# FORM 1 (ADMINISTRATIVE PURPOSES ONLY)

## SERVICES CONTRACT BETWEEN THE NAVAJO NATION AND

Consultant's Leg	al Name (this must match the name	on the Contractor's W-9 and Cer	rtificate of Insurance)
	Consultant's physical a	address, state and zip code	
	Consultant's to	elephone number	
		CONTRACT NO	O:
FOR THE PERIOD:	ENIDING		
PAYMENTS TO BE M.	ADE FROM:		
	Account:	Fees:	\$
	Account:	Expense	es: \$
	Account:	Taxes:	\$
UNDER THE TERMS A			
	HMENT A – Mutual Pro HMENT B – Scope of W	•	S
EXHIBITS:			
EXHIBIT	Γ A – Accounting Codes Γ B – Consultant Creder Γ C – Certificate of Insu	ntials	
Employer's Identificatio or Consultant's Social Secu		this i	number must match Form W-9

#### **ATTACHMENT A- Mutual Promises and Agreements**

	his Services Contract ("Contract") is made and entered into by and between the Navajo Nation, ereinafter called the "NATION" and . hereinafter
	ereinafter called the "NATION" and, hereinafter alled the "CONSULTANT." Collectively, the NATION and the CONSULTANT are the PARTIES." The PARTIES agree as follows:
1.	<u>Contract Term</u> . The NATION agrees to use the non-exclusive services of the CONSULTANT beginning, and ending
2.	Scope of Work. The CONSULTANT agrees to perform the services described in ATTACHMENT B - Scope of Work ("Scope of Work"). Any changes to the Scope of Work must be agreed to by the PARTIES through a formal Modification of the Contract pursuant to Paragraph 13 below.
3.	<u>Compensation</u> . The NATION agrees to compensate the CONSULTANT for services performed under this Contract by paying a sum not to exceed \$, as per EXHIBIT A – Accounting Codes and Budget, to include the Navajo Nation and local government sales tax amounts described in Paragraph 18, below, for work performed within the territorial jurisdiction of the NATION.
4.	Authorized Representative. The CONSULTANT shall work with the (Contracting Program), and its Authorized Representative,
5.	<u>Contract Number</u> . Contract Number C shall cover this Contract, and reference to this number shall be made on all invoices submitted by the <b>CONSULTANT</b> to the <b>NATION</b> for payment.
6.	<u>Availability of Funds</u> . The liability of the <b>NATION</b> under this Contract is contingent upon the availability of funds. Pursuant to 2 N.N.C. §223(B), all contracts shall have sufficient funds available to perform the services under the Contract.
7.	<u>Travel Expenses</u> . The <b>PARTIES</b> recognize that the <b>CONSULTANT</b> may incur reasonable travel expenses in connection with providing services to the <b>NATION</b> . For said travel expenses to be eligible for reimbursement hereunder, the Authorized Representative must approve the travel in

8. Consultant is an Independent Contractor. Neither CONSULTANT nor its employees are, or shall be deemed, NATION employees. In its capacity as an independent contractor, CONSULTANT agrees and represents, and the NATION agrees, that CONSULTANT: (a) has the sole right to control and direct the means, manner, and method by which the services will be performed; (b) shall utilize its own employees, facilities, equipment, tools, and supplies in performing the services; (c) is not eligible to participate in, and is not eligible for coverage under any NATION employee benefit plans or offerings; and (d) is free to make its services available to third parties. Nothing in this Contract shall be construed to create any agency or employment relationship between CONSULTANT or any of its employees and the NATION. Neither Party shall have any right, power, or authority to assume, create, or incur any expense, liability, or obligation, express or implied, on behalf of the other. The

writing before said expenses are incurred.

**CONSULTANT** is responsible for payment of all taxes related to this Contract, and except as otherwise provided in Section 18 below, the **NATION** is not responsible for withholding, and shall not withhold, income taxes, FICA, unemployment taxes, or other taxes of any kind from any payment it owes to **CONSULTANT**, nor shall the **NATION** be responsible for remitting the employer's share of employment taxes to federal or state governments.

- 9. The Nation's Ownership of Work Product. The product(s) and title of the CONSULTANT'S work and services under this Contract shall be and will remain the property of the NATION. The NATION may use the work product for any purpose without prior approval or additional payment.
- 10. The Nation's Right to Inspect Place of Business and to Inspect and Audit Books and Records. The CONSULTANT agrees that the NATION may, at reasonable times, inspect the part of the plant or place of business of the CONSULTANT that is related to the performance of this Contract; and CONSULTANT further agrees that the NATION may, at reasonable times and places, inspect and audit the CONSULTANT'S books and records to the extent that such books and records relate to the performance of this Contract. The CONSULTANT shall maintain such books and records, and such books and records of any Subcontractor, for at least five (5) years from the date of final payment under this Contract. Further, CONSULTANT agrees to include in any Subcontractor agreement related to this Contract, provisions that the Subcontractor agrees (a) that the NATION may, at reasonable times, inspect the part of the plant or place of business of the Subcontractor that is related to the performance of this Contract; (b) that the NATION may, at reasonable times and places, inspect and audit the Subcontractor's books and records to the extent that such books and records relate to the performance of this Contract; and (c) that the Subcontractor shall maintain its books and records related to the performance of this Contract for at least five (5) years from the date of the CONSULTANT'S final payment under this Contract.
- 11. <u>Contact Information; Final Invoice</u>. Copies of all correspondence, reports, and invoices under this Contract shall be furnished to:

Insert the NATION'S and the CONS	ULTAN	T'S contact and contact information:
	-	
	_	
	_	
	_	

**NOTE**: The final invoice will be due within thirty (30) days after the Contract ends.

- 12. <u>Indemnification</u>. The **CONSULTANT** agrees to hold harmless and indemnify the **NATION** against any and all losses, costs, damages, claims, accident or injury to person or property including death, attorneys' fees, expenses, and other liability whatsoever (collectively, "Claims"), arising under, related to, or in connection with this Contract, except to the extent such Claims are directly caused by the gross negligence or wanton and willful conduct of the **NATION** or to the extent they result from the negligence of **NATION** officials or employees as provided for and in accordance with 1 N.N.C. §§551 *et seq*.
- 13. <u>Modifications</u>. Any modifications to this Contract shall be made only by written amendment, signed and executed by all parties to this Contract. If a cost-based selection method, such as the submission and evaluation of bids, was used to procure this Contract, any amendment to increase this Contract that exceeds twenty percent (20%) of the original accepted bid amount shall be handled pursuant to 2 N.N.C. §223(F).

- 14. **Disputes; No Waiver of Sovereign Immunity**. Any and all disputes arising under, related to, or in connection with this Contract will be resolved first through negotiation between the **PARTIES** under the laws of the **NATION**. If negotiation does not resolve the dispute, the **NATION** may pursue legal action. Nothing herein shall be construed as a waiver of the **NATION**'S sovereign immunity.
- 15. **Termination**. The **NATION** may terminate this Contract at any time upon ten (10) days advance written notice to the **CONSULTANT**, in the event that: (a) the **NATION**, in its sole discretion, determines the **CONSULTANT'S** work or services provided are not satisfactory; (b) the **CONSULTANT** fails to submit reports and other documents as requested by the **NATION** within defined time schedules to the satisfaction of the **NATION**; (c) the **CONSULTANT** fails to submit verification of invoices to the **NATION** for payment to the satisfaction of the **NATION**; (d) the **CONSULTANT** is in breach of any material term or condition of this Contract; or (e) funds are not appropriated or otherwise made available to support continuation of this Contract.
- 16. <u>Applicable Law and Jurisdiction</u>. The **CONSULTANT** shall comply with all Navajo Nation laws, as they may be amended from time to time, including, but not limited to, the Navajo Business and Procurement Act, 12 N.N.C. §§1501 *et seq.*, the Navajo Preference in Employment Act, 15 N.N.C. §§601 *et seq.*, the Navajo Nation Business Opportunity Act, 5 N.N.C. §§201 *et seq.*, the Navajo Nation Corporation Act, 5 N.N.C. §§3101 *et seq.*, the Navajo Nation Limited Liability Company Act, 5 N.N.C. §§3600 *et seq.*, and the Navajo Uniform Commercial Code, 5A N.N.C. §§1-101 *et seq.*, and applicable regulations. The **CONSULTANT** agrees to be subject to the jurisdiction of Navajo Nation courts and tribunals.
- 17. <u>Pre-Contract Costs</u>. Costs incurred before the finalization of this Contract deemed reasonable, allowable, and allocable to performance of the Contract as agreed to by the **PARTIES** may be paid under this Contract.
- 18. Navajo Nation Taxes. The CONSULTANT shall comply with all applicable Navajo Nation tax laws under Title 24 of the Navajo Nation Code and corresponding regulations. The CONSULTANT is subject to and shall be liable for payment of the Navajo Nation Sales Tax, at the prevailing rate, on gross receipts for all work performed within the territorial jurisdiction of the Navajo Nation pursuant to 24 N.N.C. §§601 et seq., and the Navajo Nation Sales Tax Regulations §§6.101 et seq., as amended from time to time, except that work performed within the To'Nanees'Dizi Local Government ("Tuba City Chapter") or the Kayenta Township is subject to their respective local sales taxes as amended from time to time. In addition to being subject to Navajo Nation Sales Tax, the CONSULTANT is subject to local sales tax on gross receipts for all work performed within a governance-certified chapter that imposes a local sales tax pursuant to a duly enacted local tax ordinance and the Uniform Local Tax Code, 24 N.N.C. §§150 et seq.

The **CONSULTANT** shall segregate, on each invoice, the work performed within and outside the territorial jurisdiction of the Navajo Nation, and within and outside the jurisdictions of governance-certified chapters that impose a local sales tax. The **NATION** shall withhold from each payment to the **CONSULTANT** the applicable Navajo Nation Sales Tax and/or local sales tax due from the total invoice amount associated with work performed within the Navajo Nation and/or within governance-certified chapters that impose a local sales tax (excluding Tuba City Chapter and Kayenta Township). The amount withheld reflects the Navajo Nation Sales Tax and/or local sales tax due on such invoice amounts. The **NATION** shall transfer the withheld amount to the Office of the Navajo Tax Commission as payment of the Navajo Nation Sales Tax and/or local sales tax on behalf of the **CONSULTANT**. The **CONSULTANT** will then indicate on the quarterly tax return or returns required for the Navajo Nation Sales Tax and/or local sales tax that this amount

has been previously withheld and paid to the Office of the Navajo Tax Commission. It is hereby acknowledged that the **NATION** withholding amounts pursuant to this section in no way removes responsibility from the **CONSULTANT** as a taxpayer for timely filing of tax returns and timely payment of any other amounts, which may be owed for taxes.

The **CONSULTANT** is subject to the Tuba City Chapter Sales Tax on gross receipts for all work performed within the Tuba City Chapter pursuant to the To'Nanees'Dizi Local Government Tax Code, as may be amended from time to time, and shall pay the sales tax directly to the Tuba City Chapter. The **CONSULTANT** is subject to the Kayenta Township Sales Tax on gross receipts for all work performed within the Kayenta Township pursuant to the Kayenta Township Tax Ordinances, as may be amended from time to time, and shall pay the sales tax directly to the Kayenta Township. The **NATION** shall not withhold this portion of the tax that is directly payable to Tuba City Chapter or Kayenta Township.

The **CONSULTANT** is solely responsible for the payment of all applicable taxes.

- 19. Consultant Debarment; Suspension. If the CONSULTANT in its present form or any other identifiable capacity as an individual, business corporation, partnership or other entity is deemed ineligible, debarred, or suspended pursuant to the Navajo Business and Procurement Act, 12 N.N.C. §§1501, et seq. or the Navajo Nation Procurement Act, 12 N.N.C. §§301, et seq., the CONSULTANT is not legally able to enter into this Contract, and this Contract shall be null and void unless the factors that warranted the ineligibility, debarment or suspension have been sufficiently addressed as provided by applicable Navajo Nation laws.
- 20. <u>Insurance Coverage</u>. The **CONSULTANT** shall obtain and maintain adequate insurance coverage as recommended and verified by the Navajo Nation Risk Management Program ("RMP") for the entire term of the Contract. The insurance coverage shall name the **NATION** as an additional insured as specified by the RMP, and the **CONSULTANT** shall notify the contracting program and the RMP, c/o The Navajo Nation, P.O. Box 1690, Window Rock, Arizona 86515 within five days of any change in the insurance policy. Proof of such insurance is attached as **Exhibit C Certificate of Insurance**, which is made part of this Contract. The failure to fully comply with this provision shall render this Contract null and void.
- 21. <u>Conflicting and Additional Terms</u>. Any additional terms and conditions of the **CONSULTANT** are attached hereto and incorporated into this Contract, provided however that in the event of any conflict between the terms and conditions of this Contract and any of the **CONSULTANT'S** additional terms and conditions, the terms and conditions of this Contract shall control and govern. Any additional terms and conditions not attached to this Contract shall have no force or effect.

#### SIGNATURES OF THE CONTRACT

For The Navajo Nation:	
Branch Chief The Navaio Nation	Date
Post Office Box 9000	515
	Branch Chief The Navajo Nation

## **ATTACHMENT B – Scope of Work (include timeframe)**

FIRM NAME	
ADDRESS	
TELEPHONE NO.	

## EXHIBIT A – Accounting Codes and Budget

FIRM NAME ADDRESS		
TELEPHONE NO	)	
	ACCOUNTING CODES	
Account Number	Account Name	<u>Item Totals</u>
	\$ _	
	\$ _	
	- <u> </u>	
	TOTAL CONSULTANT FEES AND EXPENSES: \$	
The detailed by	ETAILED BUDGET TO THIS EXHIBIT A USING THE FO udget total must match the totals above and the totals on Pagost Estimate-Fees	
\$per day or pe	er hour xwork days or work hours outside the Navajo Nation:	\$
\$per day or pe	er hour xwork days or work hours within the Navajo Nation:	\$
	_% Navajo Nation tax on fees for work within the Navajo Nation:	\$
	Total Fees:	\$
-C	ost Estimate-Expenses	
	Travel (miles x \$per mile):	\$
	Meals (meals x \$per meal):	\$
	Lodging (\$per night xrequired overnight stays):	\$
	Airfare (\$per trip xtrips):	\$
M	laterials, supplies, and goods (list each item and associated cost):	\$
\	Total Expenses:	\$

#### **EXHIBIT B - Consultant Credentials**

FIRM NAME	
ADDRESS	
TELEPHONE NO.	

#### FOR INTERNAL GUIDANCE ONLY - Include in this section:

- 1. Consultant's current resume. If Consultant is a firm, use the resume of the primary responsible party,
- 2. Signed Navajo Nation Certification Regarding Debarment and Suspension,
- 3. Completed and signed W-9 Form, and
- 4. Any other credentials that are relevant to the work in this contract.

#### **EXHIBIT C - Certificate of Insurance**

FIRM NAME	
ADDRESS	
TELEPHONE NO	

### FOR INTERNAL GUIDANCE ONLY - Include in this section:

- 1. The Consultant's Certificate(s) of Insurance, and
- 2. The Risk Management Program's (RMP) signed Memorandum which indicates that this particular Certificate of Insurance meets RMP's minimum insurance requirements.

"General Decision Number: NM20210035 01/01/2021

Superseded General Decision Number: NM20200035

State: New Mexico

Construction Type: Highway

Counties: Dona Ana and San Juan Counties in New Mexico.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.95 for calendar year 2021 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.95 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2021. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification Number Publication Date 0 01/01/2021

ELEC0611-003 07/01/2014

Rates Fringes

ELECTRICIAN (Boom Operator).....\$ 29.79 12.74

\* TEAM0492-003 01/01/2020

SAN JUAN

Rates Fringes

TRUCK DRIVER (Dump)..........\$ 16.65 8.07

SUNM2011-003 08/25/2011

	Rates	Fringes
CARPENTER (Includes Form Work)		
Dona Ana		0.44
San Juan	\$ 13.42	0.44
CEMENT MASON/CONCRETE FINISHER.	\$ 13.65	0.26
ELECTRICIAN (Includes Traffic Signalization and Installation)  Dona Ana	¢ 25 Q1	9.45
San Juan	•	8.56
HIGHWAY/PARKING LOT STRIPING: Includes Highway Line/Parking Lot Line Striping and Line Striping Truck Driver		
Dona Ana San Juan		0.35 0.35
IRONWORKER, REINFORCING		
Dona Ana San Juan		6.03 5.85
Sali Juali	10.41	3.63
LABORER		
Common or General Dona Ana	\$ 11.95	0.35
San Juan	•	0.35
Flagger/Cone Setter		0.35
Mason Tender- Cement/Concrete	¢ 10 25	0.25
Pipelayer		0.35 5.04
POWER EQUIPMENT OPERATOR:		
Backhoe/Excavator/Trackhoe		
Dona Ana		0.26
San Juan		1.51
Bobcat/Skid Loader		0.26
Broom SweeperGrader/Blade		1.57 1.51
Loader(Front End)		0.26
Mechanic	\$ 23.24	1.51
Oiler		8.72
Piledriver	\$ 16.26	0.26
Roller (Asphalt and Dirt)	¢ 16 27	1 51
Dona Ana San Juan		1.51 1.60
Trencher		1.00
Dona Ana	•	0.26
San Juan	\$ 15.93	0.26
TRUCK DRIVER		
Dump Truck	<i>d</i> 45 01	0.05
Dona Ana		0.26 0.26
Pickup Truck	p 13.30	Ø.20
Dona Ana	\$ 12.14	0.26
San Juan		0.26
Water Truck		1.51 

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all

rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request

review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION