Request for Proposal



Bid Number: #21-03-2449LE

The Navajo Nation Office of the Controller - Purchasing Department and the Navajo Division of Transportation (Navajo DOT) are soliciting proposals and sealed bids for Bid # 21-03-2449LE "Concrete Slope Pavement" for N71 Birdsprings, Arizona – Road Construction Project.

Contacts for a bid packet are:

- 1) Joe Peterman, Department Manager, Navajo Division of Transportation Roads, ipeterman@navajodot.org; (928) 797-0150 or (505) 371-8392.
- 2) Jeremy Ben, Navajo Nation Purchasing Department, jjben@nnooc.org, (928) 871-6142

Closing date is April 12, 2021, at 3:00 p.m. Mountain Daylight Saving Time (M.D.T.). Any proposal(s) received after this date and time will not be accepted and will be returned to the sender. No faxed or email proposals or bids will be accepted. To download an RFP packet, go to either the Navajo Nation Office of the Controller or Navajo DOT websites: www.nnooc.org/RFPs-Advertisements.html, or, www.navajodot.org/RFP.aspx.

Section 1 - Overview

1. Request for Proposal (RFP) Packet – The Instructions on the proposal preparation, required documents, eligibility requirements, and evaluation criteria are provided herein.

The RFP package may be obtained beginning **April 1, 2021**, from the websites shown below:

http://www.nnooc.org/RFPs-Advertisements.html http://www.navajodot.org/RFP.aspx

These are the Navajo Office of the Controller, Purchasing Section, and Navajo Division of Transportation websites, respectively. <u>No hardcopies of the RFP will be given</u>.

An RFP packet can also be requested by emailing Joe Peterman, Navajo DOT, Department of Roads. His email is, jpeterman@navajodot.org.

- 2. General Scope of Work (SOW) All work shall be in in substantial compliance with the Standard Specifications for the Construction of Roads and Bridges on Federal Highway Projects, FP-14, Standard Serial Drawings and Plan Sheets. Concrete Slope Blankets shall be placed in locations as shown on the Project Plans and Standard Serial Drawings. Project location is Birdsprings, AZ (BOP = MP28.0, Navajo route 15). The Navajo Nation is the lead agency and is using Federal Highway Administration funds for project funding.
- 3. Schedule of Activities: Note, all times are Window Rock, AZ, time, and for year 2020/2021.

Activities:		Due Date:		
i.	Advertised Period	April 1, 2021 to		
		April 8, 2021		
ii.	Deadline to Submit RFP Questions	April 12, 2021		
iii.	Response to Written RFP Questions	April 9, 2021		
iv.	RFP Submittal Deadline	April 12, 2021 by 3:00 p.m.		
v.	Evaluation of proposals	By April 14, 2021		
vi.	Final Selection of Firm	BY April 15, 2021		

4. Inquiries – Questions regarding this RFP must be submitted by email to the Project Contact listed below. Written responses to written questions and any RFP amendments will be distributed by email to all parties who obtained an RFP package and have notified Purchasing Department, or Mr. Joe Peterman, Navajo DOT. No further questions, in any form, will be entertained after the April 12, 2021, deadline. Project Contact: Donald Jackson, Engineer, Navajo Division of Transportation, djackson@navajodot.org.

5. Proposal Submittal Deadline – Proposals must be physically submitted to the following address by April 12, 2021 no later than 3:00 PM (Window Rock, AZ time).

Navajo Division of Transportation Attention: Joe Peterman Navajo Transportation Complex #16 Old Coal Mine Road Mentmore, NM 87319

Late, facsimiled, or e-mailed proposals will not be accepted. These will be returned to the firm un-rated and firms responding in such fashion shall be considered nonresponsive.

- 6. Addendum to the RFP In the event it becomes necessary to revise any part of the RFP, Navajo DOT shall issue a written addendum on the specifics of the change and inform all concerned. **Addendums will also be made available for download from the Navajo DOT website** (www.navajodot.org). The addendum(s) does not need to be included as part of the proposal packet. However, the Firm should acknowledge receipt and review of the addendum(s) under the Letter of Interest.
- 7. Rejection of Proposals The Navajo DOT reserves the right to reject any or all proposals and to waive informalities in the proposals received whenever such a rejection or waiver is in the best interest of the Navajo Nation.
- 8. Proprietary Information Any restrictions on the use of data contained within any proposals must be clearly stated in the proposal. Each page that contains proprietary information must be stamped or imprinted "Proprietary".
- 9. Ownership of Proposals All materials submitted with the RFP accepted for rating shall become the property of Navajo DOT and not returned to the firm. The Navajo DOT has the right to use any or all information presented in the RFP subject to limitations outlined in Paragraph 8, above. Disqualifications or non-selection of a firm or proposal does not eliminate this right.
- 10. Cost Incurred The Navajo DOT is not liable for any cost incurred by the firm prior to issuance of a signed contract for services.
- 11. Contractual Obligation The contents of the proposal may become part of contractual obligations of the contract award. Failure of the firm to accept these obligations may result in cancellation of the award for services.
- 12. Evaluation Criteria Proposals accepted for rating shall be evaluated based on the criteria and point system set forth in Part 12(a) that follows.

Part 12(a) – Rating System on Evaluation Criteria

Each proposal will be evaluated and rated as follows. Descriptions of the components are provided in Section 3 – Proposal Content and Evaluation Criteria

Proposal Factors	Requirements	Maximum Points Awarded	
Proposal Format	Proposal Format 10 single sided pages and spiral-bind proposal: Overall professionalism and conciseness of proposal.		
Scope of Work	Approach to scope of work with respect to the FP-14 Specifications – install concrete slope blankets, describe approach to the installation: grading, rebar/welded wire fabric, concrete delivery, placement, and finishing.	60	
Certificate of Insurance requirements	Certificate of Insurance and other insurance required for the work.	10	
Communication	How will company communicate with Navajo DOT regarding concrete slope blankets.	10	
Two References	List two references of companies that your company rents equipment to.	10	
	TOTAL	100	
Certified Navajo Nation Business Owner – 100%	PRIORITY #1	10	
Certified Navajo Nation Business Owner – 51%	PRIORITY #2	5	
TOTAL	Total for Priority #1	10	
	Total for Priority #2	5	
	Total for Non-Priority	0	

A Short List of qualified candidates will be determined from the 100 points. To get to the Short List, the firms must receive a minimum of 80 points.

Preference Points: If a Priority 1 firm makes the Short List, that firm will receive an additional ten (10) points added to their score ranking. If a Priority 2 firm makes the Short List, that firm will receive an additional five (5) points added to their score ranking. Non-priority firms do not receive additional points. This is a requirement from the Navajo Nation Business Opportunity Act. Firm must provide documentation per the Business Regulatory Department as prioritized under Section 204 (A) (1) and (2) of the revised Navajo Nation Business Opportunity Act, of its Priority 1 or 2 status.

It is intent of Navajo DOT to rank the firms according to the responses submitted. The Navajo DOT reserves the right to conduct detailed interviews in person, of qualified/responsive firms, if warranted.

13. Standard Contract – The Navajo Nation reserves the right to incorporate contract provisions which are based on applicable requirements, such as, Navajo Nation Laws, State, and local requirements, etc. into the contract documents. This includes provisions of the Navajo Business

and Procurement Act, at 12 N. N. C. § 1501et Seq., and the Navajo Business Opportunity Act, at 5 N. N. C. § 201 et Seq.

- 14. Taxes All work performed, and services provided within the territorial jurisdiction of the Navajo Nation is subject to the six percent (6 %) Navajo Sales Tax (24 N. N. C. § 601 et Seq.).
- 15. Insurance The Navajo Nation will require the successful firm, at its sole expanse, to procure and maintain adequate and sufficient insurance for all potential liability, such as, commercial general liability, automobile liability, worker's compensation, and performance & payment bonds, etc. The general contractor shall provide all bonds and insurance prior to the Notice to Proceed with Construction. For the Proposal, the Firm seeking this project shall provide an affidavit from a surety indicating Contractor's ability to provide said bonds. The surety letter shall be in the name of the firm submitting the proposal; and if this condition is not met, the Contractor's proposal shall be considered Non-Responsive. The Firm shall also have its certificate of liability insurance in its name and current; failure to meet this requirement shall also make the Contractor's proposal Non-Responsive.
- 16. Bonding documentation required. The Navajo Nation's Representative must receive written documentation of all required bonds prior to the issuance of a Notice to Proceed for the Project, and Contractor shall not commence any work or services under this Contract until such documentation is received by the Navajo Nation.
- 17. Disclaimer The Navajo Nation's acceptance or review of any proposal shall not guarantee the execution of any contract, and the proposed contract shall be reviewed by all appropriate departments through the 2 N. N. C. § 164 review process, including the Navajo Nation Department of Justice, for administrative and legal sufficiency, prior to execution by the Navajo Nation. The Navajo Nation reserves the right to reject any proposed contract prior to execution, for improprieties in the procurement process or applicable Navajo Nation or federal laws or regulations, or the failure to submit all requested documents or information.

Section 2 – Proposal Requirements and Selection

- 1. Proposal Submission
 - A. Proposal must be submitted in a sealed envelope clearly marked:
 - a. "DO NOT OPEN RFP for Bid #21-03-2449LE N71-Birdsprings AZ, for Concrete Slope Paving.
 - b. The name of the firm submitting the proposal shall be legibly written and shown on the outside of the sealed envelope, to include the firms address.
 - B. Proposal Standards: The firm shall submit one (1) original and three (3) identical copies of their RFP packet for the evaluation committee members. Appearance of proposal is important and professionalism in proposal presentation should not be neglected. The proposal standards are as follows:
 - a. This RFP proposal may not exceed 10 single-sided pages (maximum 8 ½" x 11") with a minimum of 10 pt. type.
 - b. Pages that have photos, charts, and graphs will be counted towards the maximum number of pages.
 - c. The following information is not included in the 10-page limit: proposal front and back cover; cover letter and/or Letter of Interest on company letterhead; divider and/or tabs if there is nothing on them.

- d. RFP submittals should be plastic or metal spiral-bound only. <u>Please do not submit RFP in loose-leaf 3-ring binders; these will be considered non-responsive and returned to the firm un-rated.</u>
- e. Submissions exceeding the 10-page limit will be considered non-responsive and will be returned to the Applicant un-rated.
- C. In a separate sealed envelope clearly marked as "BID 21-03-2449LE, N71 -Birdsprings AZ Concrete Slope Paving", the firm shall provide its bid amount to complete the Scope of Work. The sealed envelope will not be opened by the Navajo DOT until after the RFP proposals have been reviewed and ranked.

2. Proposal Review Process

Receipt of proposals will be verified on the due date specified. The Navajo DOT will screen and evaluate proposals received in accordance to the following criteria. Proposals which fail this check will be considered non-responsive and returned to the firm un-rated.

- a. Proposal is received by the required deadline date and time.
- b. Proposal meets the proposal submission requirement set forth above, under Section 2, Part 1, (A), (B), & (C).

3. Proposal Evaluation

- a. Proposal shall be evaluated and rated in accordance with the criteria outlined in Part 12(a) Rating System on Evaluation Criteria.
- b. The Navajo DOT will rate the proposal based on total points awarded and all firms with a minimum score of 70 of 100 points will be determined as responsive. The Navajo DOT reserves the right to interview these qualified firms.

4. Award of Contract

- a. The Navajo DOT will issue a Notice to Proceed to the firm upon execution of the contract. No work shall be performed by the firm until such notice is given by Navajo DOT. The Navajo DOT is not liable for any cost incurred by the firm prior to issuance of a signed contract award, for Concrete Slope Paving at N71-Birdsprings, AZ.
- 5. Certified in Navajo Business: If applicable, provide documentation that the business is currently certified by the Navajo Nation Business Regulatory Department and prioritized under Navajo Nation Council Resolution CAP-37-02 and under the Section 204 (A) (1) and (2) of the revised Navajo Nation Business Opportunity Act.
- 6. If selected for this project, submit to Navajo DOT the following:
 - PROOF OF CERTIFICATE OF INSURANCE: Provide proof of Certificate of Insurance.
 - Taxpayer Identification: Form W-9.
 - Affidavit of Non-Collusion.
 - Suspension & Debarment Certificate.

Section 3 – Proposal Content and Evaluation Criteria

1. Qualifications and Experience of firm and project team. Proposals must specifically address and affirm the following:

- a. Letter of Interest shall indicate:
 - a. Why your firm should be selected for project N71-Birdsprings, AZ.
 - b. Acknowledge receipt and review of any addendum(s) issued.
 - c. Firm's capability to perform all or most aspects of the project.
 - d. Signed by authorized agent of the firm.
- b. Evidence of insurance and statement from bonding company that all bonds will be completed prior to Notice to Proceed with Construction.
- c. Resume of personnel that will be involved in the task of completing projects, including professional qualifications and experience of key personnel. Include personnel of subcontractor(s) which will be utilized by the prime contractor.
- d. Provide key personnel's availability for the proposed work, and roles and responsibilities. Include their knowledge of FP-14 Specifications with regards to Concrete Slope Paving.
- e. Recent experiences in providing services comparable to the proposed SOW as listed in Section 4. Interested firms shall provide two (2) references from similar projects within the last five (5) years. List the reference's contact name, company name, address, and phone number.
- 2. Approach to Scope of Work with respect to FP -14 Specifications.
 - a. Approach to Scope of Work Describe your understanding of the project and approach to delivering the project SOW with respect to FP -14 Specifications, in addition to the work described in Section 4.

Section 4 – SCOPE OF WORK:

Contractor shall provide all equipment, manpower and materials to construct 4 (four) each concrete slope blankets. Provide all concrete, rebar, welded wire fabric, anchor bolts, forms, curing compound, etc. for complete in-place construction of the blankets.

Construction specifications shall be per FP-14, the contract Special Provisions and Plan drawings.

See attachment, plan sheet 25 which details the concrete slope blanket dimensions, rebar placement, etc.

Scheduling of all work including concrete placement shall be coordinated with the Construction Manager, Joe Peterman (928-797-0150).

Other Scope of Work requirements:

- 1. The Project Location (BOP) is = MP28.0, N15.
- 2. COVID-19: Delays may occur due to the COVID-19 pandemic situation. The contractor shall anticipate such delays and respond accordingly. Any delays due to the pandemic shall be incidental to the project and no compensation for said delays shall be forthcoming.
- 3. Weather: Any delays due to weather shall be incidental to the project and no compensation for said delays shall be forthcoming.
- 4. State how project communication is intended to keep all parties involved in the project.
- 5. THE LOCATION OF UTILITIES AS SHOWN IN THESE PLANS ARE APPROXIMATE AND ARE ONLY TO ASSIST THE CONTRACTOR IN COMPLETING THE WORK. THE CONTRACTOR SHALL CONTACT ALL UTILITY OWNERS PRIOR TO STARTING ANY CONSTRUCTION ACTIVITIES. THE

CONTRACTOR SHALL CONTACT THE NAVAJO TRIBAL UTILITY AUTHORITY (NTUA) AT (928)-729-5721, FRONTIER COMMUNICATION COMPANY AT (928)871-3748, NAVAJO HOUSING AUTHORITY (NHA) AT (928)-729-6605, PRIOR TO STARTING ANY CONSTRUCTION ACTIVITIES. THE CONTRACTOR SHALL VERIFY ALL UTILITIES AND THEIR LOCATIONS WITH THE UTILITY OWNERS PRIOR TO CONSTRUCTION. ANY UTILITIES DAMAGED DUE TO NEGLIGENCE OF THE CONTRACTOR SHALL BE RESTORED TO CODE REQUIREMENTS AT THE CONTRACTOR'S EXPENSE.

Quality Assurance:

Navajo DOT will provide quality assurance to ensure that work is being performed in accordance with the Scope of Work and project specifications.

Final inspection will include Navajo DOT for acceptance of work.

Compliance:

Comply with conditions stated in all compliance reports, cultural & biological. If cultural site is discovered, immediately notify NDOT Project Management & Roads Dept. Note: Navajo DOT will notify selected vendor of any known conditions regarding any cultural or biological conditions.

Bid Schedule

Project Name/Bid Number: N71TBirdsprings AZ - Concrete Slope Paving

Bid # 21-03-2449LE

Company Name:	
Date:	

Bid Item No.	FP-03 <u>Item</u> <u>No.</u>	Item Description	Plan Quantity	<u>Unit</u>	<u>Unit Price</u>	<u>Total</u>
1	151	Mobilization	1.0	LS		
2	60101	Minor Concrete, Class A	36.77	Cubic		
		(AE), Type 2		Meters		
					Sub-Total	
					Navajo	
					Nation Tax	
					6%	
					Total Cost	

NOTE: Bid item for concrete shall include all materials i.e., rebar, anchor bolts, welded wire fabric, etc. for the complete installation of the slope blanket.



