



## SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

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**The following document has been received:**

**Receiving:** Ryan Piramide

**Receipt Date and Time:** November 14, 2022 05:01:32 PM

### Company Information

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**SEC Registration No.:** 0000007443

**Company Name:** VULCAN INDL. & MINING CORP.

**Industry Classification:** D24294

**Company Type:** Stock Corporation

### Document Information

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**Document ID:** OST1111420228834964

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** October 14, 2022

**Submission Type:** Annual Meeting

**Remarks:** WITH UNDERTAKING FOR TIN NO.

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Acceptance of this document is subject to review of forms and contents

<b>GENERAL INFORMATION SHEET (GIS)</b> FOR THE YEAR 2022 <b>STOCK CORPORATION</b>			
<b>GENERAL INSTRUCTIONS:</b> 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. <b>DO NOT LEAVE ANY ITEM BLANK.</b> WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE <b>CORPORATE SECRETARY</b> OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. <b>ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.</b> 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME:		DATE REGISTERED:	
VULCAN INDUSTRIAL & MINING CORPORATION		01.13.1953	
BUSINESS/TRADE NAME:		FISCAL YEAR END:	
VULCAN INDUSTRIAL & MINING CORPORATION		December 31	
SEC REGISTRATION NUMBER:		CORPORATE TAX IDENTIFICATION NUMBER (TIN)	
7443		000-062-736-000	
DATE OF ANNUAL MEETING PER BY-LAWS:		WEBSITE/URL ADDRESS:	
LAST WEDNESDAY OF MAY		www.vulcanminingcorp.com	
ACTUAL DATE OF ANNUAL MEETING:		E-MAIL ADDRESS:	
14-Oct-22		info@vulcanminingcorp.com	
COMPLETE PRINCIPAL OFFICE ADDRESS:		FAX NUMBER:	
6TH FLOOR QUAD ALPHA CENTRUM, 125 PIONEER ST., MANDALUYONG CITY		8631-3113	
COMPLETE BUSINESS ADDRESS:		ALTERNATE MOBILE NUMBER	
6TH FLOOR QUAD ALPHA CENTRUM, 125 PIONEER ST., MANDALUYONG CITY		09177947050	
OFFICIAL E-MAIL ADDRESS	ALTERNATE E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER
paolomartinez87@gmail.com	josephieebenrsequi@yahoo.com	09176659773	09177947050
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:		SEC ACCREDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S):
SyCip Gorres Velayo & Co.			8631-5139
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:		INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
MINING COMPANY			
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
N.A.	N.A.	N.A.	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
N.A.	N.A.	N.A.	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

## GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

VULCAN INDUSTRIAL &amp; MINING CORPORATION

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)** ☐ Yes ☒ No

Please check the appropriate box:

<b>1.</b> <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.		<b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals	
		<b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone	
		<b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:	
<b>2.</b> <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)		<input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person	
<b>3.</b> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman  <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)		<b>7.</b> Persons who provide any of the following services: <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies  <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities	
		<b>8.</b> <input checked="" type="checkbox"/> None of the above	
		Describe nature of business:	mining
<b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b>			<input type="radio"/> Yes <input checked="" type="radio"/> No

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>								<b>VULCAN INDUSTRIAL &amp; MINING CORPORATION</b>							
<b>CAPITAL STRUCTURE AS OF SEPTEMBER 03, 2020</b>															
<b>AUTHORIZED CAPITAL STOCK</b>															
		<b>TYPE OF SHARES *</b>		<b>NUMBER OF SHARES</b>		<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>							
4,000,000,000.00		Common		4,000,000,000		1.00		4,000,000,000.00							
<b>TOTAL</b>				4,000,000,000		<b>TOTAL P</b>				4,000,000,000.00					
<b>SUBSCRIBED CAPITAL</b>															
<b>FILIPINO</b>		<b>NO. OF STOCK-HOLDERS</b>		<b>TYPE OF SHARES *</b>		<b>NUMBER OF SHARES</b>		<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>		<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>	
		1,852		Common		1,435,690,078		492,092,956		1.00		1,435,690,078.00		99%	
<b>TOTAL</b>				1,435,690,078		<b>TOTAL</b>				<b>TOTAL P</b>		1,435,690,078.00			
<b>FOREIGN (INDICATE BY NATIONALITY)</b>		<b>NO. OF STOCK-HOLDERS</b>		<b>TYPE OF SHARES *</b>		<b>NUMBER OF SHARES</b>		<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>		<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>	
		49		common		14,309,922				1.00		14,309,922.00		1%	
<b>TOTAL</b>				14,309,922		<b>TOTAL</b>				<b>TOTAL P</b>		14,309,922.00			
Percentage of Foreign Equity :															
<b>TOTAL</b>				14,309,922		<b>TOTAL</b>				<b>TOTAL P</b>		14,309,922.00			
<b>TOTAL SUBSCRIBED P</b>										1,450,000,000.00					
<b>PAID-UP CAPITAL</b>															
<b>FILIPINO</b>		<b>NO. OF STOCK-HOLDERS</b>		<b>TYPE OF SHARES *</b>		<b>NUMBER OF SHARES</b>		<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>			
		1,852		Common		1,435,690,078		1.00		978,187,198.00		99%			
<b>TOTAL</b>				1,435,690,078		<b>TOTAL P</b>				978,187,198.00					
<b>FOREIGN (INDICATE BY NATIONALITY)</b>		<b>NO. OF STOCK-HOLDERS</b>		<b>TYPE OF SHARES *</b>		<b>NUMBER OF SHARES</b>		<b>PAR/STATED VALUE</b>		<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>			
		49		Common		14,309,922		1.00		14,309,922.00		1%			
<b>TOTAL</b>				14,309,922		<b>TOTAL P</b>				14,309,922.00					
<b>TOTAL PAID-UP P</b>										992,497,120.00					

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: VULCAN INDUSTRIAL & MINING CORPORATION								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>HILARIO G. PAGAUTAN</b> Lot 25, Road 19, Cogeo Village, Bagong Nayan, Antipolo City	FILIPINO	N	C	M	Y	Chairman, President, CEO	E-C	122-993-596
2. <b>SOFIA G. PAGAUTAN</b> Lot 25, Road 19, Cogeo Village, Bagong Nayan, Antipolo City	FILIPINO	N	M	F	Y	Vice-Chairman	E-M	163-755-657
3. <b>ISAAC HILARIO G. PAGAUTAN II</b> Massachuset St., Carolina Village, Sta. Ana, Antipolo City	FILIPINO	N	M	M	Y	SVP Business Development/ DPO	E-M/ N & CG -M	247-932-008
4. <b>MICHAEL ABRAHAM G. PAGAUTAN</b> 3 Inca Street Filinvest 2, Batasan Hills, Quezon City	FILIPINO	N	M	M	Y			224-425-271
5. <b>MA. HILARNIE MERCURIE P. PARADA</b> Lot 13Bucharest Street, Eastville Subd. Filinvest Cainta Rizal	FILIPINO	N	M	F	Y	Treasurer	A-M/ C-M	214-861-324
6. <b>ADRIAN PAULINO S. RAMOS</b> 17 Luz Circle Corinthian Gardens, Quezon	FILIPINO	N	M	M	Y			188-355-989
7. <b>ORLANDO S. MERCADO</b> 122 LP Leviste St. Salcedo Village, Makati City	FILIPINO	N	M	M	Y		E-VC/ N & CG- M	113-342-649
8. <b>EDGARDO V. CARINGAL</b> Blk 23 Lot 1 Verdana Homes, Mamlasan Brgy. Lorna Binan, Laguna	FILIPINO	N	M	M	Y	SVP for Operations/ CCO	C-M	106-966-037-000
9. <b>RAMON C. LEE</b> 1541 M.H. Del Pilar corner Cuarteles, Ermita, Manila	FILIPINO	N	M	M	Y		E-M	132-780-399
10. <b>ROBERTO A. BAQUIRAN</b> 121 Valencia St., Abrio Village, Nuvali, Sta. Rosa, Laguna	FILIPINO	N	I	M	Y		A-C	131 891 507
11. <b>EARL J. TORRES</b> 8097 Isabelle Manor Ph 6, Marcelo Green Village, Paranaque City	FILIPINO	N	I	M	Y		A-VC/ N & CG - C/ C-C	167-817-475
12. <b>JOSE T. NACORDA, JR.</b> 60 East Drive, Marikina Heights, Marikina City	FILIPINO	N		M	N	SVP Finance & Admin/ SEC CO		106-966-108
13. <b>GASPAR R. ANDRES, JR.</b> No. 13 Talisay Street, Brgy Amihan Project 3, Quezon City	FILIPINO	N		M	N	Corporate Secretary		135-837-961
14. <b>ANA MARIA A. KATIGBAK</b> 3rd Floor, The Valero Tower, 122 Valero St. Salcedo Village, Makati	FILIPINO	N		F	Y	Asst. Corporate Secretary		173-182-955
15. <b>DAVE D. EUSTAQUIO</b> Calamagui West, Santa Maria, Isabela	FILIPINO	N		M	N	CAE/ IAH		734-893-975

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:	VULCAN INDUSTRIAL & MINING CORPORATION
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## DIRECTORS / OFFICERS

[illegible]

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

IN ALL 2020 COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b> VULCAN INDUSTRIAL & MINING CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 1,901			<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 1,343			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> P139,047						
<b>STOCKHOLDER'S INFORMATION (as of October 28, 2022)</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. PCD NOMINEE CORPORATION Filipino/Non Filipino G/F MKSE Building 6767 Ayala Avenue Makati City	Common	903,602,812	903,602,812.00	62.31%	903,602,812.00	004-774-849
	<b>TOTAL</b>	<b>903,602,812</b>	<b>903,602,812.00</b>			
2. NATIONAL BOOK STORE, INC. Filipino 125 Pioneer St. Mandaluyong City	Common	486,055,662	486,055,662.00	33.52%	28,552,782.00	000-325-972
	<b>TOTAL</b>	<b>486,055,662</b>	<b>486,055,662.00</b>			
3. RAMOS, ALFREDO C. Filipino 125 Pioneer St. Mandaluyong City	Common	9,006,176	9,006,176.00	0.62%	9,006,176.00	132-017-513
	<b>TOTAL</b>	<b>9,006,176</b>	<b>9,006,176.00</b>			
4. SY, EUGENE Filipino 122 J. P. Rizal, Caloocan City	Common	3,000,000	3,000,000.00	0.21%	3,000,000	110-781-000
	<b>TOTAL</b>	<b>3,000,000</b>	<b>3,000,000.00</b>			
5. BPI FAO IBOD Filipino c/o Trust & Investment Dept. 4th Flr, BPI Head Ayala Ave. Makati City	Common	2,970,555	2,970,555.00	0.20%	2,970,555.00	No TIN on file
	<b>TOTAL</b>	<b>2,970,555</b>	<b>2,970,555.00</b>			
6. ACUNA, EMMANUEL Filipino 24-B East Maya Philam Homes, Quezon City	Common	2,800,000	2,800,000.00	0.19%	2,800,000.00	130-464-828
	<b>TOTAL</b>	<b>2,800,000</b>	<b>2,800,000.00</b>			
7. YAN, LUCIO W. &/OR CLARA YAN Filipino 537 Lafayette St., Greenhills East Mandaluyong City	Common	2,500,000	2,500,000.00	0.17%	2,500,000.00	102-646-906
	<b>TOTAL</b>	<b>2,500,000</b>	<b>2,500,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>97.23%</b>	<b>952,432,325.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		VULCAN INDUSTRIAL & MINING CORPORATION				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,901		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 1,343		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		P139,047				
<b>STOCKHOLDER'S INFORMATION (as of october 28, 2022)</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. WISE SECURITIES PHILS., INC. Filipino 12/F L.V locsin Building 6752 Ayala Ave., Makati City	Common	1,690,000	1,690,000.00	0.12%	1,690,000.00	000-157-540-000
	<b>TOTAL</b>	<b>1,690,000</b>	<b>1,690,000.00</b>			
9. CAMPOS, LANUZA & CO., INC. Filipino Unit 2003-B PSE Center Exchange Rd., Ortigas Ave., Pasig City	Common	1,500,769	1,500,769.00	0.10%	1,500,769.00	108-541-940
	<b>TOTAL</b>	<b>1,500,769</b>	<b>1,500,769.00</b>			
10. G & L SECURITIES CO., INC. Filipino Unit 512-B Lee Gardens Tower 2, Shaw Blvd., Mandaluyong City	Common	880,000	880,000.00	0.06%	880,000.00	000-105-839-000
	<b>TOTAL</b>	<b>880,000</b>	<b>880,000.00</b>			
11. L.M. GARCIA & ASSOCIATES, INC. Filipino 1606-A Tektite Tower I, Exchange Road, Ortigas Center, Pasig City	Common	623,724	623,724.00	0.04%	623,724.00	000-331-198V
	<b>TOTAL</b>	<b>623,724</b>	<b>623,724.00</b>			
12. VIMC SPECIAL ACCOUNT Filipino 5/F Quad Alpha Centrum Bld., 125 Pioneer Street, Mandaluyong City	Common	576,500	576,500.00	0.04%	576,500.00	000-012-736
	<b>TOTAL</b>	<b>576,500</b>	<b>576,500.00</b>			
13. MENDOZA, ALBERTO &/OR JEANIE C. MENDOZA Filipino #1145 Aguilar Street, Tondo, Manila	Common	564,950	564,950.00	0.04%	564,950.00	139-569-952
	<b>TOTAL</b>	<b>564,950</b>	<b>564,950.00</b>			
14. CARLOS, FILOMENA R. Filipino #18 Lakeview Drive, Pasig City	Common	537,672	537,672.00	0.04%	537,672.00	107-262-495
	<b>TOTAL</b>	<b>537,762</b>	<b>537,672.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>0.44%</b>		
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>958,805,940.00</b>	
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>						
<b>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</b>						

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		VULCAN INDUSTRIAL & MINING CORPORATION					
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,901		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		1,343	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		P139,047					
<b>STOCKHOLDER'S INFORMATION (as of October 28, 2022)</b>							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER	
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP			
15. YAN, LUCIO W. Filipino P.E. Antonio St., Bo., Ugong, Pasig Metro Manila	Common	524,904	524,904.00	0.04%	524,904.00	107-476-262	
	<b>TOTAL</b>	<b>524,904</b>	<b>524,904.00</b>				
16. LARRGO SECURITIES CO., INC. Filipino 2/F Rufino tower Bldg., 6784 Ayala Ave., Makati City	Common	511,121	511,121.00	0.04%	511,121.00	047-000-126-030	
	<b>TOTAL</b>	<b>511,121</b>	<b>511,121.00</b>				
17. L. M. GARCIA SEC. CORP. Filipino #211 C. de Guzman St. Malibay Pasay City	Common	500,000	500,000.00	0.03%	500,000.00	000-324-229-000	
	<b>TOTAL</b>	<b>500,000</b>	<b>500,000.00</b>				
18. DE GRACIA, ELEANOR LAYA &/OR LAYA, JAIME C. Filipino 9 Panay Avenue Quezon City	Common	456,950	456,950.00	0.03%	456,950.00	103-175-586	
	<b>TOTAL</b>	<b>456,950</b>	<b>456,950.00</b>				
19. ANSELMO TRINIDAD & CO., INC. Filipino Unit 6F, 6F 9101 Pearl Plaza, Pearl Drive, Ortigas Center, Pasig City	Common	418,035	418,035.00	0.03%	418,035.00	100-717-285	
	<b>TOTAL</b>	<b>418,035</b>	<b>418,035.00</b>				
20. ACUNA, EMMANUEL Filipino #33 Legaspi Street, Phil-AM Life Homes, Quezon City	Common	417,000	417,000.00	0.03%	417,000.00	537-781-7	
	<b>TOTAL</b>	<b>417,000</b>	<b>417,000.00</b>				
21. OTHERS (Indicate the number of the remaining stockholders)	Common	32,076,245	32,076,245.00	2.21%	32,076,245.00		
	<b>TOTAL</b>	<b>32,076,245</b>	<b>32,076,245.00</b>				
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				2.40%	<b>993,710,195.00</b>		
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>							
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS							
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.							

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> VULCAN INDUSTRIAL & MINING CORPORATION			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	-	-	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	-	-	
1.3 LOANS/ CREDITS/ ADVANCES	-	-	
1.4 GOVERNMENT TREASURY BILLS	-	-	
1.5 OTHERS	-	-	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b> n/a	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
	-		
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b> n/a			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>			
<b>DATE ISSUED:</b>			
<b>DATE STARTED OPERATIONS:</b>			
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
	Eleven (11)		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, ANA MARIA A. KATIGBAK, ASST. Corporate Secretary of **VULCAN INDUSTRIAL & MINING CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232 ).


Done this \_\_\_\_\_ day of NOV 14, 2022 in MAKATI CITY.

  
**ANA MARIA A. KATIGBAK**  
Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in MAKATI CITY on NOV 14 2022 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Passport No. PP7145377B issued on JULY 7, 2021 in DFA MANILA.

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NOTARY PUBLIC  
**PORTIA JESSICA J. MACLAN**  
Appointment No. M-125  
Notary Public for Makati City  
Until December 31, 2023  
Castillo Laman Tan Pantaleon  
& San Jose Law Firm  
The Valero Tower, 122 Valero Street  
Salcedo Village, Makati City  
PTR No. 8853447; 01-03-2022; Makati City  
3P No. 174017; 01-03-2022; Makati Chapter  
CLE No. VII-0014242; valid from 03-31-2022  
valid until 04-14-2025; Pasig City  
Roll No. 73308

# BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

7443

CORPORATE NAME:

VULCAN INDUSTRIAL & MINING CORPORATION

## Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

## Category

## Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Hilario G. Pagauitan	Lot 25, Road 19, Cogeo Village, Bagong Nayon, Antipolo City	Filipino	11/03/1956	122-993-596	33.00%	D and I	A, D
Sofia G. Pagauitan	Lot 25, Road 19, Cogeo Village, Bagong Nayon, Antipolo City	Filipino	09/18/1960	163-755-657	33.00%	D and I	A, D
Isaac Hilario G. Pagauitan II	Massachuset St., Carolina Village, Sta. Ana, Antipolo City	Filipino	07/14/1984	247-932-008	0.00%	D and I	D
Michael Abraham G. Paguiatan	3 Inca Street Filinvest 2, Batasan Hills, Quezon City	Filipino	04/03/1987	224-425-271	0.00%	D and I	D
Ma. Hilarnie Mercurie P. Parada	Lot 13 Bucharest Street, Eastville Subd. Filinvest Cainta Rizal	Filipino	12/23/1981	188-355-989	0.00%	D and I	D

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.

## AFFIDAVIT OF UNDERTAKING

I, **ANA MARIA A. KATIGBAK**, of legal age, Filipino, with office address at the 3<sup>rd</sup> Floor, The Valero Tower, 122 Valero St., Salcedo Village, Makati City 1227, under oath, hereby state that:

1. I am the Asst. Corporate Secretary of **VULCAN INDUSTRIAL & MINING CORPORATION** (the "Corporation"), a corporation duly organized and existing under and by virtue of the laws of the Philippines, with office address at the 6<sup>th</sup> Floor, Quad Alpha Centrum, 125 Pioneer Street, Mandaluyong City.

2. The stockholders of the Corporation held their Annual Stockholders' Meeting last October 14, 2022.

3. The *Amended Rules Requiring the Filing of Information Sheet by Domestic Corporations*<sup>1</sup> of the Securities and Exchange Commission ("SEC") provide that a General Information Sheet ("GIS") shall be filed by a corporation within thirty (30) days following its annual stockholders' meeting.

4. SEC Memorandum Circular No. 1, Series of 2013<sup>2</sup> provides that all documents to be filed with the SEC by corporations after incorporation shall not be accepted unless the Tax Identification Number ("TIN") of all its foreign investors, natural or juridical, resident or non-resident, are indicated therein.

5. Certain stockholders of the Corporation currently do not have TINs. The Corporation has already taken steps to secure TINs for these stockholders.

6. In order for the Corporation to comply with the SEC's rules on the submission of its GIS within 30 days following its annual meeting, I am filing the attached GIS and I undertake to submit to the TINs of the concerned stockholders and director to the SEC as soon as these are obtained.

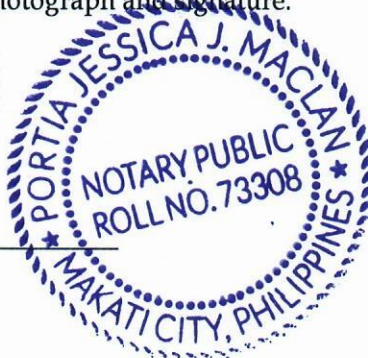
7. I am executing this Affidavit of Undertaking to attest to the truth of the foregoing and for whatever legal purpose and intent it may serve.

IN WITNESS HEREOF, we hereby sign this Affidavit of Undertaking this NOV 14 2022 in Makati City, Philippines.

  
**ANA MARIA A. KATIGBAK**  
Affiant

SUBSCRIBED AND SWORN to before me, a Notary Public for and in the City of Makati, Philippines, this NOV 14 2022, affiant who is personally known to me and whose identity I have confirmed through her Passport No. P7145377B, issued at Manila on July 7, 2021, bearing the affiant's photograph and signature.

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Book No. XV;  
Series of 2022.



**PORTIA JESSICA J. MACLAN**  
Appointment No. M-125  
Notary Public for Makati City  
Until December 31, 2023  
Castillo Laman Tan Pantaleon  
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CLE No. VII-0014242; valid from 03-31-2022;  
valid until 04-14-2025; Pasig City

<sup>1</sup> September 11, 1979.

<sup>2</sup> January 7, 2013