

Compliance with Reporting Allegations of Abuse/Neglect/Exploitation

Policy

This facility is committed to reporting all allegations of abuse, neglect, exploitation, mistreatment, injuries of unknown origin, and misappropriation of resident property. Reports must be made immediately to the Administrator and appropriate agencies following state and federal regulations within prescribed timeframes.

Compliance Guidelines

The facility will implement procedures for screening, training, protecting residents, and preventing, identifying, investigating, and reporting abuse and neglect to ensure compliance and resident safety.

Screening:

Employees will be screened for any history of abuse or neglect through reference checks, licensing boards, and registries.

Training:

New employees will receive training on abuse prevention and reporting during orientation. Annual training will be provided to all staff, with additional education by supervisors as needed.

Prevention:

- Information on reporting concerns will be shared with residents, families, and staff.
- Feedback will be provided for expressed concerns.
- The facility will monitor and intervene in situations prone to abuse or neglect.

Identification:

The facility will monitor events, patterns, and trends to identify potential issues, including:

Neglect: Failure to provide necessary care.

Abuse: Willful infliction of harm, including verbal, physical, sexual, and mental abuse.

Misappropriation of Resident Property: Unauthorized use of a resident's belongings.

Injuries of Unknown Source: Unexplained injuries suspicious by nature.

- **Exploitation:** Taking advantage of a resident for personal gain.
- **Mistreatment:** Inappropriate treatment or exploitation of a resident.

Investigation:

All allegations and incidents will be investigated promptly.

Protection:

Residents will be safeguarded during investigations.

Reporting and Response:

- All allegations and substantiated incidents will be reported to the state agency and other relevant entities within required timeframes.
- Corrective actions will be implemented as needed.

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Procedure for Response and Reporting Allegations

Licensed Nurse Responsibilities:

- Respond to and protect the resident.
- Remove the accused employee from resident care.
- Notify the Administrator, attending physician, family/legal representative, and Medical Director.
- Monitor and document the resident's condition and actions taken.
- Complete incident reports and revise care plans as necessary.

Administrator Responsibilities:

- Report incidents to appropriate agencies within required timeframes.
- Suspend the accused employee pending investigation.
- Follow up with agencies to confirm receipt of reports.
- Notify the state nurse aide registry or licensing board of any actions indicating an employee is unfit for service.
- Provide investigation results and corrective actions within five working days.

Employee Training

- Initial training will cover abuse prevention and reporting requirements.
- Annual education is mandatory for all employees, with situational training provided by supervisors as needed.

Resources:

Centers for Medicare & Medicaid Services, Department of Health and Human Services. Appendix PP: Guidance to Surveyors for Long Term Care Facilities. (August 2024 Revision). State Operations Manual (SOM). F607, Develop/Implement Abuse/Neglect, etc. Policies. F609, Reporting of Alleged Violations, F610 Investigate/Prevent/Correct Alleged Violation.

<i>Date Implemented:</i>	<i>Date/Reviewed/Revised:</i>	<i>Reviewed/Revised By:</i>