registration Number:  |   |
|  21. Country / State of Incorporation:  |   |
|  22. Business Telephone Number:  |   |
|  23. Business Fax Number:  |   |
|  24. Legal Adviser (Law Firm):  |   |
|  25. Legal Adviser Mail Address:  |   |
|  26. Legal Adviser Telephone Number:  |   |
|  27. Legal Adviser Facsimile Number:  |   |
|  28. Address for Mail Delivery:  |   |
|  29. Address for Courier Delivery:  |   |
|  30. Bank Name:  |   |
|   Branch:  |   |
|   Bank Address:  |   |
|   Bank Officer / Title:  |   |
|   Bank Telephone Number:  |   |
|   Bank Facsimile Number:  |   |
|   Account Number:  |   |
|   SWIFT Code:  |   |
|   IBAN Number:  |   |
|   Account Name:  |   |
|   Account Signatory (1):  |   |
|   Account Signatory (2):  |   |
|   Account Signatory (3):  |   |
|   Account Signatory (4):  |   |
|   Account Signatory (5):  |   |

1. How were Funds earned: (3 lines of brief explanation)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Origin of Funds: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 Are funds free and clear? Yes [ ] No [ ]

1. Brief Overview of Corporate Activity: (4 lines brief explanation)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Are the cash funds and/or the application asset beneficially owned by the undersigned?

 Yes [ ] No [ ]

1. Are the cash funds and/or the application asset free and clear with no liens or encumbrances of governing restrictions?

 Yes [ ] No [ ]

1. Are the cash funds and/or the application asset restricted from being used to obtain credit lines?

 Yes [ ] No [ ]

1. Are the cash funds and/or the application asset governed and/or restricted by any “Depository Agreement(s)” that would affect its implementation in a private business venture?

 Yes [ ] No [ ]

**As the beneficial owner:**

I, **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**, warrant and attest that the cash funds and/or the application asset(s) may be transferable, reserved, blocked, and are/is also unrestricted in regards to their/its use for credit lines.

That I, **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**, warrant and attest, with full personal and corporate responsibility that as the beneficial owner; I have full legal title and authority with no governing restrictions over the herein described cash funds and/or application asset(s) and am also acknowledged as such by my depository financial institution.

We hereby grant the transacting principals full permission and authority to verify, confirm, and reconfirm said cash funds on a bank-to-bank basis and conduct standard background checks of the cash funds and/or asset(s), and the corporate entity owning such.

I warrant and attest that I have not authorized any other party to place these cash funds and/or Asset(s).

I certify and attest that at no time has your organization or any agent and / or appointee on your behalf made any offer or solicitation.

I, **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**, hereby declare with full personal and corporate responsibility under penalty of perjury that the information given above is accurate and true.

**Declaration:**

I swear under penalty of perjury, that the information given above is both true and accurate. I am the signatory on the aforementioned bank account. All monies engaged in this transaction are derived from non-criminal origin: and are good cleared. The origin of funds is in compliance with Anti-Money-Laundering Policies as set forth by the Financial Action Task Force (FATF) 6/01.

For and on behalf of: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: Title: Passport No.:

Country of Issue:

Bank Officer Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Bank Officer Name:

Address:

Telephone:

Fax:

Email:

 **END OF DOCUMENT**