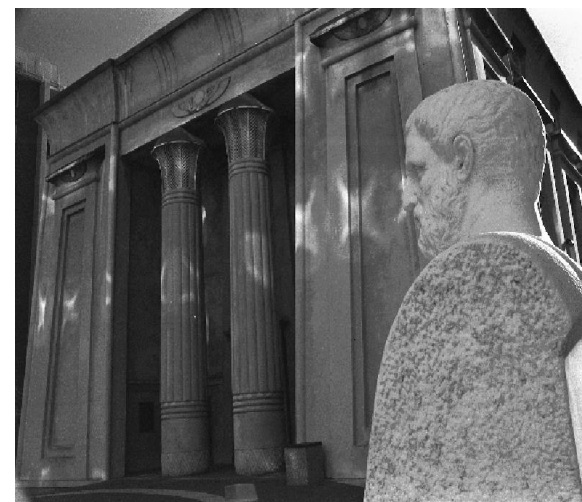


Eastern Pulmonary Conference  
January 2021

# Treatment and “Overtreatment” of Hypoxemic Respiratory Failure



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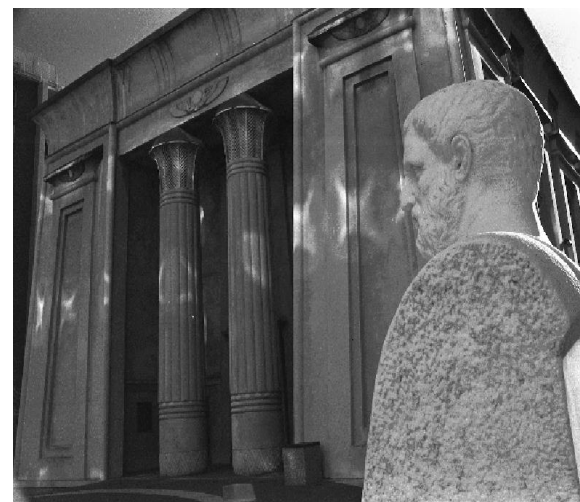
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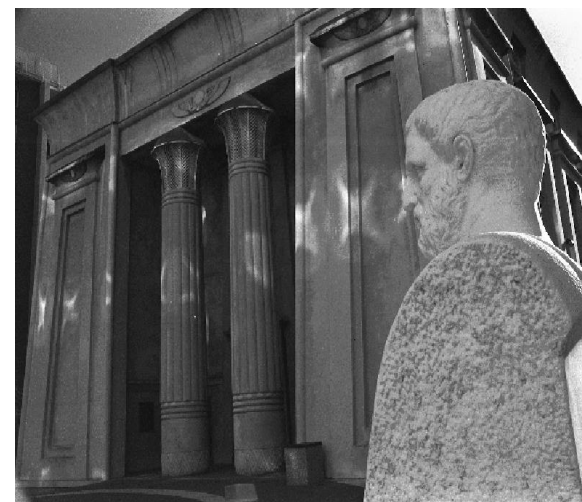
# Treatment and “Overtreatment” of Hypoxemic Respiratory Failure



Curtis N. Sessler, MD, FCCP, FCCM, ATSF

Nothing to disclose

# Treatment and “Overtreatment” of Hypoxemic Respiratory Failure

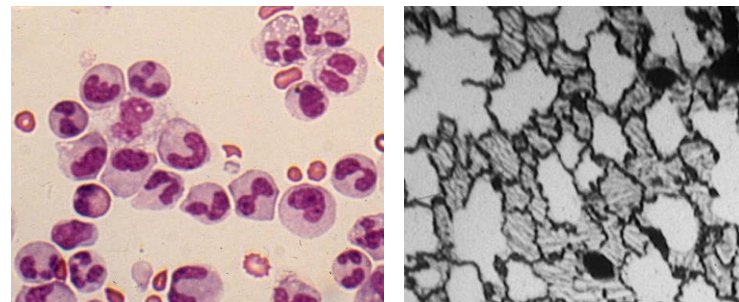


Learning Objectives: Upon completion of this learning activity, participants should be able to...

1. Apply a structured outline to managing the patient with hypoxemic respiratory failure
2. Examine the pitfalls of “over-treating” hypoxemic respiratory failure, particularly related to mechanical ventilation

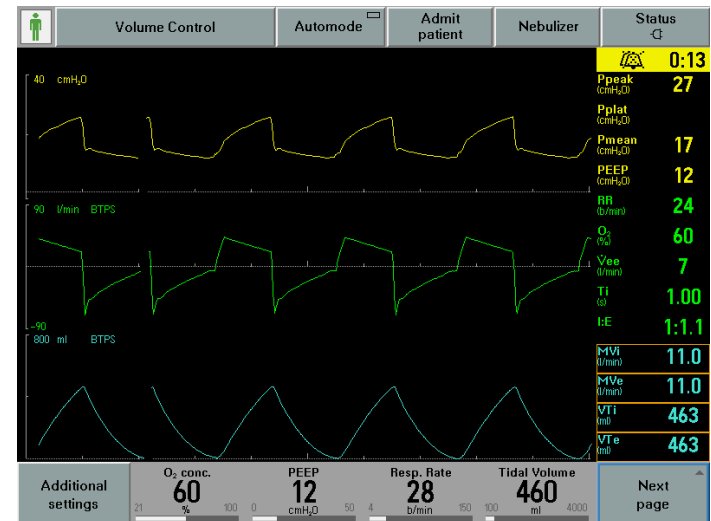
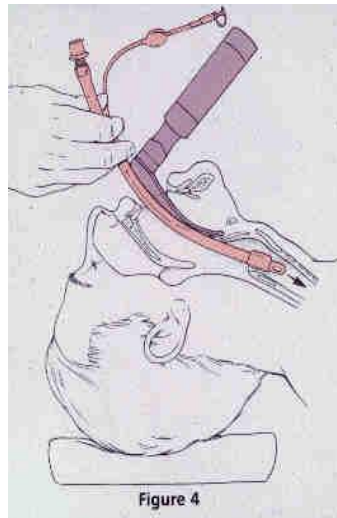
# Acute Hypoxemic Respiratory Failure: Often Due to ARDS

ARDS is an acute diffuse, inflammatory lung injury, leading to increased pulmonary vascular permeability, pulmonary edema, and loss of aerated lung tissue with hypoxemia and bilateral radiographic opacities, associated with reduced lung compliance.



# Management of Hypoxemic Respiratory Failure

- Immediate evaluation & stabilization
- Supplemental oxygen
- Mechanical ventilation\*
- Supportive care
- Identification & management of causative conditions



# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?

- Does your patient need invasive ventilation?
- Invasive ventilation...
  - More sedation
  - Reduce mobility
  - More tubes and lines
  - Airway and ventilator complications

# High-Flow Nasal Oxygen

- High FiO<sub>2</sub> + high flow rates (control each)
- Constant FiO<sub>2</sub> during peak inspiratory flow
- Low level CPAP; increased end-expiratory pressure (only about 1 cmH<sub>2</sub>O/10lpm flow)
- Better oxygenation, reduced work of breathing via dead space washout and intrinsic PEEP
- Gases warmed and humidified
  - Improved comfort
  - Reduced airway inflammation
  - Improved drainage of respiratory secretions

# High-Flow Nasal Oxygen > NPPV or Face Mask for Acute Hypoxemic Respiratory Failure

- Multicenter, open-label trial of 310 patients with acute hypoxemic respiratory failure ( $P/F < 300$ ) in 23 ICUs
  - High flow nasal O<sub>2</sub> at 50 lpm, FiO<sub>2</sub> 1.0 - adjusted
  - Nonrebreather face mask at 10 lpm – adjusted
  - NPPV face mask: PSV so Vt 7-10ml/kg, PEEP 2-10 cm H<sub>2</sub>O - adjusted
- Patients randomized to high-flow nasal O<sub>2</sub>...
  - More ventilator-free days ( $p=0.02$ )
  - Higher probability of survival ( $p=0.02$ )
  - Less likely to be intubated if  $P/F \leq 200$  ( $p=0.009$ )



# Noninvasive Ventilation for Mild ARDS?

## Noninvasive Ventilation of Patients with Acute Respiratory Distress Syndrome

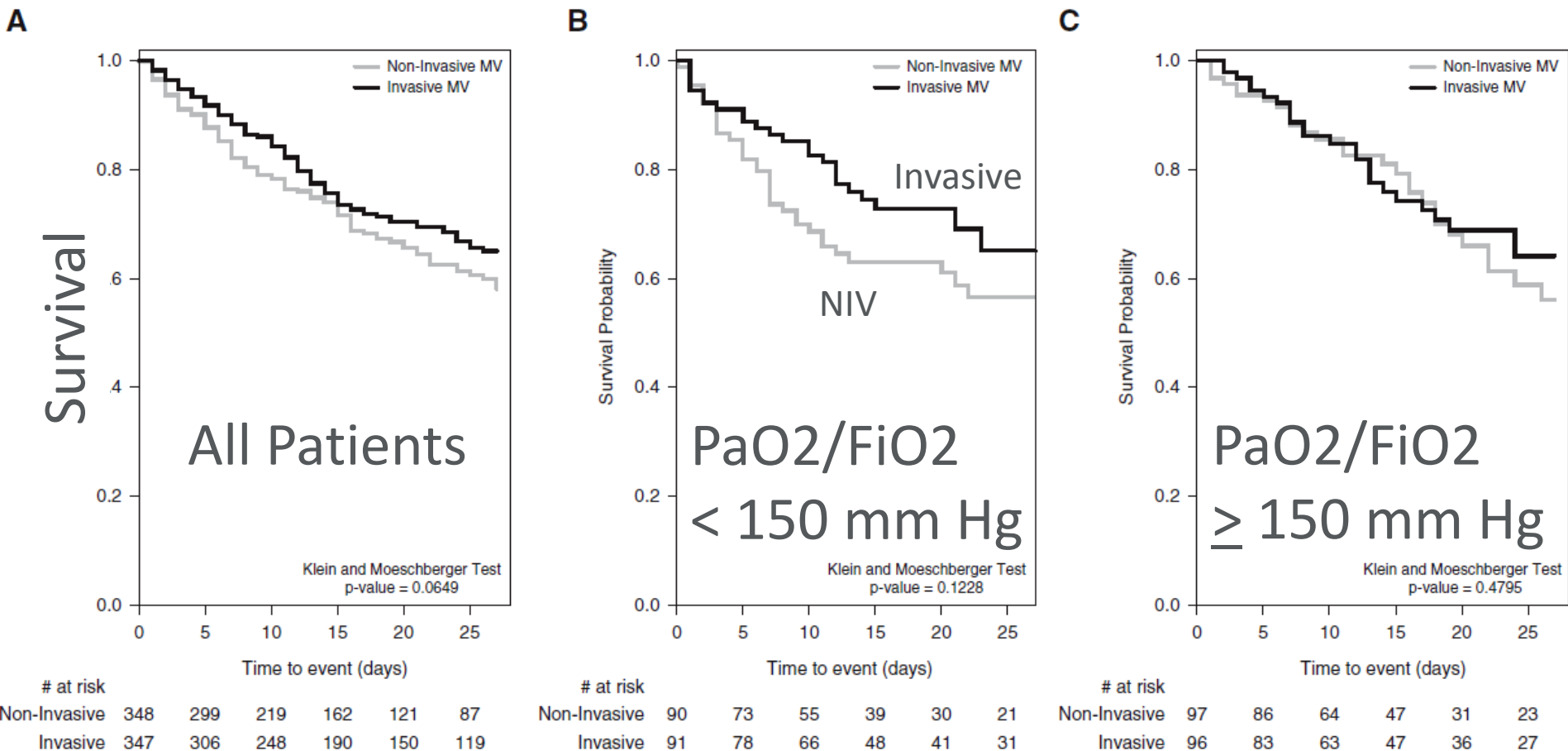
Insights from the LUNG SAFE Study

Am J Respir Crit Care Med 2017

Giacomo Bellani<sup>1,2</sup>, John G. Laffey<sup>3,4,5,6,7,8</sup>, Tàì Pham<sup>9,10,11</sup>, Fabiana Madotto<sup>12</sup>, Eddy Fan<sup>8,13,14,15</sup>, Laurent Brochard<sup>4,5,8,14</sup>, Andres Esteban<sup>16</sup>, Luciano Gattinoni<sup>17</sup>, Vesna Bumbasirevic<sup>18,19</sup>, Lise Piquilloud<sup>20,21</sup>, Frank van Haren<sup>22,23</sup>, Anders Larsson<sup>24</sup>, Daniel F. McAuley<sup>25,26</sup>, Philippe R. Bauer<sup>27</sup>, Yaseen M. Arabi<sup>28,29</sup>, Marco Ranieri<sup>30</sup>, Massimo Antonelli<sup>31</sup>, Gordon D. Rubenfeld<sup>8,14,32</sup>, B. Taylor Thompson<sup>33</sup>, Hermann Wrigge<sup>34</sup>, Arthur S. Slutsky<sup>5,8,14</sup>, and Antonio Pesenti<sup>35,36</sup>; on behalf of the LUNG SAFE Investigators and the ESICM Trials Group\*

- Of the 2813 patient with ARDS, 436 (15%) were managed on NIV
- NIV Failure in 22% Mild, 42% Moderate, 47% Severe ARDS
- NIV use associated with increased ICU mortality (HR 1.44 (1.16-1.81)), not hospital mortality

# Worse Outcomes With NIV If PaO<sub>2</sub>/FiO<sub>2</sub> < 150 mm Hg but ok with mild ARDS



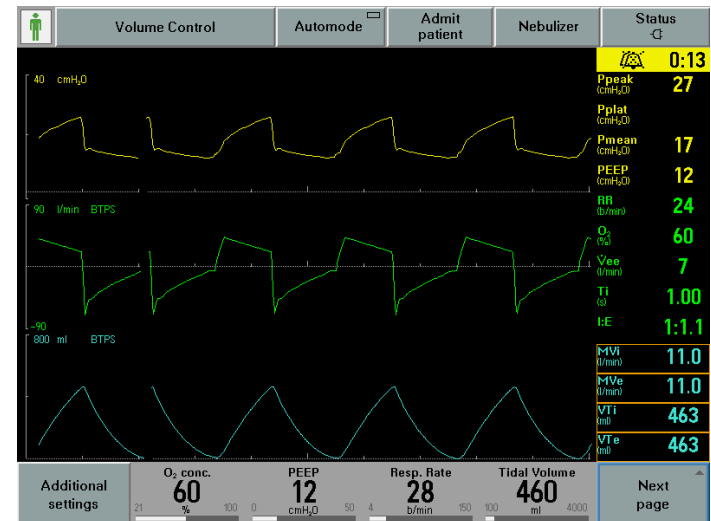
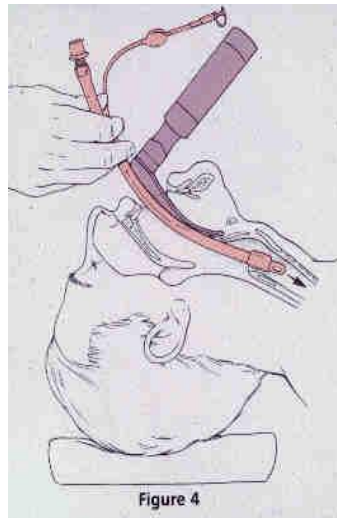
# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?

- Consider a trial of HFNO<sub>2</sub>
  - Prefer HFNO<sub>2</sub> over NIV

# Management of Hypoxemic Respiratory Failure

- Immediate evaluation & stabilization
- Supplemental oxygen
- Mechanical ventilation\*
- Supportive care
- Identification & management of causative conditions



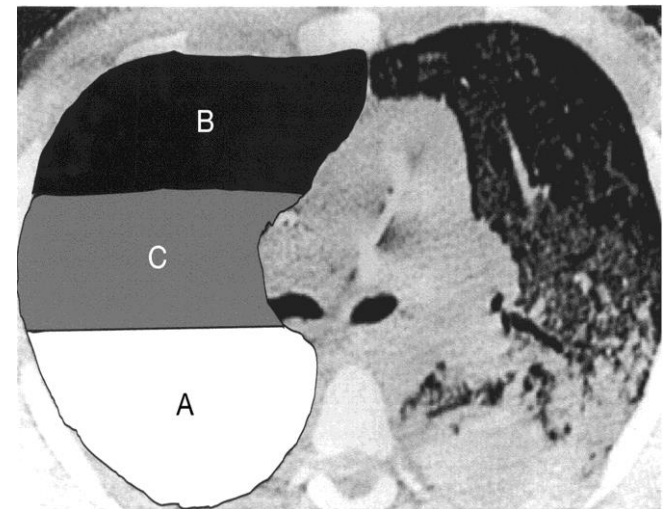
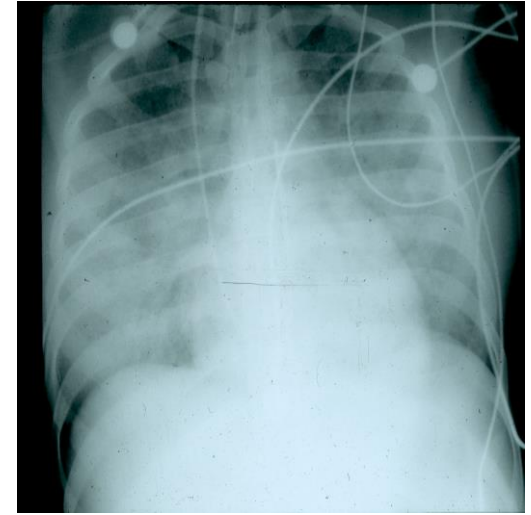
# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume

- Physiologic rationale and outcome studies favor smaller over larger tidal volumes during invasive ventilation of ARDS

# Lung *Protective* Mechanical Ventilation for ARDS

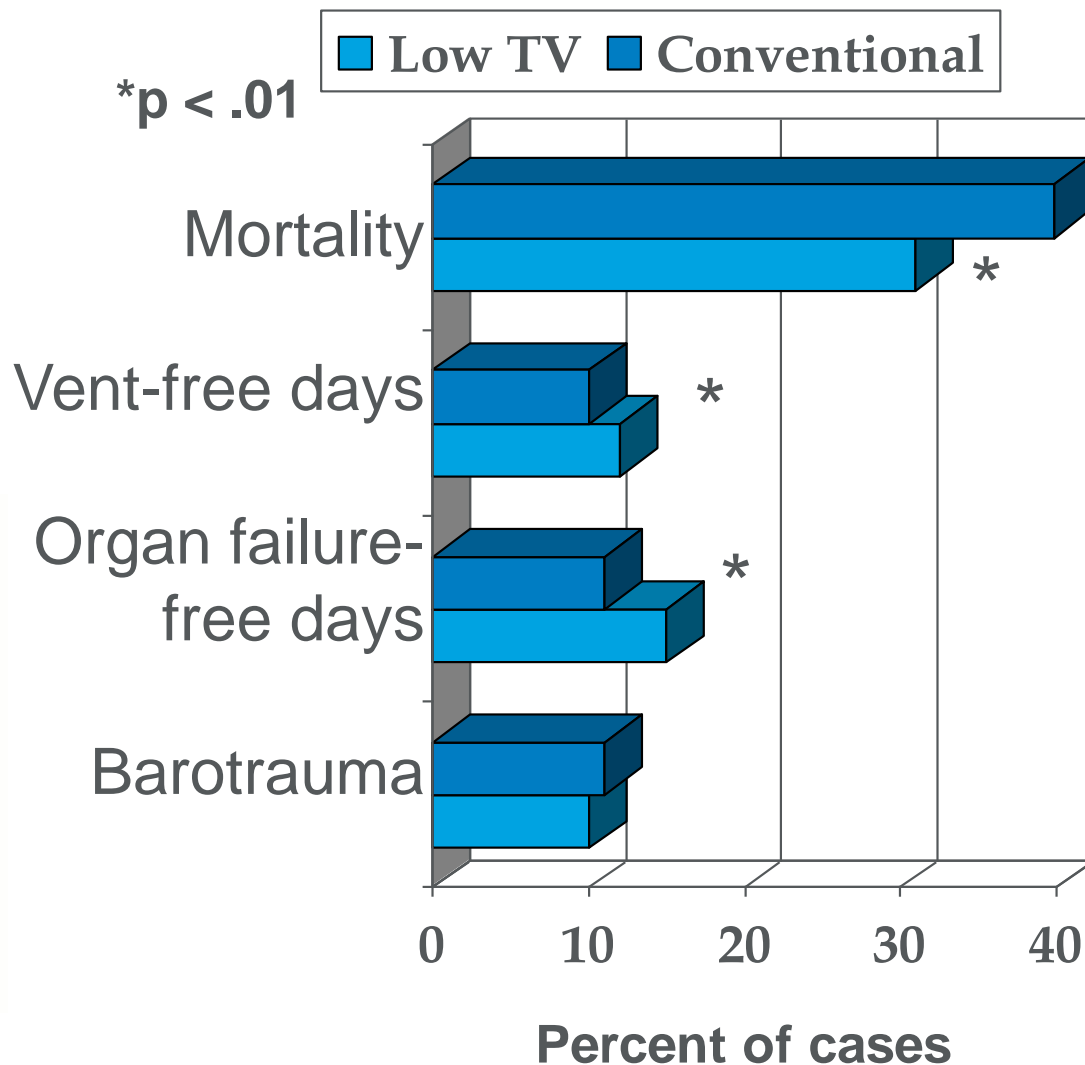
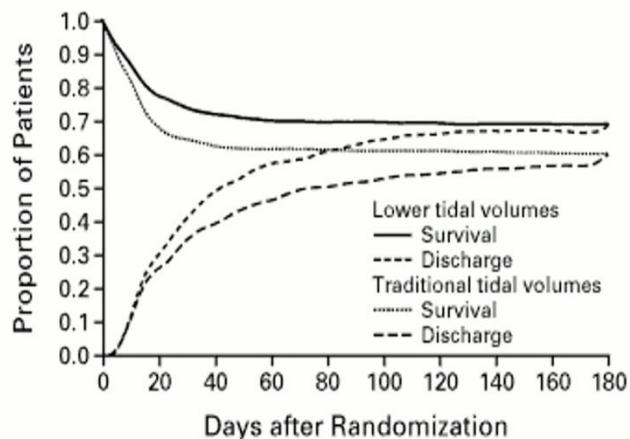
- Lung injury is heterogeneous, but with functional “compartments”:
  - Normal lung (B) – potential for over-distention
  - Atelectatic, but recruitable lung (C) – potential for cyclic recruitment / collapse
  - Densely consolidated lung (A) – poorly recruitable



# Low Tidal Volume Ventilation

ARDS Network. N Engl J Med 2000; 342:1301

Randomized trial of conventional TV (11.8 ml/kg) vs low TV (6.2 ml/kg) ventilation in 861 patients with ALI



# ATS Guideline Recommendation

- We recommend that adult patients with ARDS receive mechanical ventilation with strategies that limit tidal volumes (4 – 8 ml/kg PBW) and inspiratory pressures (plateau pressure < 30 cm H<sub>2</sub>O)  
Strong recommendation, moderate confidence in effect estimates



## Utilize Strategies to Improve Lung Protective Ventilation

Male		Female		Predicted body weight (in kg)	6 ml/kg tidal volume (in ml)
Height in inches	Height in cm	Height in inches	Height in cm		
58	147	60	152	45.5	272
60	152	62	157	50	300
62	157	64	163	54.7	328
64	163	66	168	59	355
66	168	68	173	64	383
68	173	70	178	68.5	410
70	178	72	183	73	438
72	183	74	188	78	466
74	188	76	193	82	493

## Utilize Strategies to Improve Lung Protective Ventilation

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68	173	70	178	68.5	410
70	178	72	183	73	438
72	183	74	188	78	466
74	188	76	193	82	493

# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume

- Use low tidal volume ventilation avoiding  
OVERLY LARGE TIDAL VOLUMES

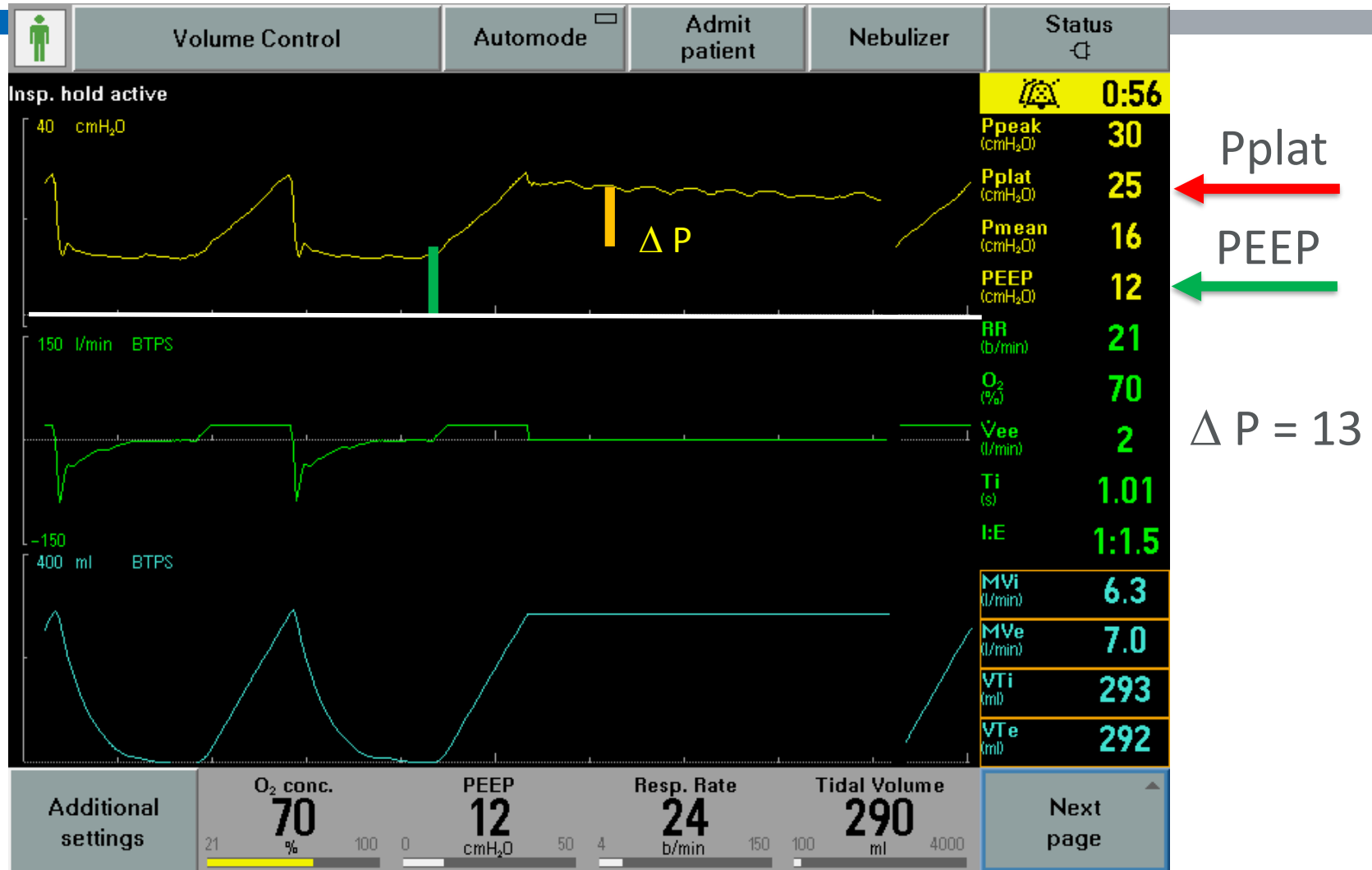
**NOT TOO BIG**

# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P

- Stiff, non-compliant lungs require higher inflation pressure to produce a given tidal volume.
- Evidence that higher alveolar pressure as well as “driving” pressure are bad

# Avoid Excessive Plateau & Driving Pressures

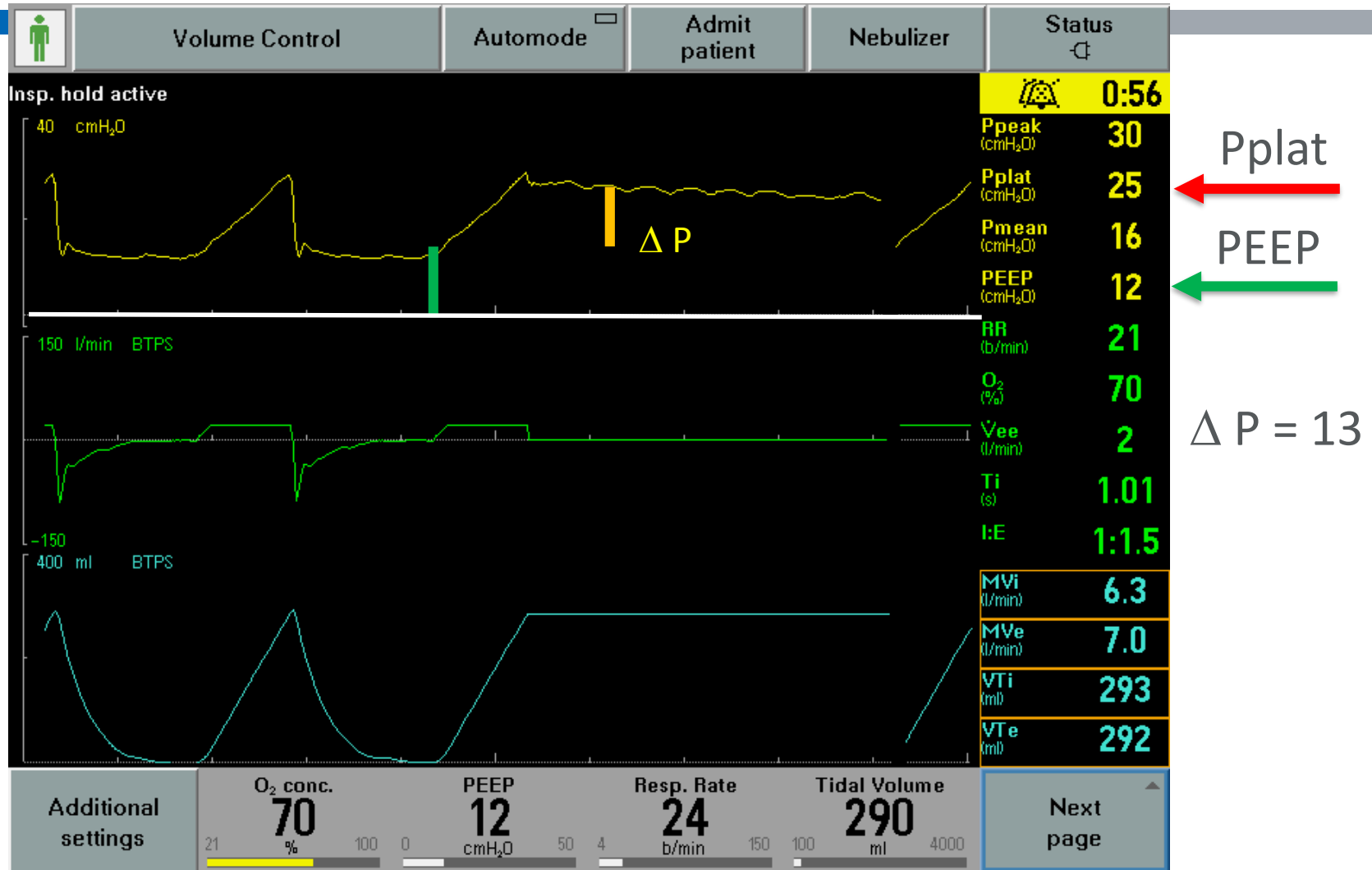


Driving Pressure (  $\Delta P$  ) = Pplat-PEEP

# ATS Guideline Recommendation

- We recommend that adult patients with ARDS receive mechanical ventilation with strategies that limit tidal volumes (4 – 8 ml/kg PBW) and inspiratory pressures (plateau pressure < 30 cm H<sub>2</sub>O)  
Strong recommendation, moderate confidence in effect estimates

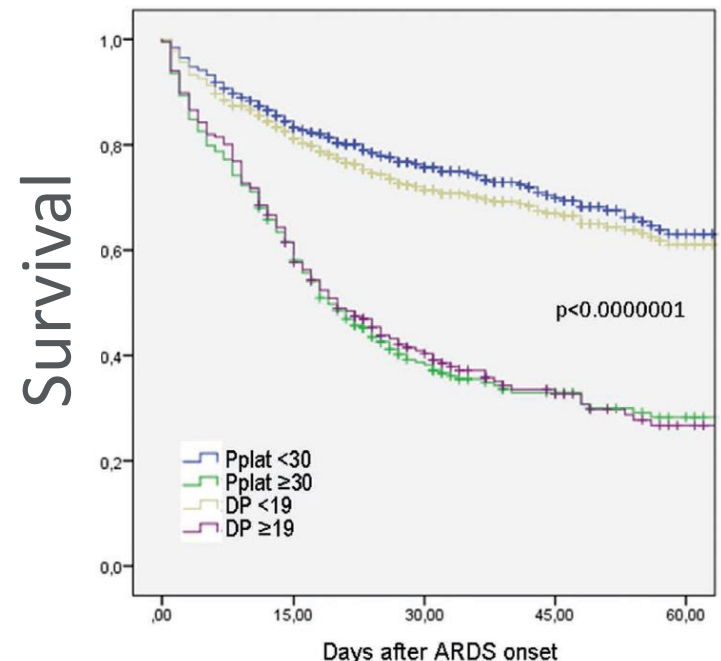
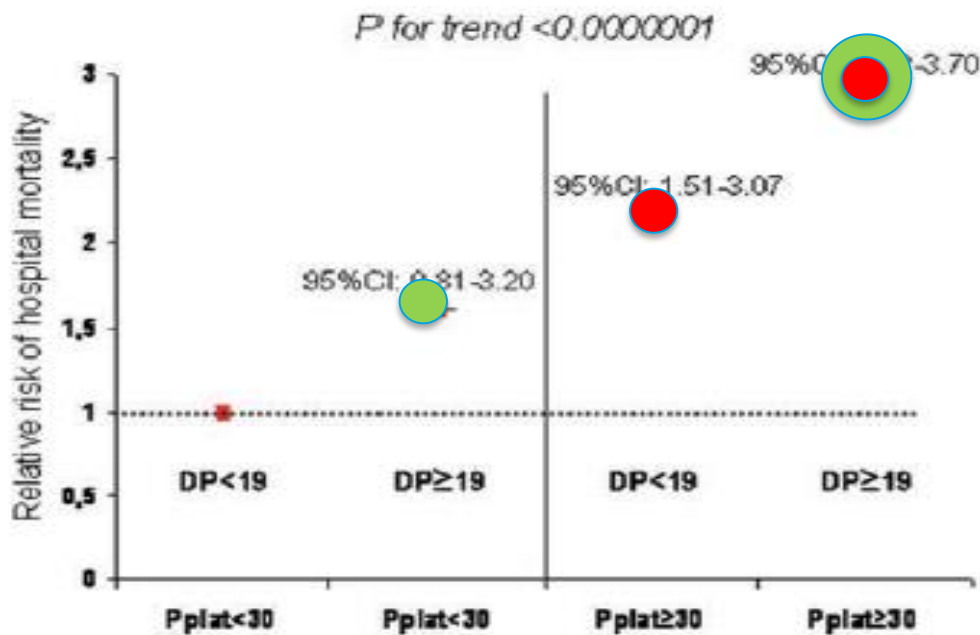
# Avoid Excessive Plateau & Driving Pressures



Driving Pressure (  $\Delta P$  ) = Pplat-PEEP

# Higher Plateau & Driving Pressures = Death

- Analysis of data from 778 patients with ARDS
- Increased risk of hospital death
  - **Pplat  $\geq 29$**  or **Driving Pressure  $\geq 19$  cm H<sub>2</sub>O**





# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P

- Monitor and control Plateau pressure (<30 cmH<sub>2</sub>O), Driving pressure (<15-19 cm H<sub>2</sub>O)

**NOT TOO HARD**

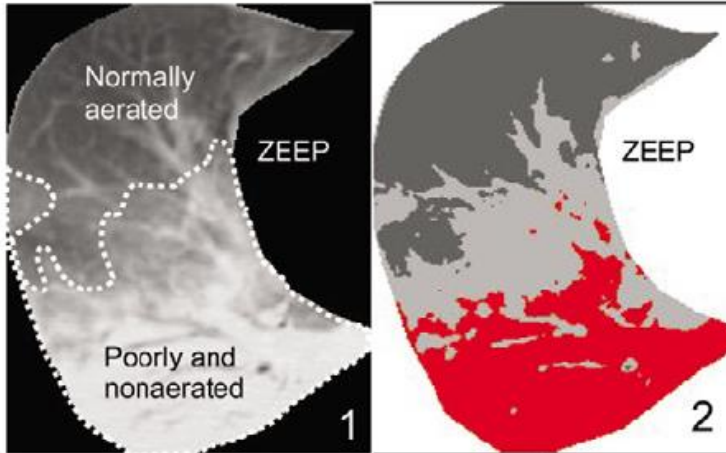
# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P
Use Enough PEEP	Avoid Excessive PEEP

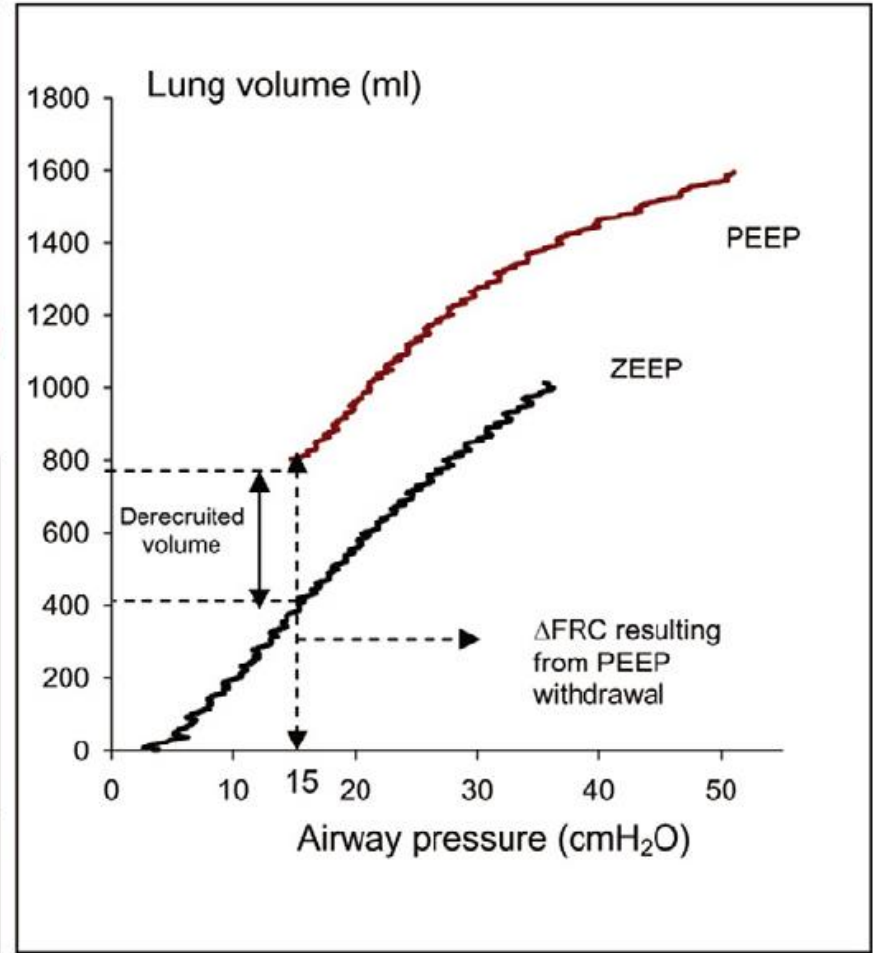
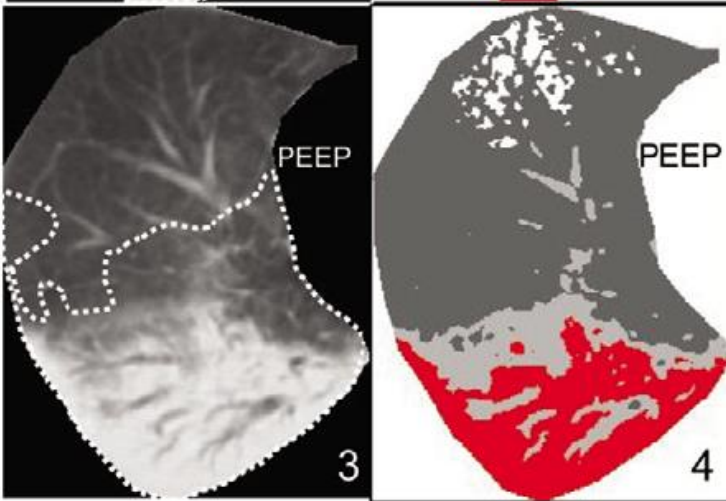
- Positive end-expiratory pressure (PEEP) splints open alveoli improving oxygenation and reducing “atelectrauma”
- Excessive PEEP, however is detrimental

# PEEP Splints Open Alveoli

0 cm H<sub>2</sub>O PEEP



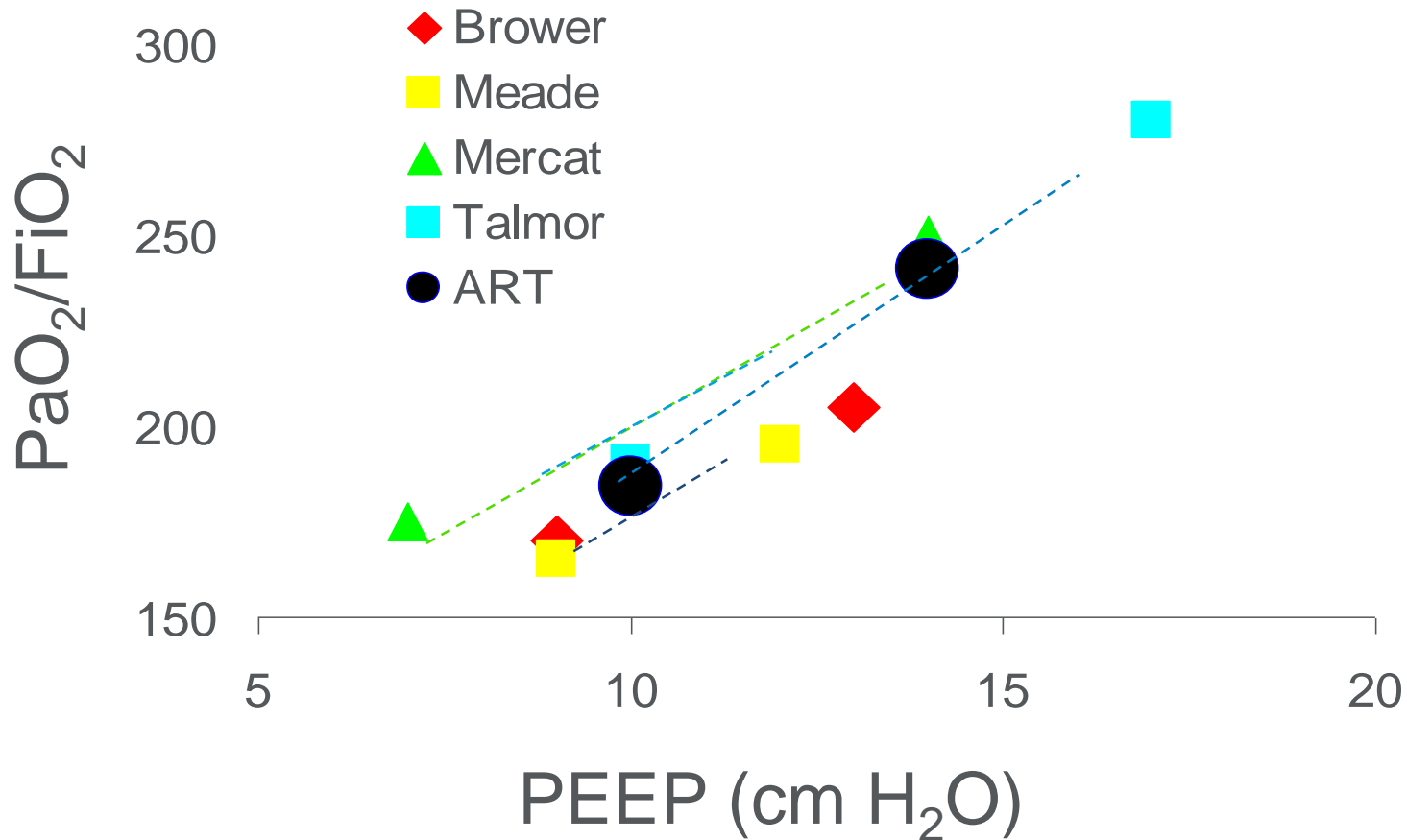
15 cm H<sub>2</sub>O PEEP



Dark gray = normal, light gray = poorly aerated, red = nonaerated

# More PEEP > Higher PaO<sub>2</sub>

Oxygenation vs PEEP (Day 3) 5 High vs Low PEEP RCTs



# ATS Guideline Recommendation

- We suggest that adult patients with moderate or severe ARDS receive higher rather than lower levels of PEEP

Conditional recommendation, moderate confidence in effect estimates

# High PEEP good in Moderate-Severe ARDS but potentially harmful in mild ARDS

	Mod – Severe ARDS			Mild ARDS		
	Higher PEEP	Lower PEEP	P	Higher PEEP	Lower PEEP	p
Hospital death	34%	39%	.049	27%	19%	.07
ICU death	30%	37%	.001	20%	17%	.71
Pneumothorax	8%	7%	.13	4%	5%	.33
Vent-free days	12 d	7d	.004	17d	19d	.07
Rescue therapy	14%	21%	<.001	4%	7%	.25

We suggest that adult patients with moderate or severe ARDS receive higher rather than lower levels of PEEP

*Briel et al. JAMA 2010;303:865-73*

Fan et al. Am J Respir Crit Care Med 2017

# Recruitment Maneuvers

- Brief over-inflation to “pop open” or recruit alveoli
- Wide variety of proposed approaches
  - 40 cm H<sub>2</sub>O pressure for 40 seconds (or 30x30)
  - Ramp up and down of pressure
  - Many others
- Demonstrated to improve oxygenation
  - Transient benefit alone
  - Recommended prior to increasing PEEP
  - Beneficial for interventions that promote loss of airway pressure / PEEP (i.e. suctioning)

Risk for barotrauma, hemodynamic compromise

# Hazards of Super Recruitment and Super PEEP

JAMA | **Original Investigation** | CARING FOR THE CRITICALLY ILL PATIENT

## Effect of Lung Recruitment and Titrated Positive End-Expiratory Pressure (PEEP) vs Low PEEP on Mortality in Patients With Acute Respiratory Distress Syndrome A Randomized Clinical Trial

Writing Group for the Alveolar Recruitment for Acute Respiratory Distress Syndrome Trial (ART) Investigators

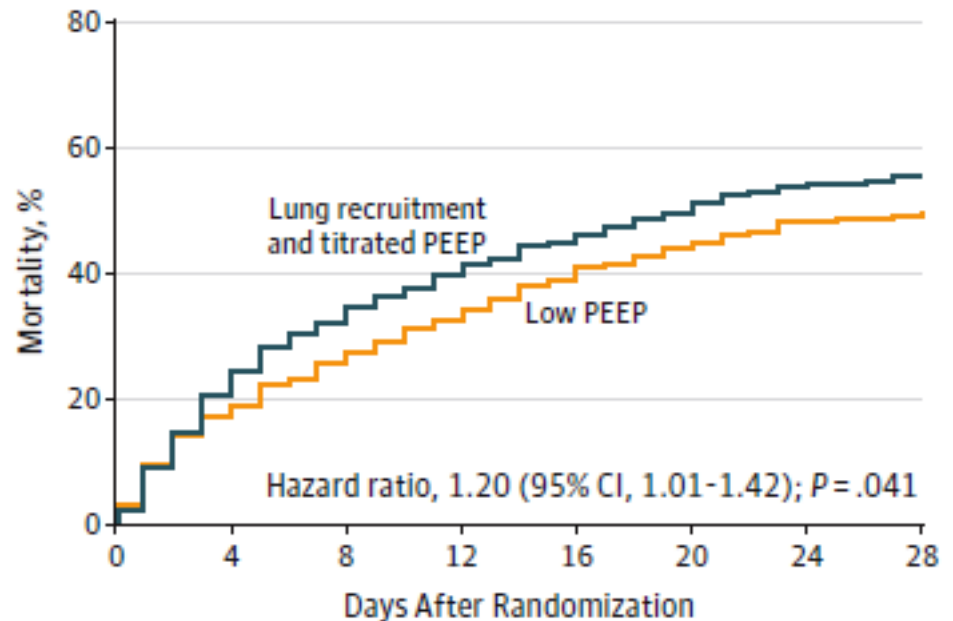
- Multicenter (120 ICUs) international (South American, Europe) RCT of recruitment maneuver + PEEP titration by respiratory system compliance (n = 501) vs low PEEP (n = 509) in patients with moderate to severe ARDS (P/F < 200)
- Recruitment maneuvers so P<sub>plat</sub> as high as 60 cm H<sub>2</sub>O x 2 min, PEEP up to 23 cm H<sub>2</sub>O



# Hazards of Super Recruitment and Super PEEP

of CHEST PHYSICIANS

- Higher 28-day Mortality with High PEEP + RM strategy (55%) vs Low PEEP strategy (49%), HR 1.20 (1.01-1.42,  $p = 0.04$ )



- Higher 6-month Mortality with High PEEP + RM strategy (65%) vs Low PEEP strategy (60%), HR 1.18 (1.01-1.38,  $p = 0.04$ )

# Higher BMI – Use Higher PEEP

- Retrospective analysis of 50 patients from the ALVEOLI Trial
- Comparison of outcomes of Obese (BMI > 30 kg/m<sup>2</sup>) vs Non-obese patients
- Obese: Lower mortality with high PEEP (18% vs 32%,  $p = 0.04$ )
- Non-obese: Trend for higher mortality with high PEEP (34% vs 23%,  $p = 0.13$ )
- Interaction of obesity status and PEEP on mortality ( $p < 0.01$ )

# Lung Protective Ventilation

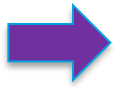
- Low tidal volume ventilation
- Higher PEEP
- Avoid excessive plateau pressure and driving pressure



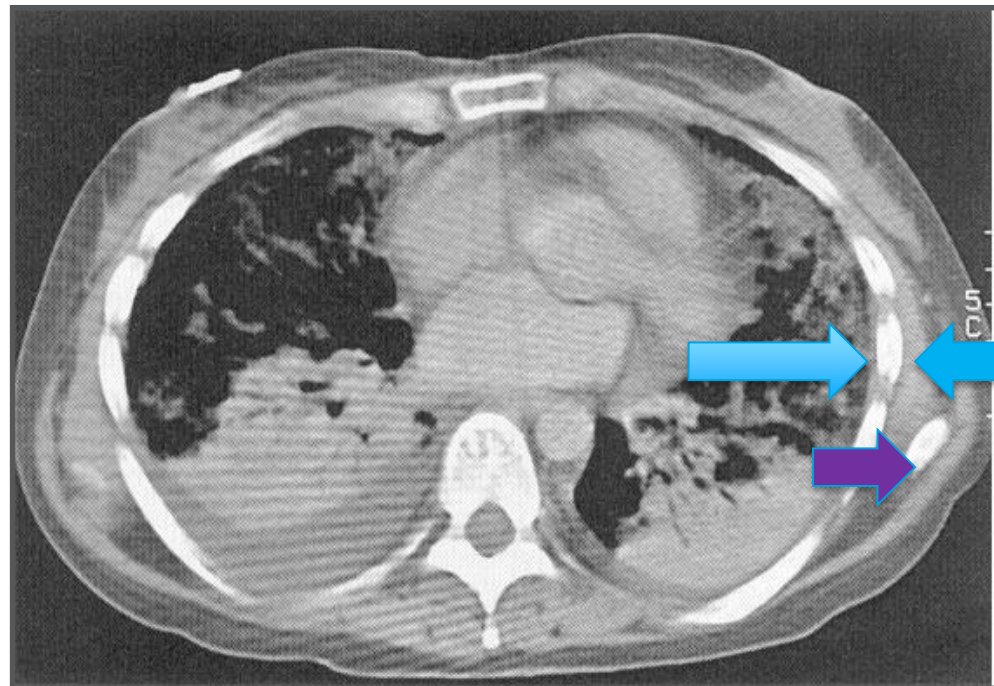
Pulmonary pressures



Pleural / chest wall pressure



Trans-alveolar pressure



# Best PEEP for ARDS?

- Consider impact of PEEP on oxygenation, ventilation, oxygen delivery, risk of barotrauma, extra-pulmonary pressure (obesity)
- Increasing PEEP trial – positive effects...
  - Better oxygenation ( $\uparrow$  SpO<sub>2</sub> and/or PaO<sub>2</sub>)
  - Better ventilation / compliance / recruitment ( $\downarrow$  or no  $\Delta$  PaCO<sub>2</sub>, or  $\uparrow$  or no  $\Delta$  in tidal volume (pressure-targeted mode))
  - Indirect evidence that DO<sub>2</sub> does not worsen
    - $\downarrow$  CO, BP, PvO<sub>2</sub>
  - Stress Index  $< 1$

*Esan et al. Chest 2010; 137:1203-1216*  
*Narendra et al. Chest 2017*

# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P
Use Enough PEEP	Avoid Excessive PEEP

- Use higher PEEP (12-20 cm H<sub>2</sub>O) for moderate-severe, but not mild ARDS; avoid extreme PEEP & recruitment

**KEEP ALVEOLI FULL, BUT NOT TOO FULL**

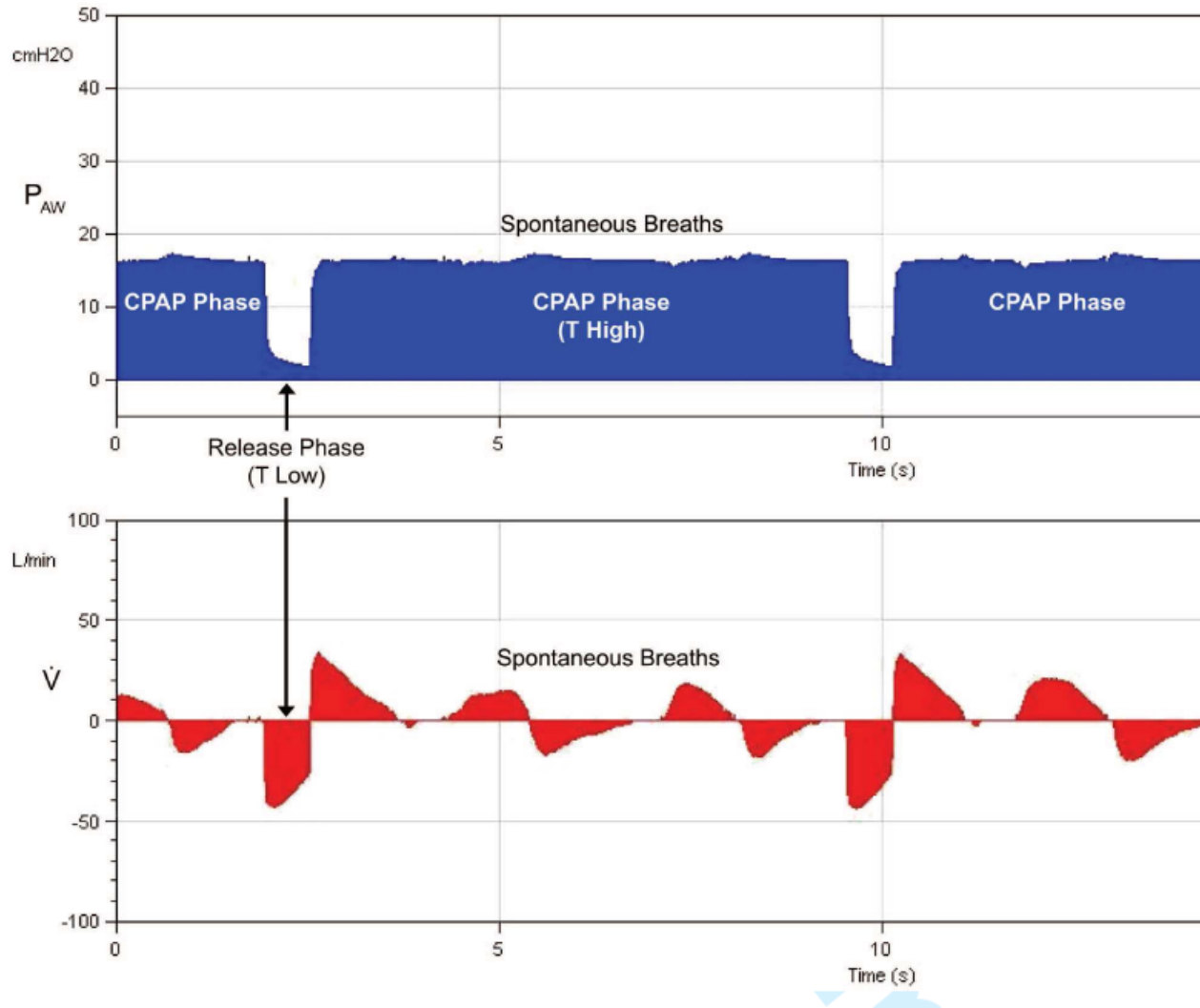
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<b>TREATMENT</b>	<b>“OVERTREATMENT”</b>
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P
Use Enough PEEP	Avoid Excessive PEEP
Adjust Inspiratory Time	Be Careful with APRV

# Longer Inspiratory Time

- Allows more effective distribution of breath
- Might allow lower driving pressure
- Most easily done with pressure-targeted modes
- Pressure controlled inverse ratio ventilation (PC-IRV)
  - No spontaneous breaths
- “BiLevel” permits spontaneous breaths
- Airway pressure release ventilation (APRV) in U.S. = long inspiratory time and very short expiratory time
- Few Outcome RCTs for APRV in ARDS
- Potential for complications

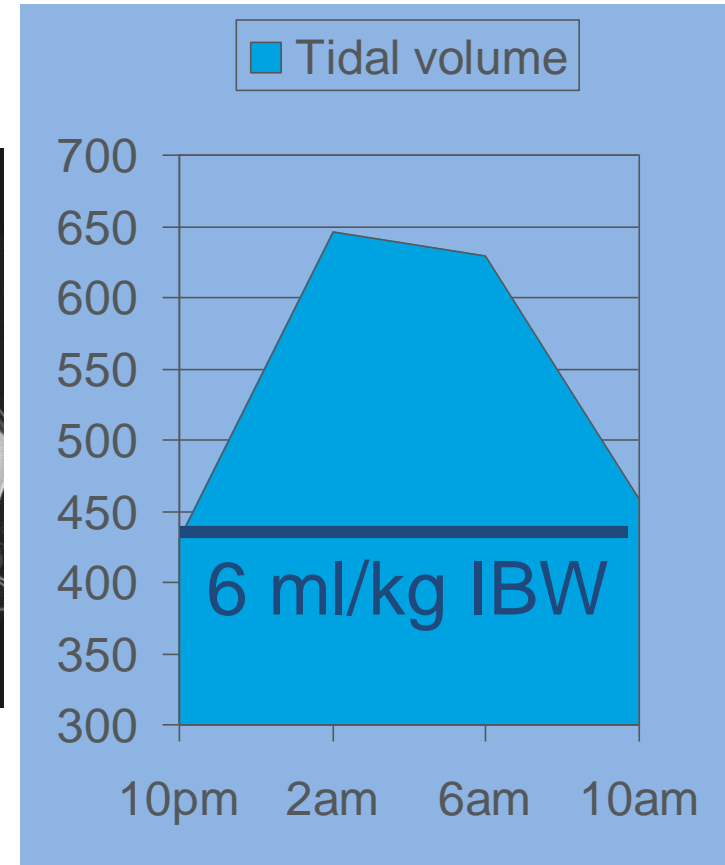
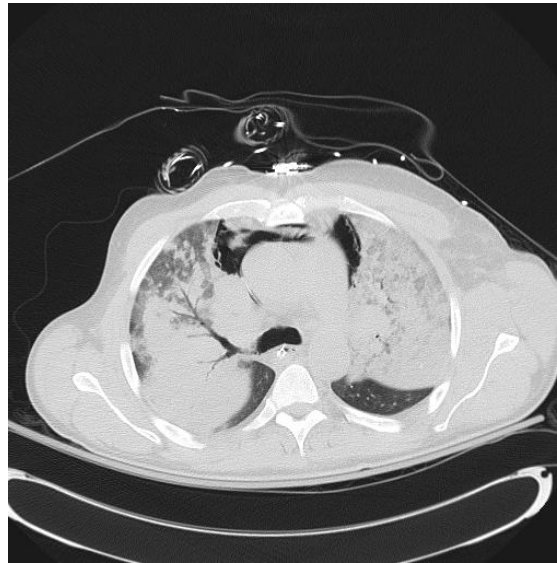
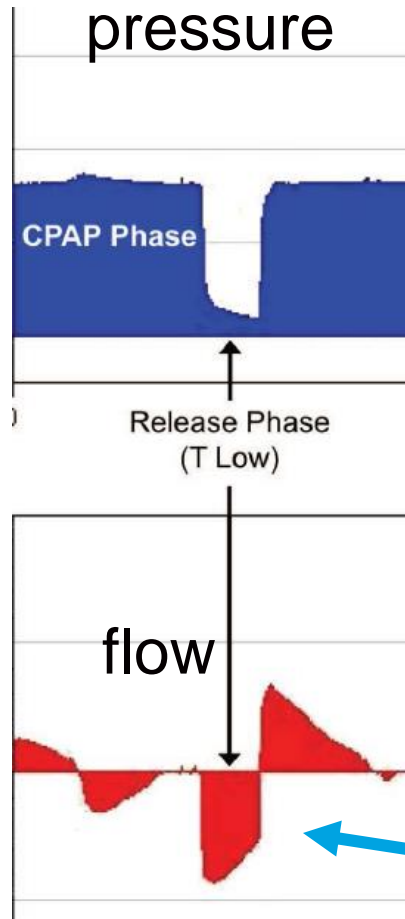
# (Airway Pressure Release Ventilation (APRV))





# APRV Concerns

## AutoPEEP & Tidal Volume Creep



Incomplete emptying (i.e. autoPEEP)

# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P
Use Enough PEEP	Avoid Excessive PEEP
Adjust Inspiratory Time	Be Careful with APRV

- Avoid excessively brief exhalation, watch  $V_t$

**BREATHS NOT TOO LONG**

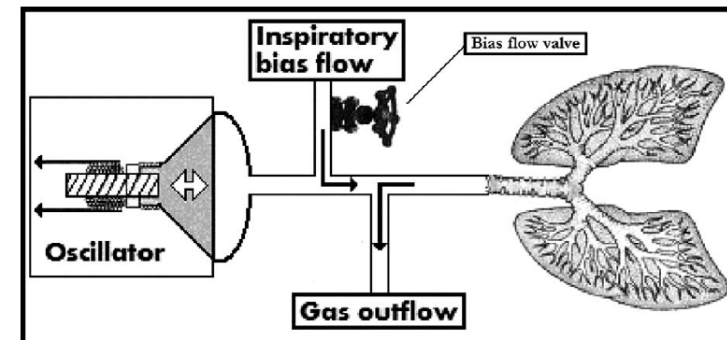
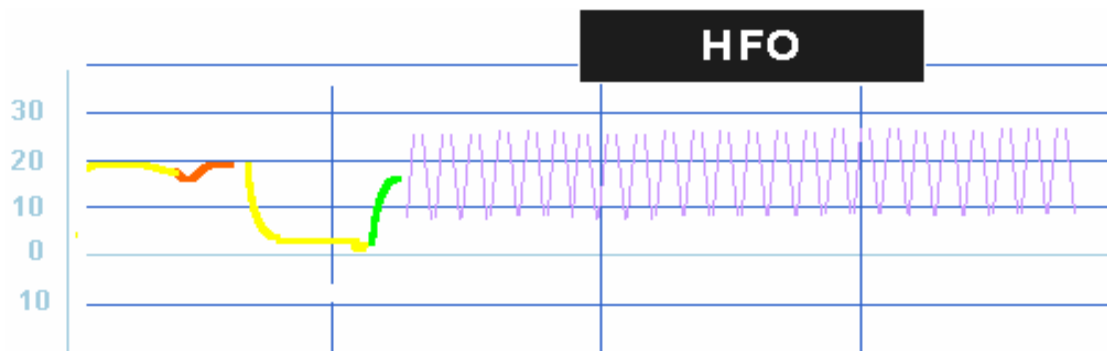
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High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P
Use Enough PEEP	Avoid Excessive PEEP
Adjust Inspiratory Time	Be Careful with APRV
Can increase f to 35/min	Avoid High Frequency Vent

- How fast to ventilate?

# High Frequency Oscillation in ARDS: The Ultimate Lung Protective Ventilation?

- HFV proposed as a form of lung protective strategy
- High frequency oscillation ventilation (HFOV)
  - Active inspiratory and expiratory phases
  - Frequency = 3-15 Hz, tidal volumes < dead space
  - Parameters controlled: power (affects pressure amplitude), inspiratory time, bias flow rate, FiO<sub>2</sub>
  - Puritan Bennett 3100B FDA approved for adults



# HFOV for Severe ARDS: Not So Fast

- Multicenter RCT of 548 patients of HFOV vs LTVV (Vt 6 ml/kg, high PEEP) for ARDS ( $\text{PaO}_2:\text{FiO}_2 \leq 200$  mmHg)
- Stopped early for harm
- HFOV associated with:
  - Higher mortality (ICU, hosp)
  - More sedation, NMBA
  - More vasopressors
  - Less refractory hypoxemia
- Multicenter RCT of 795 UK patients of HFOV vs usual care for ARDS ( $\text{PaO}_2:\text{FiO}_2 \leq 200$  mmHg)
  - Vt = 8.3 ml/kg, PEEP 11 cm H<sub>2</sub>O
- No difference in:
  - 30 day all cause mortality
  - ICU, Hosp LOS
  - Vent-free days

# ATS Guideline Recommendation

- We recommend that HFOV **not** be used routinely in patients with moderate or severe ARDS

Strong recommendation, moderate-high confidence in effect estimates

# Management of Hypoxemic Respiratory Failure

TREATMENT	“OVERTREATMENT”
High Flow Nasal Oxygen	Invasive Ventilation?
Low Tidal Volume	Conventional Tidal Volume
Modest Inflation Pressure	High Plateau, Driving P
Use Enough PEEP	Avoid Excessive PEEP
Adjust Inspiratory Time	Be Careful with APRV
Can increase f to 35/min	Avoid High Frequency Vent

- Avoid HFOV in most ARDS patients

**NOT TOO FAST**

# Treat, but Do Not Over-Treat, Hypoxemic Respiratory Failure

- Trial of High Flow Nasal Oxygen may help avoid intubation and invasive ventilation in some patients
- Avoid the many forms of excessive ventilation for Hypoxemic Respiratory Failure, delivering ventilation that is...
  - Not too big (low tidal volume ventilation)
  - Not too forceful (avoid high inflating pressures)
  - Not overly full (use high (but not too high) PEEP)
  - Not too long (caution with APRV)
  - Not too fast (avoid routine use of HFOV)