



COMPLIANCE READINESS CHECKLIST

WHERE DOES YOUR ORGANIZATION FIT?

Review the questions below and we invite you to have a free consultation with us so we can listen to your needs and see how we can partner with you for your success.

WORLD CLASS CYBERSECURITY CONSULTING & AUDITING

- **Compliance Consulting**
- **SOC Reporting**
- **Certification Authority Audits**
- **Trust Assurance Services**
- **WebTrust Services**
- **Digital Identity**
- **Verifiable Credentials**

FIVE PHASES OF COMPLIANCE MATURITY

- 1.Scope** – Consider the compliance landscape and determine your organization's place.
- 2.Design** – Understand a specific set of compliance requirements and pressure-testing it against your organization's processes.
- 3.Prove** – Develop a set of evidence to substantiate your organization's controls against the compliance requirements.
- 4.Assert** – Demonstrate your compliance by periodically sustaining an independent audit of your compliance posture.
- 5.Optimize** – Embed compliance activities as part of normal processes to ensure continual compliance.



Contact me personally at:
(206) 617-8747
scott@scottperrycpa.com

4957 Lakemont Boulevard SE
Suite C-4 #250
Bellevue, WA 98006
www.scottperrycpa.com

- Is your company shut out of business opportunities because you have not demonstrated compliance with a set of requirements?
- Have you assessed the risk of being non-compliant with stated requirements?
- Do you fully understand compliance requirements you are obligated to meet?
- Have you deployed an enterprise-wide GRC tool to assist in your compliance efforts?
- Are you collecting the necessary artifacts that prove you are in compliance?
- Are these artifacts in a central repository and kept up to date?
- Does the Company comply with multiple sets of requirements that cover the same types of controls?
- Have you personalized your control set to fit your company's unique processes?
- Do you constantly ramp up and down for compliance audits?