Clinical implication of asthma endotypes and phenotypes

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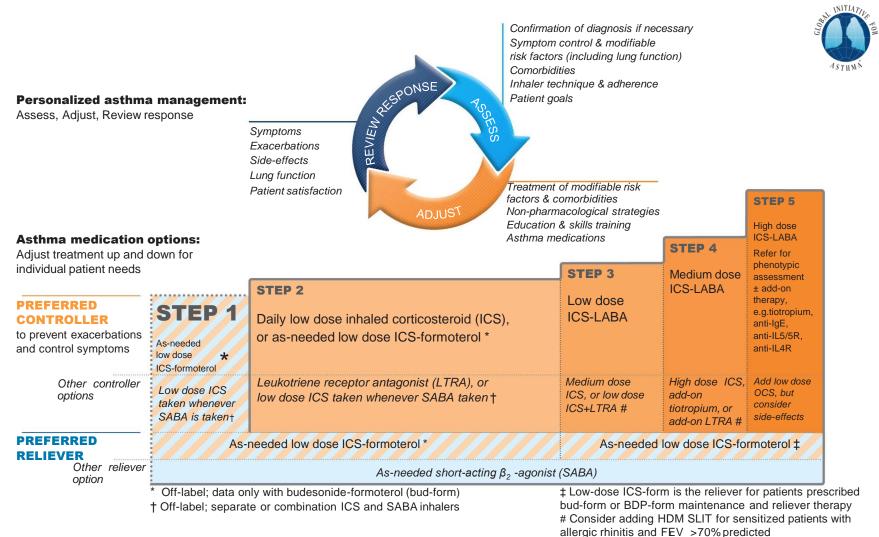
Disclosures

- Principal Investigator (Grant Funding): AstraZeneca, Chiesi, GSK, Novartis, Sanofi Aventis
- Consultant: Genentech, Novartis, Sanofi Aventis, Teva, Theravance, VIDA
- Speaker: Astra-Zeneca, Genentech, GSK, Regeneron, Sanofi, Teva
- Royalties: Elsevier

Objectives

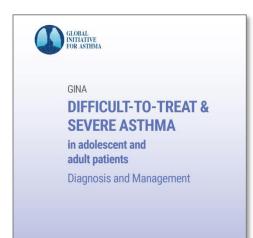
- Articulate the differences between phenotype and endotype
- Provide examples of biomarkers which can be used in asthma to predict risk and response to therapy
- Describe the key phenotypes in asthma and potential targets for therapy using the latest GINA guidelines on difficult-to-treat & severe asthma

Adults & adolescents 12+ years



Changes in GINA 2019 – severe asthma

- Pocket guide about difficult-to-treat and severe asthma
 - A practical guide for primary and specialist care
 - Includes a decision tree about assessment and management of adults and adolescents with uncontrolled asthma or exacerbations despite Step 4-5 treatment
 - Includes strategies for clinical settings in which biologic therapy is not available or affordable
 - First published in November 2018
- V2.0 Pocket Guide published April 2018
 - Also included in full GINA 2019 report
 - Includes anti-IL4 receptor alpha (dupilumab)
 - Extension of biologic treatment trial to 6-12 months if response to initial therapy is unclear

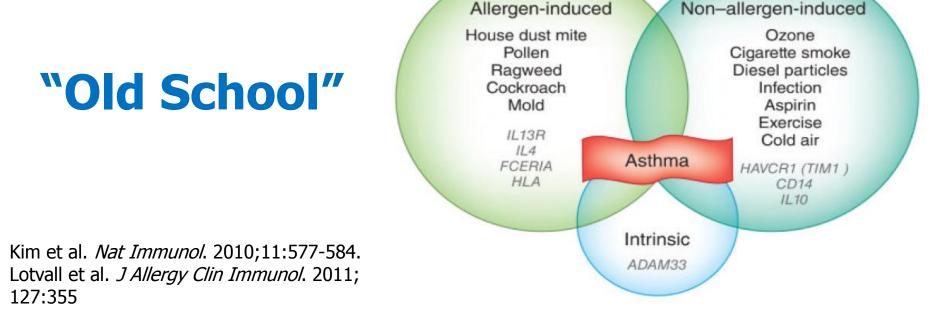


A GINA Pocket Guide For Health Professionals

V2.0 April 2019

Asthma is a Complex Heterogeneous Disease

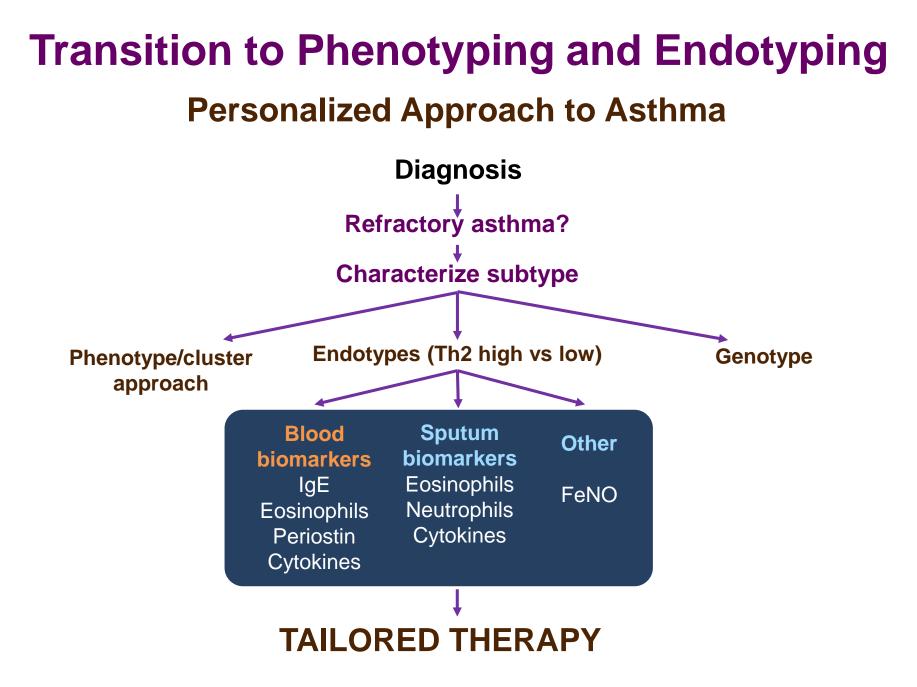
- Asthma likely encompasses many different disease variants with different etiologies and pathophysiologies
 - Many phenotypes exist and are determined by clinical characteristics, physiology, triggers, and inflammatory parameters
 - Multiple environmental and genetic factors contribute to the disease



Categorizing Asthma by Endotype

- Phenotype: The observable characteristics of a disease, such as morphology, development, biochemical or physiological properties, or behaviour.
 - Patients with an identified phenotype of obstructive lung disease may share a cluster of clinical, functional and/or inflammatory features, without any implication of a common underlying mechanism
 - Examples: allergic asthma, aspirin-exacerbated respiratory disease, severe eosinophilic asthma
- Endotype: A subtype of disease, defined functionally and pathologically by a distinct molecular mechanism or by distinct treatment responses
 - Among patients with obstructive lung disease, there are likely to be several specific endotypes associated with divergent underlying molecular causes, and with distinct treatment responses. These endotypes may or may not align with clinical or inflammatory phenotypes identified from studies limited to asthma or to COPD
 - Examples: emphysema due to alpha1-antitrypsin deficiency
- Biomarker: A defined characteristic measured as an indicator of normal biologic processes, pathogenic processes or response to an intervention
 - Potential examples: FeNO, blood eosinophils but these may not meet quality criteria for biomarkers

Lotvall et al. *J Allergy Clin Immunol*. 2011; 127:355-60. Anderson, Lancet 2008 Reddel, ERJ Open Research 2019



Dunn RM, Wechsler ME. Clin Pharmacol Ther. 2015;97:55-65.

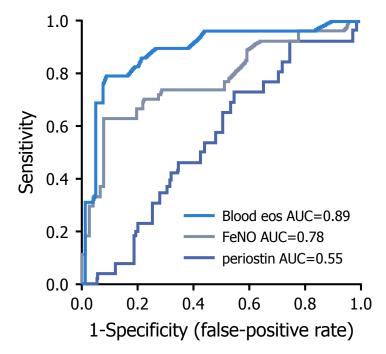
Potential Biomarkers for Asthma Assessment and Management

Biomarker	Characteristics
Sputum EOS	 Severe allergic and eosinophilic asthma Increased exacerbations and poor lung function
Blood EOS	 Severe allergic and eosinophilic asthma Increased exacerbations and poor lung function
IgE	Severe allergic asthma
FeNO	 Indicator of oxidative and nitrative stress Severe allergic and eosinophilic asthma
Periostin	 Potentially allergic and eosinophilic asthma

EOS = eosinophil; FeNO = exhaled nitric oxide fraction; Ig = immunoglobulin. **1**. Chung KF. *Eur Respir J.* 2014;43:343-373.

Relation of sputum eosinophils with blood eosinophils, FeNO and periostin

ROC curve



NPV: negative predictive value, PPV: positive predictive value

Cut off for each marker to detect sputum eosinophils $\geq 3\%$

	Threshold	Sensitivity	Specificity	PPV	NPV
Blood eosinophils	>0.22 × 10 ⁹ /L	86	79	60	93
	≥0.25 × 10 ⁹ /L	79	84	64	91
	≥0.27 × 10 ⁹ /L	78	91	79	91
FeNO	>20ppb	74	57	40	87
	≥24ppb	74	63	42	87
	≥42ppb	63	92	74	89
	>50ppb	56	92	67	84
Serum periostin	>26ng/mL	54	57	29	77

Subjects: 110 patients with mild to moderate asthma

Methods: The relation of sputum eosinophils with blood eosinophils, exhaled nitric oxide level (FeNO), serum periostin was evaluated.

Measuring Eosinophils in Clinical Practice

Peripheral Blood Eosinophils

- Common blood test¹
- Widely available (complete blood count with differential)^{1,2}
- Accuracy varies; levels fluctuate throughout the day¹
- Increased levels can correlate with exacerbations and loss of control³



Sputum Eosinophils

- Accurate predictor of steroid response¹
- Time consuming¹
- Labour intensive¹
- Not readily available¹

Peripheral blood eosinophils are a practical biomarker to detect eosinophilic asthma in routine clinical practice and can be used to inform clinical decisions^{4,5}

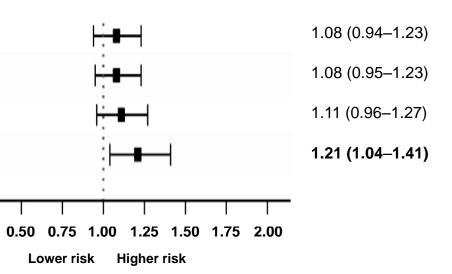
1. Carr TF, et al. *World Allergy Organ J.* 2016;9:21. 2. George-Gay B and Parker K. *J Perianesth Nurs.* 2003;18:96–114; quiz 115–117. 3. Price DB, et al. *Lancet Respir Med.* 2015;3:849–858. 4. Katz LE, et al. *Ann Am Thorac Soc.* 2014;11:531–536. 5. GINA. Global Strategy for Asthma Management and Prevention. 2017. http://ginasthma.org/gina-reports/. Accessed 13 March 2018.

Patients with High EOS Levels Were More Likely to Have Inadequately Controlled Asthma

Eosinophil cells/µL (% patients)

Risk ratio for asthma exacerbations (95% CI)

Eosinophil level ≥150 (62.5%) vs <150 (37.5%) Eosinophil level ≥200 (48.7%) vs <200 (51.3%) Eosinophil level ≥300 (30.1%) vs <300 (69.9%) Eosinophil level ≥400 (18.3%) vs <400 (81.7%)



A retrospective cohort study (N=2392) was conducted to assess the association between high EOS levels and the risk of future exacerbations in the following 12 months

 Exacerbations were defined as asthma outpatient visits that required systemic CS, ED visits, or hospitalizations

Patients with EOS levels ≥400 cells/µL were significantly more likely to have inadequately controlled asthma and increased exacerbations

30% increase in asthma exacerbation rate (rate ratio 1.31; 95% CI, 1.07-1.60; P=0.009)

CI = confidence interval; CS = corticosteroid; ED = emergency department. **1.** Zeiger RS, et al. *J Allergy Clin Immunol.* 2014;2(6):741-750.

Elevated Peripheral Blood Eosinophil Levels Correlate with Several Asthma Outcomes

Unadjusted rate ratio or risk ratio of asthma outcomes over a 12-month period, by blood eosinophil count ≥400/mm³ versus <400/mm³ as determined during the previous year^a

Asthma Outcomes Over 12 months	Eosinophil ≥400/mm³, No. (%) (n=437)	Eosinophil <400/mm³, No. (%) (n=1955)	Unadjusted Rate Ratio or Risk Ratio (95% CI)ª	<i>P</i> value ^b
Asthma exacerbation	0.57°	0.37°	1.52 (1.23–1.88)	<0.001
Any asthma exacerbation	142 (32.5)	465 (23.8)	1.37 (1.17–1.60)	<0.001
≥2 Asthma exacerbations	50 (11.4)	154 (7.9)	1.45 (1.07–1.96)	0.02
Any asthma ED visit	46 (10.5)	116 (5.9)	1.77 (1.28–2.46)	<0.001
Any asthma hospitalisation	19 (4.3)	38 (1.9)	2.24 (1.30–3.84)	0.004
Any asthma ED and/or hospitalisation	46 (10.5)	120 (6.1)	1.71 (1.24–2.37)	0.001
≥7 SABA canisters dispensed	150 (34.3)	475 (24.3)	1.41 (1.21–1.64)	<0.001

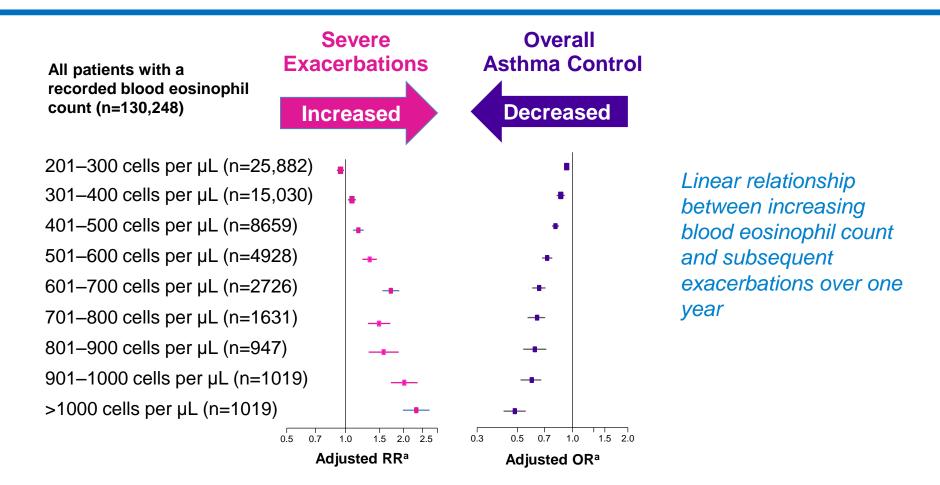
^a Outcomes occurring in the year 2011 according to electronic pharmacy and healthcare data, by blood eosinophil count determined in the year 2010.

^b Negative binomial and Poisson regression models with robust error variance were used to estimate the rate ratio and risk ratio, respectively, their 95% CIs, and to derive the *P* values.

 $^{\rm c}$ Data are rate/y. ED=emergency department; SABA=short-acting β_2 -agonist.

Zeiger RS, et al. J Allergy Clin Immunol Pract. 2014;2:741–750.

Elevated Peripheral Blood Eosinophils are Associated with Worse Asthma Control and Increased Risk of Severe Exacerbations



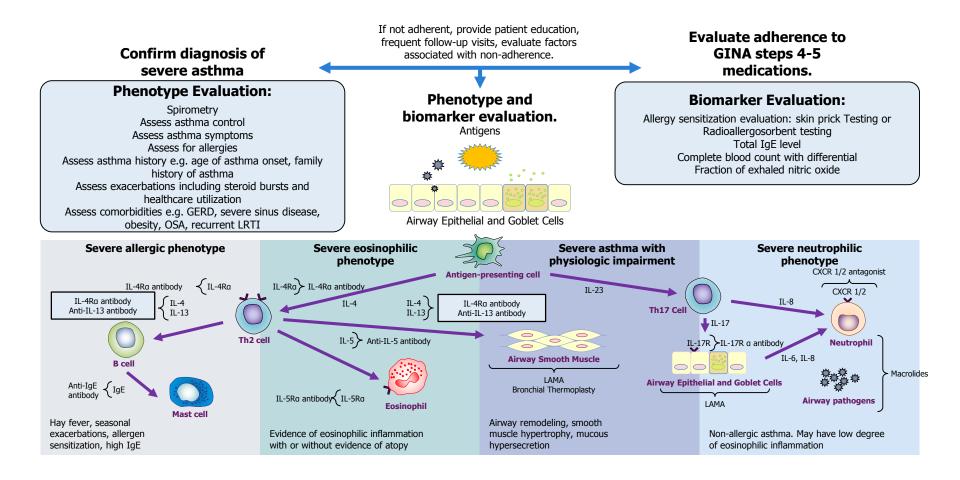
^aData from medical records of asthmatics,12–80 years of age, with 2 years of continuous records, including 1 year before (baseline) and 1 year after (outcome) their most recent eosinophil count. Patients assigned to 9 eosinophil count categories compared with a reference category of 200 cells per µL or less (N=68,407). Adjusted for age, sex, body-mass index, smoking status and Charlson comorbidity index score.

^bSub-population of patients with available blood eosinophil counts to two decimal places.

Potential phenotype-targeted therapies in severe asthma

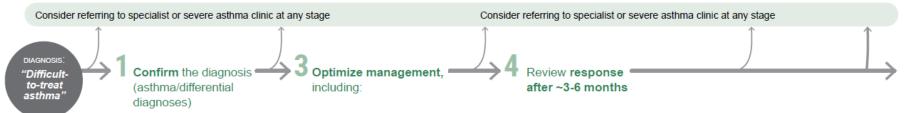
Characteristic	Associations	Specifically-targeted treatments
Severe allergic	High eosinophil	Anti-IgE (adults and children)
asthma	High serum IgE	Anti-IL-4/IL-13
	High FeNO	IL-4/R
Eosinophilic asthma	High serum IgE	Anti-IL-5
	Recurrent exacerbations	Anti-IL-4/-13
	High FeNO	IL-4/R
Non-eosinophilic,	Corticosteroid insensitivity	Anti-IL-8
neutrophilic asthma	Bacterial infections	CXCR2 antagonists
		Anti-LTB4 (adults and children)
		Macrolides (adults and children)
Chronic airflow	Airway wall remodelling as	Anti-IL-13
obstruction	increased airway wall thickness	Bronchial thermoplasty
Recurrent	Eosinophils in sputum	Anti-IL-5
exacerbations	Reduced response to ICS ± OCS	Anti-IgE (adults and children)
Corticosteroid	High neutrophils in sputum	p38 MAPK inhibitors
insensitivity		Theophylline (adults and children)
		Macrolides (adults and children)

Add-on therapy by phenotype in severe asthma



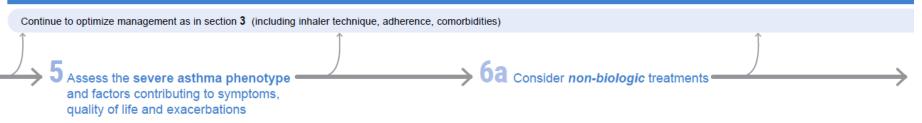


Investigate and manage adult and adolescent patients with difficult-to-treat asthma





Assess and treat severe asthma phenotypes





Assess and treat severe asthma phenotypes contid

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

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Investigate and manage adult and adolescent patients with

difficult-to-treat asthma

Consider referring to specialist or severe asthma clinic at any stage



For adolescents and adults with symptoms and/or exacerbations despite GINA Step 4 treatment, or taking maintenance OCS

DIAGNOSIS

"Difficultto-treat asthma"

> Look for factors contributing to symptoms, exacerbations and poor quality of life:

Confirm the diagnosis *

(asthma/differential diagnoses)

Consider referring to specialist or severe asthma clinic at any stage

- Incorrect inhaler technique
- · Suboptimal adherence
- Comorbidities including obesity, GERD, chronic rhinosinusitis, OSA
- Modifiable risk factors and triggers at home or work, including smoking, environmental exposures, allergen exposure (if sensitized on skin prick testing or specific IgE); medications such as beta-blockers and NSAIDs

Overuse of SABA relievers
Medication side effects

· Anxiety, depression and

social difficulties

decision, filters

Key

intervention, treatment



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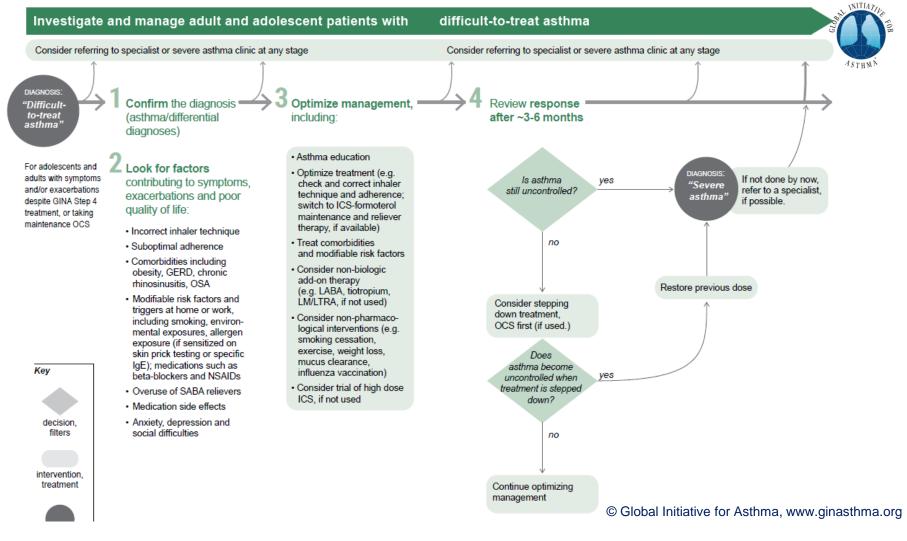
Asthma education

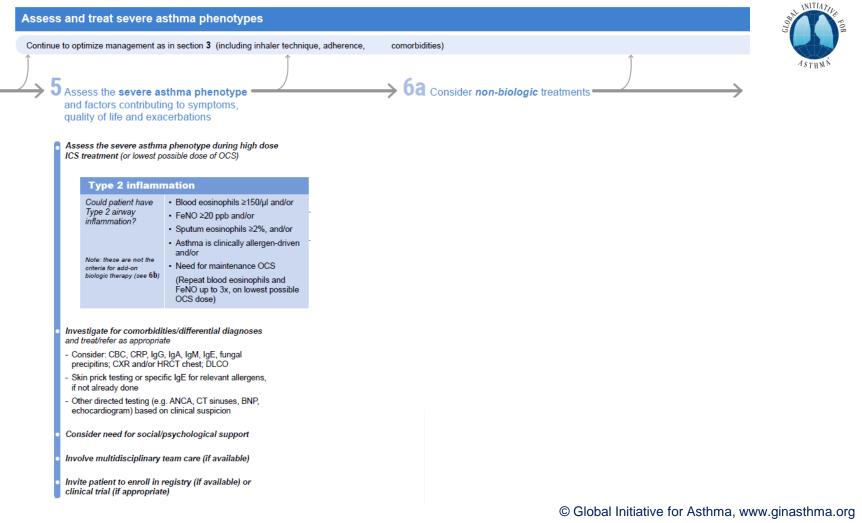
including:

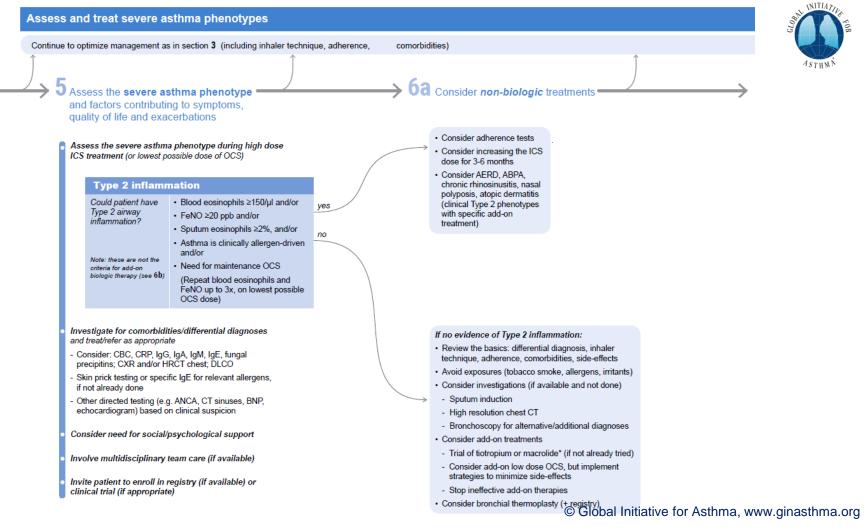
 Optimize treatment (e.g. check and correct inhaler technique and adherence; switch to ICS-formoterol maintenance and reliever therapy, if available)

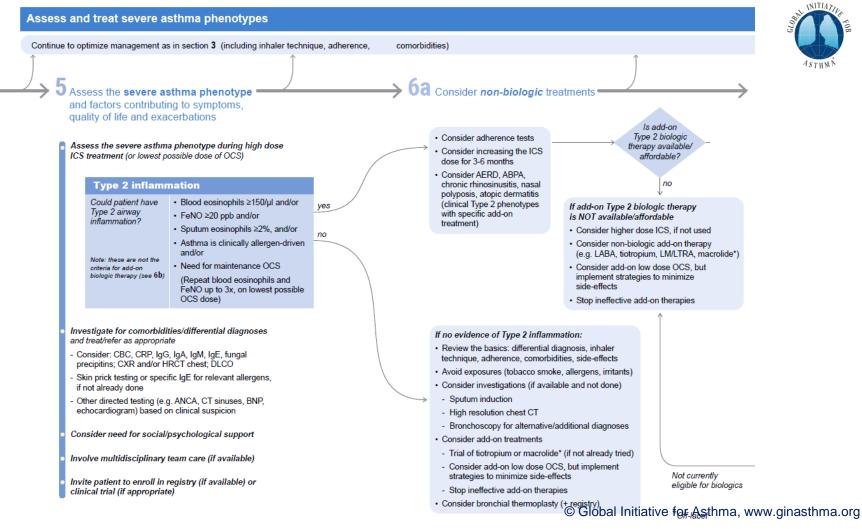
Optimize management,

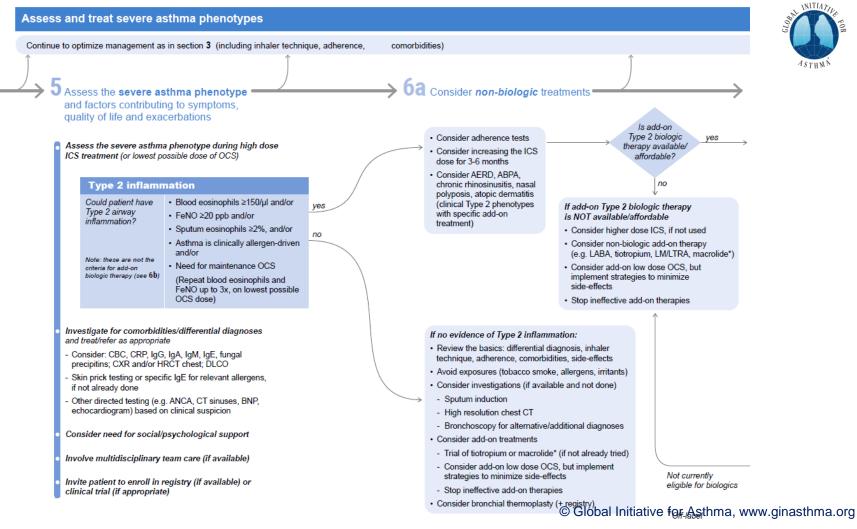
- Treat comorbidities and modifiable risk factors
- Consider non-biologic add-on therapy (e.g. LABA, tiotropium, LM/LTRA, if not used)
- Consider non-pharmacological interventions (e.g. smoking cessation, exercise, weight loss, mucus clearance, influenza vaccination)
- Consider trial of high dose ICS, if not used











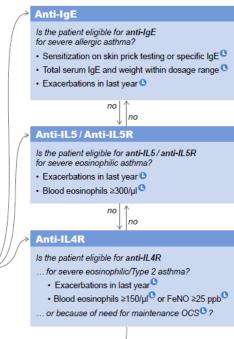


Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

Consider add-on biologic Type 2 targeted treatments

- Consider add-on Type 2-targeted biologic for patients with exacerbations or poor symptom control on high dose ICS-LABA, who: O
 - have eosinophilic or allergic biomarkers, or
- need maintenance OCS
- Consider local payer eligibility criteria and predictors of response when choosing between available therapies
- Also consider cost, dosing frequency, route (SC or IV), patient preference

Which biologic is appropriate to start first?



Eligible for none? Return to section 6a

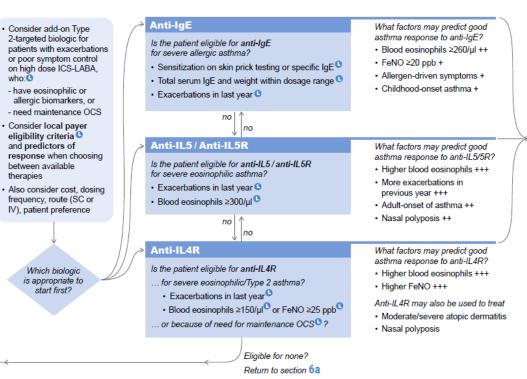
Check local eligibility criteria for specific biologic therapies as these may vary from those listed

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Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

Consider add-on biologic Type 2 targeted treatments



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Assess and treat severe asthma phenotypes cont'd

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities)

Return to section 6a

- Consider add-on Type 2-targeted biologic for patients with exacerbations or poor symptom control on high dose ICS-LABA, who: C
- have eosinophilic or allergic biomarkers, or
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- Consider local payer eligibility criteria ¹ and predictors of response when choosing between available therapies
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Which biologic is appropriate to start first?

Anti-laE What factors may predict good asthma response to anti-IgE? Is the patient eligible for anti-IgE Blood eosinophils ≥260/µl ++ for severe allergic asthma? Extend trial to FeNO ≥20 ppb + Sensitization on skin prick testing or specific IgE¹ 6-12 months Allergen-driven symptoms + Total serum IgE and weight within dosage range Childhood-onset asthma + Exacerbations in last year unclear no Choose one no if eligible; Good ves asthma trial for at least Anti-IL5 / Anti-IL5R response? Good response What factors may predict good 4 months and asthma response to anti-IL5/5R? • to T2-targeted assess response Is the patient eligible for anti-IL5 / anti-IL5R therapy Higher blood eosinophils +++ no for severe eosinophilic asthma? More exacerbations in Exacerbations in last year previous year +++ Blood eosinophils ≥300/µl^C Adult-onset of asthma ++ STOP add-on Nasal polyposis ++ no Consider switching no to a different Type Anti-IL4R What factors may predict good 2-targeted therapy, asthma response to anti-IL4R? if eligible Is the patient eligible for anti-IL4R Higher blood eosinophils +++ .. for severe eosinophilic asthma? no Higher FeNO +++ Exacerbations in last year⁰ Anti-IL4R may also be used to treat Blood eosinophils ≥150/µl^e or FeNO ≥25 ppb^e Moderate/severe atopic dermatitis Little/no response .. or because of need for maintenance OCS^D? to T2-targeted Nasal polyposis therapy Eligible for none?

Monitor / Manage severe asthma treatment

Continue to optimize management

Review response

- Asthma: symptom control, exacerbations, lung function
- Type 2 comorbidities
 e.g. nasal polyposis, atopic dermatitis
- Medications: treatment intensity, side-effects, affordability
- Patient satisfaction

If good response to Type 2-targeted therapy

- Re-evaluate the patient every 3-6 months¹
- · For oral treatments: consider decreasing/stopping OCS first,
- yes then stopping other add-on medication
 - For inhaled treatments: consider decreasing after 3-6 months; continue at least moderate dose ICS
 - · Re-evaluate need for ongoing biologic therapy
 - Order of reduction of treatments based on observed benefit, potential side-effects, cost and patient preference



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If no good response to Type 2-targeted therapy

- · Stop the biologic therapy
- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects, emotional support
- · Consider high resolution chest CT (if not done)
- · Reassess phenotype and treatment options
- no Induced sputum (if available)
 - Consider add-on macrolide*
 - Consider add-on low dose OCS, but implement strategies to minimize side-effects
 - Consider bronchoscopy for alternative/additional diagnoses
 - Consider bronchial thermoplasty (+ registry)
 - · Stop ineffective add-on therapies
 - · Do not stop ICS

*Off-label



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Continue to **optimize management** as in section **3**, including:

- Inhaler technique
- Adherence
- · Comorbidity management
- · Patients' social/emotional needs
- Two-way communication with GP for ongoing care

Notes:



Phenotypes of severe asthma - Personalized medicine with biologics

- Assess phenotypes utilizing lowest levels of blood/sputum eosinophils, FeNO associated with good response to biologics
- Current biologic therapy for the severe uncontrolled asthma phenotype is highly effective but likely represents less than 60% of patients
- Local regulatory/payer criteria may impact selection
- Targeted phenotype therapy can lead to personalized medical therapy for asthma

