

FACTS

WHAT DOES KRIS LEWICKI & ASSOCIATES, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Name, address, phone number, Social Security number and other contact details provided through account setup or client interaction
- Assets and Investment Experience
- Risk Tolerance, Employment and Income History

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Kris Lewicki & Associates, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Kris Lewicki & Associates, LLC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	NO	We Don't Share
For joint marketing with other financial companies	NO	We Don't Share
For our affiliates' everyday business purposes— information about your transactions and experiences	NO	We Don't Share
For our affiliates' everyday business purposes— information about your creditworthiness	NO	We Don't Share
For nonaffiliates to market to you	NO	We Don't Share

Additional Information

SMS Communication Consent (Opt-In)

By providing your phone number, you consent to receive SMS text messages from Kris Lewicki & Associates, LLC for important account-related communications, such as updates, reminders, or urgent notices. You may opt out at any time by replying "STOP" to any message.

Phone numbers collected with SMS consent, will NOT be sold, rented, or shared with third parties or affiliates for marketing purposes under any circumstances.

Questions?

Call Kris Lewicki at 954-324-3550

Who we are

Who is providing this notice?	Kris Lewicki & Associates, LLC
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What we do

How does Kris Lewicki & Associates protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>We maintain strict safeguards that comply with federal standards to protect non-public information of our clients.</p>
How does Kris Lewicki & Associates collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ Seek advice from us or show us your drivers' license ■ Show us your tax return or tell us about your assets/liabilities ■ Enter into an Investment Advisory or financial planning agreement with us ■ We may also collect your personal information from others, such as professional advisors, attorneys, accountants, banks etc
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ Sharing for affiliates' everyday business purposes—information about your creditworthiness ■ Affiliates from using your information to market to you ■ Sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Kris Lewicki and Associates, LLC does not have affiliates</i>
Non-Affiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Kris Lewicki and Associates, LLC does not shares with non-affiliates</i>
Joint marketing	<ul style="list-style-type: none"> ■ <i>Kris Lewicki and Associates, LLC does not have joint marketing agreements.</i>

Other important information

For any other information, please call 954-324-3550