



## The following document has been received:

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# **Company Information**

SEC Registration No.: 0000007443

Company Name: VULCAN INDL. & MINING CORP.

Industry Classification: D24294 Company Type: Stock Corporation

# **Document Information**

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**Document Type:** General Information Sheet

**Document Code: GIS** 

Period Covered: November 08, 2021 Submission Type: Annual Meeting

Remarks: None

#### **GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2021

#### STOCK CORPORATION

#### GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES.
  ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

		==== PLEASE PRINT LEGIB	LY ======			
CORPORATE NAME:	JLCAN INDUSTRIAL	& MINING CORPORATI	ON		DATE REGISTERED:	
BUSINESS/TRADE NAME:	01.13.1953					
VU	FISCAL YEAR END:					
SEC REGISTRATION NUMBER:						
	December 31					
DATE OF ANNUAL MEETING PER BY-LA	AWS:	=			CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
	LAST WED!	NESDAY OF MAY			000-062-736-000	
ACTUAL DATE OF ANNUAL MEETING:					WEBSITE/URL ADDRESS:	
		Nov-21			www.vulcanminingcorp.com	
COMPLETE PRINCIPAL OFFICE ADDRE	SS:				E-MAIL ADDRESS:	
	O ALPHA CENTRUM	I, 125 PIONEER ST., MAI	NDALUYON	IG CITY	info@vulcanminingcorp.com	
COMPLETE BUSINESS ADDRESS:					FAX NUMBER:	
6TH FLOOR QUAI	D ALPHA CENTRUM	I, 125 PIONEER ST., MAI	NDALUYON	IG CITY	8631-3113	
OFFICIAL E-MAIL ADDRESS		E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER		ALTERNATE MOBILE NUMBER	
paolomartinez87@gmail.com	josephjeeben	rsegui@yahoo.com	09176659773		09177947050	
NAME OF EXTERNAL AUDITOR & ITS S	IGNING PARTNER:		SEC ACCRE	DITATION NUMBER (if	TELEPHONE NUMBER(S):	
	es Velayo & Co.				8631-5139	
PRIMARY PURPOSE/ACTIVITY/INDUS	TRY PRESENTLY EN	NGAGED IN:	INDUSTRY CLASSIFICATION:		GEOGRAPHICAL CODE:	
MINING	G COMPANY					
	====== IN	TERCOMPANY AFFILIA	TIONS ===		=======================================	
PARENT COMPANY		SEC REGISTRATIO	ATION NO.		DDRESS	
N.A.		N.A.			N.A.	
SUBSIDIARY/AFFILIATE SEC REGISTE			ON NO.		DDRESS	
N.A.		N.A.			N.A.	
	NOT	E: USE ADDITIONAL SHEET	'IF NECESSA	ARY		

GENERAL INFORMATION	SHEET
STOCK CORPORAT	
	IBLY ======
	AL & MINING CORPORATION
A. Is the Corporation a covered person under the Anti Mon	
(AMLA), as amended? (Rep. Acts. 9160/9164/10167/10	365)
Please check the appropriate box:	
1.	
a. Banks	Jewelry dealers in precious metals, who, as a
b. Offshore Banking Units	business, trade in precious metals
c. Quasi-Banks d. Trust Entities	,
PROCEED AND THE PROCESS OF THE PROCE	
e. Non-Stock Savings and Loan Associations	
f. Pawnshops g. Foreign Exchage Dealers	
	Jewelry dealers in precious stones, who, as a
i. Remittance Agents	business, trade in precious stone
j. Electronic Money Issuers	=
k. Financial Institutions which Under Special Laws are subject to	
Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation,	Company service providers which, as a business,
including their subsidiaries and affiliates.	6. provide any of the following services to third
2.	parties:
a. Insurance Companies	P. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.
b. Insurance Agents	a. acting as a formation agent of juridical persons
c. Insurance Brokers	b. acting as (or arranging for another person to act
d. Professional Reinsurers	as) a director or corporate secretary of a company,
e. Reinsurance Brokers	a partner of a partnership, or a similar position in
f. Holding Companies	relation to other juridical persons
g. Holding Company Systems	_
h. Pre-need Companies	$\square$ c. providing a registered office, business address or
i. Mutual Benefit Association	accommodation, correspondence or
j. All Other Persons and entities supervised and/or regulated by the	administrative address for a company, a
Insurance Commission (IC)	partnership or any other legal person or
3.	arrangement
a. Securities Dealers	d. acting as (or arranging for another person to act
b. Securities Brokers	as) a nominee shareholder for another person
☐ c. Securities Salesman	<ol><li>Persons who provide any of the following services:</li></ol>
d. Investment Houses	a. managing of client money, securities or other
e. Investment Agents and Consultants	assets
f. Trading Advisors	b. management of bank, savings or securities
g. Other entities managing Securities or rendering similar services	accounts
h. Mutual Funds or Open-end Investment Companies	c. organization of contributions for the creation,
i. Close-end Investment Companies	operation or management of companies
j. Common Trust Funds or Issuers and other similar entities	-
k. Transfer Companies and other similar entities	d. creation, operation or management of juridical
l. Other entities administering or otherwise dealing in currency,	persons or arrangements, and buying and selling
commodities or financial derivatives based there on	business entities
m. Entities administering of otherwise dealing in valuable objects	8. None of the above
n. Entities administering or otherwise dealing in cash Substitutes	Describe
and other similar monetary instruments or property supervised	nature of mining
and/or regulated by the Securities and Exchange Commission (SEC)	business:
B. Has the Corporation complied with the requirements on Custo	P Dili
(CDD) or Know Your Customer (KYC), record-keeping, and sub	
under the AMLA, as amended, since the last filing of its GIS?	mission of reports

# GENERAL INFORMATION SHEET STOCK CORPORATION

CORPORATE NA	ME: VULCAN INDUSTRIAL & MINING CORPORATION								
CAPITAL STRUCTURE AS OF SEPTEMBER 03, 2020									
AUTHORIZED CA	PITAL STOCK								
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP (No. of shares X Par/Sta			
4,000	,000,000.00	Common	4,000,000,000		1.00	4,000,000,000.0	00		
		TOTAL	4,000,000,000		TOTAL P	4,000,000,000.0	00		
SUBSCRIBED CAP	PITAL			***************************************					
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP		
	1,852	Common	1,435,690,078	492, <u>0</u> 92, <u>9</u> 56	1.00	1,435,690,078.00	99%		
		TOTAL	1,435,690,078	TOTAL	TOTAL P	1,435,690,078.00			
F O R E I G N (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP		
	49	common	14,309,922		1.00	14,309,922.00	1%		
Percentage of For	reign Equity :	TOTAL	14,309,922	TOTAL	TOTAL P	14,309,922.00			
					SUBSCRIBED P	1,450,000,000.00			
PAID-UP CAPIT	AL								
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	ED VALUE	AMOUNT (PhP)	% OF OWNERSHIP		
	1,852	Common	1,435,690,078	1.00		978,187,198.00	99%		
		TOTAL	1,435,690,078		TOTAL P	978,187,198.00			
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (PhP)	% OF OWNERSHIP		
	49	Common	14,309,922	1.00 14,309,922.00		1%			
		TOTAL	14,309,922	TOTAL PAID-U	TOTAL P	14,309,922.00 992,497,120.00			
				TOTAL TIME U		772,777,120.00			

NOTE: USE	ADDITIONAL	SHEET IF	NECESSARY
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<sup>\*</sup> Common, Preferred or other classification

<sup>\*\*</sup> Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

STOCK CORPORATION

PLEASE PRINT LEGIBLY ==============

		DIRE	CTOR	S / OF	FICERS			
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R		GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION
HILARIO G. PAGAUITAN     Lot 25, Road 19, Cogeo Village, Bagong     Nayon, Antipolo City	FILIPINO	N	С	М	Y	Chairman, President, CEO/	E-C	122-993-596
SOFIA G. PAGAUITAN     Lot 25, Road 19, Cogeo Village, Bagong Nayon, Antipolo City	FILIPINO	N	М	F	Y		Е-М	163-755-657
3. ISAAC HILARIO G. PAGAUITAN II Massachuset St., Carolina Village, Sta. Ana, Antipolo City	FILIPINO	N	М	М	Y	SVP/DPO/CRO	E-M/N-M/CG-M	247-932-008
MICHAEL ABRAHAM G. PAGAUITAN     Inca Street Filinvest 2, Batasan Hills,     Qeuzon City	FILIPINO	N	М	М	Y			224-425-271
<ol> <li>MA. HILARNIE MERCURIE P. PARADA         Lot 13Bucharest Street, Eastville Subd.         Filinvest Cainta Rizal     </li> </ol>	FILIPINO	N	М	F	Y	Treasurer	С-М	214-861-324
6. ADRIAN PAULINO S. RAMOS 17 Luz Circle Corinthian Gardens, Quezon City	FILIPINO	N	М	М	Y			188-355-989
7. MARVIN C. DELA CRUZ 36 P. Guevarra St., Santa Lucia, Sa Juan City	FILIPINO	N	М	М	Y		E-VC/A-M/ RPT-M/C-M	185-367-704
8. EDGARDO V. CARINGAL Blk 23 Lot 1 Verdana Homes, Mamplasan Brgy. Lorna Binan, Laguna	FILIPINO	N	М	М	Y	SVP/CCO		106-966-037-000
9. RAMON C. LEE 1541 M.H. Del Pilar corner Cuarteles, Ermita, Manila	FILIPINO	N	М	М	Y		Е-М	132-780-399
10. ELIZABETH C. TIMBOL 27-14 Xandrea Lane St. Sunset Estate, Cutcut, Angeles City	FILIPINO	N	I	F	Y		A-C/CG-M/RPT-C/N- M	116-689-126
11. <b>EARL J. TORRES</b> 8097 Isabelle Manor Ph 6, Marcelo Green Village, Paranaque City	FILIPINO	N	I	М	Y		A-M/CG-C/ RPT-M/N-C/C-C	167-817-475
2. <b>JOSE T. NACORDA, JR.</b> 60 East Drive, Marikina Heights, Marikina City	FILIPINO	N		М	N	SVP/CO		106-966-108
13. GASPAR R. ANDRES, JR. No. 13 Talisay Street, Brgy Amihan	FILIPINO	N		М	N	Corporate Secretary		135-837-961
14. ANA MARIA A. KATIGBAK	FILIPINO	N		F	Y	Asst. Corporate Secretary		173-182-955
5. JOSEPH JEEBEN R. SEGUI Annex 38, Brgy Don Bosco, Paranaque City	FILIPINO	N		М	N	CF&CPE		259-937-605

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

DIRECTORS / OFFICERS										
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	ехес. сомм.	TAX IDENTIFICATION NUMBER		
16. PAOLO ANTONIO A. MARTINEZ										
4A, 89 Sta Rosa Street, Kapitolyo	FILIPINO	N		M	N	CAE/IAH		269-234-657		
Pasig City										
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INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "N							and the second s			

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION GIS STONK (EXECUTIVE) COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

STOCK CORPORATION

=======================================	=======	===== PLEAS	E PRINT LEGIBLY	=========		===
CORPORATE NAME:	VULCAN IND	USTRIAL & MIN	ING CORPORATION	ı		
TOTAL NUMBER OF STOCKHOLDERS:	1,	897	NO. OF STOCKHOLD	ERS WITH 100 OI	R MORE SHARES EACH:	1,184
TOTAL ASSETS BASED ON LATEST AUDITED FIN	ANCIAL STATE	EMENTS:	P58,546.00			
		STOCKHOL	DER'S INFORMAT	ION		
		SHARES	SUBSCRIBED			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
1 PCD NOMINEE CORPORATION	Common	903,542,737	903,542,737.00	62.31%	903,542,737.00	
Filipino/Non Filipino				1		
G/F MKSE Building 6767 Ayala Avenue Makati				1		004-774-849
City	TOTAL	903,542,737	903,542,737.00	1		
2. NATIONAL BOOK STORE, INC.	Common	486,055,662	486,055,662.00	33.52%	28,552,782.00	
Filipino				1		
125 Pioneer St. Mandaluyong City				1		000-325-972
	TOTAL	486,055,662	486,055,662.00	1		
3. RAMOS, ALFREDO C.	Common	9,006,176	9,006,176.00	0.62%	9,006,176.00	
Filipino				1		
125 Pioneer St. Mandaluyong City				1		132-017-513
	TOTAL	9,006,176	9,006,176.00	1		
4. SY, EUGENE	Common	3,000,000	3,000,000.00	0.21%	3,000,000	
Filipino				1		
122 J. P. Rizal, Caloocan City				1		110-781-000
	TOTAL	3,000,000	3,000,000.00			
5. BPI FAO IBOD	Common	2,970,555	2,970,555.00	0.20%	2,970,555.00	
Filipino				1		No.
c/o Trust & Investment Dept. 4th Flr, BPI Head				1		No TIN on file
Ayala Ave. Makati City	TOTAL	2,970,555	2,970,555.00			
6. ACUNA, EMMANUEL	Common	2,800,000	2,800,000.00	0.19%	2,800,000.00	
Filipino				1		120 464 020
24-B East Maya Philam Homes, Quezon City						130-464-828
	TOTAL	2,800,000	2,800,000.00			
7. YAN, LUCIO W. &/OR CLARA YAN	Common	2,500,000	2,500,000.00	0.17%	2,500,000.00	
Filipino 537 Lafayette St., Greenhills East Mandaluyong						102-646-906
City	TOTAL	2,500,000	2,500,000.00			
TOTAL AMOUNT OF				97.23%		
	CAPITAL	952,372	2,250.00			

# INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

CORPORATE NAME:	VULCAN IND	USTRIAL & MI	NING CORPORATION			
TOTAL NUMBER OF STOCKHOLDERS:	NO. OF STOCKHOLDERS	1,184				
TOTAL ASSETS BASED ON LATEST AUDITED FS:	P58,546.00					
	S	TOCKHOLDE	R'S INFORMATION			
		SHAR	RES SUBSCRIBED			TAV
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFCATION NUMBER
8. WISE SECURITIES PHILS., INC.	Common	1,690,000	1,690,000.00			
Filipino						
12/F L.V locsin Building 6752 Ayala Ave., Makati City	TOTAL	1,690,000	1,690,000.00	0.12%	1,690,000.00	no TIN on file
9. CAMPOS, LANUZA & CO., INC.	Common	1,500,769	1,500,769.00			
Filipino						108-541-940
Unit 2003-B PSE Center Exchange Rd., Ortigas Ave., Pasig City	TOTAL	1,500,769	1,500,769.00	0.10%	1,500,769.00	
10. G & L SECURITIES CO., INC.	Common	880,000	880,000.00			
Filipino Unit 512-B Lee Gardens Tower 2, Shaw Blvd.,						
Mandaluyong City	TOTAL	880,000	880,000.00	0.06%	880,000.00	000-105-839-000
11. L.M. GARCIA & ASSOCIATES, INC.	Common	623,724	623,724.00			
Filipino						
1606-A Tektite Tower I, Exchange Road, Ortigas Center, Pasig City	TOTAL	623,724	623,724.00	0.04%	623,724.00	000-331-198V
12. VIMC SPECIAL ACCOUNT	Common	576,500	576,500.00			
Filipino		0,0,000	270,000.00			
5/F Quad Alpha Centrum Bld., 125 Pioneer Street, Mandaluyong City				0.04%	576,500.00	000-012-736
Manually ong City	TOTAL	576,500	576,500.00			
13. MENDOZA, ALBERTO &/OR JEANIE C. MENDOZA	Common	564,950	564,950.00			
Filipino						
#1145 Aguilar Street, Tondo, Manila	TOTAL	564,950	564,950.00	0.04%	564,950.00	139-569-952
14. CARLOS, FILOMENA R.	Common	537,672	537,672.00			
Filipino				0.04%	537,672.00	107-262-495
#18 Lakeview Drive, Pasig City	TOTAL	537,762	537,672.00			
TOTAL AMOUNT OF	SUBSCRIBEI			0.44%		
		ТОТА	L AMOUNT OF PAID-UI	PCAPITAL	958,74	5,865.00

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach

GIS\_STOCK (v.2020)

separate sheet, if necessary.

STOCK CORPORATION

PLEASE PRINT LEGIBLY -----

CORPORATE NAME: **VULCAN INDUSTRIAL & MINING CORPORATION** TOTAL NUMBER OF STOCKHOLDERS: NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 1,184 TOTAL ASSETS BASED ON LATEST AUDITED FS: P58,546.00 STOCKHOLDER'S INFORMATION SHARES SUBSCRIBED NAME, NATIONALITY AND CURRENT AMOUNT PAID TAX IDENTIFICATION % OF OWNER-RESIDENTIAL ADDRESS **AMOUNT** NUMBER (PhP) NUMBER TYPE (PhP) SHIP 15. YAN, LUCIO W. Common 524,904 524,904.00 Filipino P.E. Antonio St., Bo., Ugong, Pasig Metro Manila 0.04% 524,904.00 107-476-262 TOTAL 524,904 524,904.00 16. LARRGO SECURITIES CO., INC. Common 511,121 511,121.00 Filipino 2/F Rufino tower Bldg., 6784 Ayala Ave., Makati City 511,121.00 0.04% 047-000-126-030 TOTAL 511,121 511,121.00 17. L. M. GARCIA SEC. CORP. Common 500,000 500,000.00 Filipino #211 C. de Guzman St. Malibay Pasay City 0.03% 500,000.00 000-324-229-000 TOTAL 500,000 500.000.00 18. DE GRACIA, ELEANOR LAYA &/OR LAYA, JAIME C. Common 456,950 456,950.00 Filipino 456,950.00 9 Panay Avenue Quezon City 0.03% 103-175-586 TOTAL 456,950 456,950.00 Common 19. ANSELMO TRINIDAD & CO., INC. 418,035 418,035.00 Unit 6F, 6F 9101 Pearl Plaza, Pearl Drive, Ortigas 418,035.00 100-717-285 Center, Pasig City TOTAL 418.035 418.035.00 20. ACUNA, EMMANUEL Common 417,000 417,000.00 Filipino #33 Legaspi Street, Phil-AM Life Homes, Quezon City 0.03% 417,000.00 537-781-7 TOTAL 417,000 417,000.00 21. OTHERS (Indicate the number of the remaining Common 32,076,245 32,076,245.00 stockholders) 2.21% 32,076,245.00 TOTAL 32,076,245 32,076,245.00 TOTAL AMOUNT OF SUBSCRIBED CAPITAL 993,650,120.00 TOTAL AMOUNT OF PAID-UP CAPITAL

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

		- PLEA	SE PRINT LEGIBI	Y	========	======	
CORPORATE NAME:		VULC	AN INDUSTRIA	L & N	IINING CORPO	RATION	
1. INVESTMENT OF CORP	ORATE		AMOUNT	PhP	)	DATE O	F BOARD RESOLUTION
FUNDS IN ANOTHER CO	ORPORATION			10			
1.1 STOCKS			-				
1.2 BONDS/COMMER	CIAL PAPER (Issued			Company of the Compan			
by Private Corpor	rations)		-				#
1.3 LOANS/ CREDITS	/ ADVANCES		-				-
1.4 GOVERNMENT TR	REASURY BILLS						•
1.5 OTHERS							-
2. INVESTMENT OF CORP	ORATE FUNDS IN ACT	VITIES U	NDER ITS		DATE OF BO	ARD	DATE OF
SECONDARY PURPOSE	S (PLEASE SPECIFY:)				RESOLUTION	ON	STOCKHOLDERS
				ļ			RATIFICATION
3. TREASURY SHARES n	/a						% AS TO THE TOTAL
					NO. OF SHA	RES	NO. OF SHARES ISSUED
							Harmon Control American Control American States (American States States Control American States Contro
4. UNRESTRICTED/UNAP	PROPRIATED RETAIN	ED EARNI	NGS AS OF END	OF I	AST FISCAL YI	EAR	
5. DIVIDENDS DECLARED	DURING THE IMMEDIA	ATELY PF	RECEDING YEAR	:			
туре о	OF DIVIDEND			AMO	UNT (PhP)		DATE DECLARED
5.1 CASH							
5.2 STOCK							
5.3 PROPERTY							
		<b>FOTAL</b>	P				
6. ADDITIONAL SHARES I	SSUED DURING THE PI	ERIOD: n	/a				
DATE	NO. OF	SHARES		AMOUNT			NT
<del></del>			*************				
SECONDARY LICENSE/REC	GISTRATION WITH SEC	AND OT	HER GOV'T AGE	NCY	:		
NAME OF AGENCY:	SEC			BSP			IC
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TOTAL ANNUAL COM	MPENSATION OF						
DIRECTORS DURING TH YEAR (in	E PRECEDING FISCAL	TOTAL	NO. OF OFFICERS TOTAL NO. OF R			The state of the s	TOTAL MANPOWER COMPLEMENT
			SIX (6)				SIX (6)

I, ANA MARIA A. KATIGBAK, ASST. Corporate Secretary of VULCAN INDUSTRIAL & MINING CORPORATION declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this \_\_\_\_\_ day of \_DEC 0 7 2021 \_\_\_\_ in MAKATI CITY ...

ANA MARIA A. KATIGBAK
Signature over printed name)

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on DEC 0 7 2021 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Passport No. PP7145377B issued on JULY 7, 2021 in DFA MANILA.

Doc. No. 344 Page No. 70, Book No. 23 Series of 2021

NOTARY PUBLIC Z ROLL NO. 73308

NOTARY PUBLIC

PURTIA JESSICA J. MACLAN

Appointment No. M-54

Notary Public for Makati City

Until December 31, 2021

Castillo Laman Tan Pantaleon

& San Jose Law Firm

The Valero Tower, 122 Valero Street

Salcedo Village, Makati City

PTR No. 8533747; 01-04-2021; Makati City

BP No. 141746; 01-04-2021; Makati Chapter

Roll No. 73308

#### BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER: CORPORATE NAME:

VULCAN INDUSTRIAL & MINING CORPORATION

#### Instructions:

- Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items
  A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
- 2. Fill in the required information on the beneficial owner in the fields provided for.
- In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that
  the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to
  such categories.
- If the category is under letter "1", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
- 5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

#### Category

#### Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.

  Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any
- 3 contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)		NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Hilario G. Pagauitan	Lot 25, Road 19, Cogeo Village, Bagong Nayon, Antipolo City	Filipino	11/03/1956	122-993-596	33.00%	D and I	A, D
Sofia G. Pagauitan	Lot 25, Road 19, Cogeo Village, Bagong Nayon, Antipolo City	Filipino	09/18/1960	163-755-657	33.00%	D and I	A, D
Isaac Hilario G. Pagauitan II	Massachuset St., Carolina Village, Sta. Ana, Antipolo City	Filipino	07/14/1984	247-932-008	0.00%	D and I	D
Michael Abraham G. Paguiatan	3 Inca Street Filinvest 2, Batasan Hills, Qeuzon City	Filipino	04/03/1987	224-425-271	0.00%	D and I	D
Ma. Hilarnie Mercurie P. Parada	Lot 13Bucharest Street, Eastville Subd. Filinvest Cainta Rizal	Filipino	12/23/1981	188-355-989	0.00%	D and I	D

Note: This page is not for uploading on the SEC iView.

<sup>&</sup>lt;sup>1</sup> For Stock Corporations.

<sup>&</sup>lt;sup>2</sup> For Non-Stock Corporations.

<sup>&</sup>lt;sup>3</sup> For Stock Corporations.

## AFFIDAVIT OF UNDERTAKING

I, ANA MARIA A. KATIGBAK, of legal age, with office address at 3/F The Valero Tower, 122 Valero St., Salcedo Village, Makati City 1227, under oath, hereby state that:

- I am the Asst. Corporate Secretary of VULCAN INDUSTRIAL & MINING CORPORATION (the "Corporation"), a corporation duly organized and existing under and by virtue of the laws of the Philippines, with office address at the 6th Floor, Quad Alpha Centrum, 125 Pioneer Street, Mandaluyong City.
- The stockholders of the Corporation held their Annual Stockholders' Meeting last November 8, 2021.
- The Amended Rules Requiring the Filing of Information Sheet by Domestic Corporations1 of the Securities and Exchange Commission ("SEC") provide that a General Information Sheet ("GIS") shall be filed by a corporation within thirty (30) days following its annual stockholders' meeting.
- SEC Memorandum Circular No. 1, Series of 20132 provides that all documents to be filed with the SEC by corporations after incorporation shall not be accepted unless the Tax Identification Number ("TIN") of all its foreign investors, natural or juridical, resident or nonresident, are indicated therein.
- Certain stockholders of the Corporation currently do not have TINs. The Corporation has already taken steps to secure TINs for these stockholders.
- In order for the Corporation to comply with the SEC's rules on the submission of its GIS within 30 days following its annual meeting, I am filing the attached GIS and I undertake to submit to the TINs of the concerned stockholders to the SEC as soon as these are obtained.
- I am executing this Affidavit of Undertaking to attest to the truth of the foregoing and for whatever legal purpose and intent it may serve.

IN WITNESS HEREOF, we hereby sign this Affidavit of Undertaking this DEC 0 7 2021

SUBSCRIBED AND SWORN to before me, a Notary Public for and in the City of Makati, Philippines, this \_\_, affiant who is personally known to me and whose identity I have confirmed through her Passport No. P7145377B, issued at Manila on July 7, 2021, bearing the affiant's photograph and signature.

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Series of 2021.

<sup>1</sup> September 11, 1979.

<sup>2</sup> January 7, 2013

SICA J. MACLAN Appointment No. M-54 Notary Public for Makati City

Until December 31, 2021 Castillo Laman Tan Pantaleon & San Jose Law Firm

The Valero Tower, 122 Valero Street Salcedo Village, Makati City PTR No. 8533747; 01-04-2021; Makati City

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